

PART I - A

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

Section A: Overview (All Capital Assets)

I.A.1) Date of Submission (mm/dd/yyyy)

Nov 7, 2008

I.A.2) Agency

029 - Department of Veterans Affairs

I.A.3) Bureau

00 - Agency Wide Initiatives

I.A.4) Name of this Investment:(SHORT ANSWER)

Vista Laboratory IS Reengineering-2010

I.A.5) Unique Project(Investment) Identifier: Update the UPI using the Exhibit 53 tab.

029-00-01-11-01-1222-00

I.A.6) What kind of investment will this be in FY2010? (Please NOTE: Investments moving to O&M in FY2010, with Planning/Acquisition activities prior to FY2010 should not select O&M. These investments should indicate their current status.)

Full-Acquisition

I.A.7) What was the first budget year this investment was submitted to OMB?

FY2004

I.A.8) Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap: (LONG ANSWER)

Veterans Health Administration (VHA) Laboratory Service's legacy information system, which was created more than 20 years ago, hinders process efficiencies and revenue collections, is not consistent with regulatory requirements, has the potential to jeopardize patient safety, and limits the provision of quality patient care. The purpose of this project is to replace the legacy information system with a Commercial Off-The-Shelf (COTS) Laboratory Information Management System (LIMS). This COTS replacement will allow the VA to meet future requirements of Electronic Medical Record, HealthVet and interoperability between DoD and PHS as per public law 107-287, which would have been extremely difficult and costly with the current legacy architecture. The VHA Laboratory Service is a critical part of offering high quality clinical care to veterans. Benefits to the veteran include increased access and exchange of lab data by providing an industry-leading, standardized LIMS that supports improved clinical diagnostic services, faster processing and reporting of lab tests and correction of identified patient safety issues. The Service provides the principal medical diagnostic lab testing and transfusion functions in all VA Medical Centers. Almost 80% of clinical decisions are based on the patient's lab test results which have increased an average of 5% annually and approximately 35% since 2001. The Service relies heavily on IT to support all phases of lab activities, from specimen collection to dissemination of results. The selected COTS replacement with hundreds of existing implementations, exceeds the functional requirements of the VA Laboratory community, supports the reengineered business processes, requires no software code modifications to the COTS LIMS and will move laboratory information from "facility focused" (records maintained locally) to "patient focused" (portability of information to another facility). The project supports the VA strategic goal of providing high-quality, reliable, accessible, timely, and efficient health care that maximizes the health and functional status of enrolled veterans. Market research and alternatives analysis in FY06 determined that a COTS LIMS, available through an existing DOD Multi-Agency Contract, will provide desired functionality and DoD interoperability. The project was granted Milestone 0 approval in Q2FY03 and Milestone 1 approval in Q2FY07. The configuration build for the prototype site was completed in Q2FY08.

I.A.9) Did the Agency's Executive/Investment Committee approve this request?

Yes

I.A.9.a) If "yes," what was the date of this approval?

Aug 22, 2008

I.A.10) Did the Project Manager review this Exhibit?

Yes

I.A.11) Contact information of Program/Project Manager?

	Project Managers Names (SHORT ANSWER)	PM Phone	E-mail (SHORT ANSWER)
Primary in-house	Latham, Cheryl	518-449-0263	Cheryl.Latham@va.gov

I.A.11.a) What is the current FAC-P/PM (for civilian agencies) or DAWIA (for defense agencies) certification level of the program/project manager?

DAWIA-Level-2

I.A.11.b) When was the Program/Project Manager Assigned?

Jul 1, 2003

I.A.11.c) What date did the Program/Project Manager receive the FACP/PM certification? If the certification has not been issued, what is the anticipated date for certification?

Jul 31, 2006

I.A.12) Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.

Yes

I.A.12.a) Will this investment include electronic assets (including computers)?

Yes

I.A.12.b) Is this investment for construction or retrofit of a federal building or facility? (Answer applicable to non-IT assets only)

No

I.A.12.b.1) If "yes," is an ESPC or UESC being used to help fund this investment?

I.A.12.b.2) If "yes," will this investment meet sustainable design principles?

I.A.12.b.3) If "yes," is it designed to be 30% more energy efficient than relevant code? (Answer applicable to non-IT assets only)

I.A.13) Does this investment directly support one of the PMA initiatives?

Yes

I.A.13.a) If "yes," check all that apply:

	PMA Initiatives for XML Submission	PMA Initiatives
Yes	Human Capital	- Human Capital
		- Budget Performance Integration
		- Financial Performance
Yes	Expanded E-Government	- Expanded E-Government
Yes	Competitive Sourcing	- Competitive Sourcing
		- Faith Based and Community
		- Real Property Asset Management
		- Eliminating Improper Payments
		- Privatization of Military Housing

		- Research & Development Investment Criteria
		- Housing & Urban Development Management & Performance
		- Broadening Health Insurance Coverage through State Initiatives
		- "Right Sized" Overseas Presence
Yes	Coordination of VA and DoD Programs and Systems	- Coordination of VA & DoD Programs and Systems

I.A. 13.b) Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)(MEDIUM ANSWER)

1. Human Capital-implementing reengineered business processes with COTS replacement will improved service and performance and result in productivity gains for lab personnel. 2. E-Gov-ability to share lab information between VA, DoD and public health agencies. 3. Competitive Sourcing-moving from in-house Laboratory software development to procuring private sector product. 4. VA & DoD Programs-improved continuity of care with increased ability to exchange laboratory data.

I.A. 14) Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.)

No

I.A. 14.a) If "yes," does this investment address a weakness found during a PART review?

I.A. 14.b) If "yes," what is the name of the PARTed program? (SHORT ANSWER)

I.A. 14.c) If "yes," what rating did the PART receive?

I.A. 15) Is this investment information technology? (See section 53.8 for definition)

Yes

I.A. 16) What is the level of the IT Project? (per CIO Council PM Guidance)

Level 1

I.A. 17) What project management qualifications does the Project Manager have? (per CIO Council PM Guidance)

Qualification Status	Qualification Status for XML Submission	Description
1	(1) Project manager has been validated as qualified for this investment	(1) - Project manager has been validated as qualified for this investment.
		(2) - Project manager qualification is under review for this investment.
		(3) - Project manager assigned to investment, but does not meet requirements.
		(4) - Project manager assigned but qualification status review has not yet started.
		(5) - No Project manager has yet been assigned to this investment.

I.A. 18) Is this investment or any project(s) within this investment identified as "high risk" on the Q4-FY 2008 agency high risk report (per OMB Memorandum M-05-23)

Yes

I.A. 19) Is this project (investment) a Financial Management System? (see section 53.3 for definition)

No

I.A. 19.a) If so, does this project (investment) address a FFIA (Federal Financial Managers Integrity Act) compliance area?

I.A.19.a.1) If yes, which compliance area?

I.A.19.a.2) If "no," what does it address? (MEDIUM ANSWER)

I.A.19.b) If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52 (LONG ANSWER)

I.A.20) What is the percentage breakout for the total FY2010 funding request for the following? (This should total 100%)

Percentage of Total Investment	
% Hardware	
% Software	
% Services	
% Others	

I.A.21) If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?

NA

I.A.22) Contact information of individual responsible for privacy related questions:

Contact Name: (SHORT ANSWER)	Dennis Stewart
Phone Number:	(202) 461-7456
Title: (SHORT ANSWER)	IT Specialist
E-mail: (SHORT ANSWER)	Dennis.Stewart2@va.gov

I.A.23) Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

Yes

I.A.24) Does this investment directly support one of the GAO High Risk Areas?

No

PART I - B

PART I: SUMMARY INFORMATION AND JUSTIFICATION

In Part I, complete Sections A, B, C, and D for all capital assets (IT and non-IT). Complete Sections E and F for IT capital assets.

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Section B: Summary of Funding (All Capital Assets)

I.B.1) FILL IN TABLE IN CURRENT VALUES (in millions)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated "Government FTE Cost," and should be EXCLUDED from the amounts shown for "Planning," "Full Acquisition," and "Operation/Maintenance." The total estimated annual cost of the investment is the sum of

costs for "Planning," "Full Acquisition," and "Operation/Maintenance." For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

Category of Funds	PY-1 and Earlier	PY 2008	CY 2009	BY 2010
Planning Total	7.570	0.000	0.000	0.000
Acquisition Total	5.699	11.100	28.319	30.913
Operations & Maintenance Total	0.000	0.000	0.738	1.476
Total, All Stages (Non-FTE)	13.269	11.100	29.057	32.389
Government FTE Costs	1.812	1.800	1.562	1.399
Govt. FTE Numbers	8	15	14	11
Total (FTE and Non-FTE)	15.081	12.900	30.619	33.788

Government FTE Costs SHOULD NOT be INCLUDED as part of the TOTAL, All Stages Resources represented.

Note: 1) For the cross-agency investments, this table should include all funding (both managing partner and partner agencies). 2) Total, All Stages Resources should equal Total, All Stages Outlays.

I.B.2) Will this project require the agency to hire additional FTE's?

Yes

I.B.2.a) If Yes, How many and in what year? (MEDIUM ANSWER)

The project requires 5 additional FTE in FY09 and 1 FTE in FY10; however, funding dollars were removed.

I.B.3) If the summary of spending has changed from the FY2009 President's budget request, briefly explain those changes. (LONG ANSWER)

As directed by the 2010 Passback, BY2010 decrease of \$3.33M Non FTE requires delay to planned LIMS software license procurements. Funds were moved from Acquisition to Maintenance/Operations to cover software licenses for the field test sites. This is in line with our strategy for a gradual transition from Acquisition (DME) to Maintenance/Operations (O&M). Directed decreases to Government Costs (FTE) BY2010 \$.648 and CY09 \$.308 requires decrease to planned government hires.

PART I - C

PART I: SUMMARY INFORMATION AND JUSTIFICATION

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Section C: Acquisition/Contract Strategy (All Capital Assets)

I.C.1) If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why? (LONG ANSWER)

The GS03T05DS0003 contract is a Multiple Agency Contract (MAC) that was initiated by the Department of Defense in 2002 before the VA Directive 6061 issuance in February 2006 and the final EVMS FAR language was published in July 2006. The VA was included in the scope of the contract. It has been determined that the cost to VA of having the contractor implement an EVMS will exceed the benefits for the remaining period of performance. However, the contractor will support VA's EVMS by providing the necessary schedule and cost performance information.

The Interagency Agreement with GSA task orders are fees associated with managing MACs and therefore the VA directive 6061 is not applicable. GS00F0049M and GST0308DS6035 are for outsourced development work but the dollar value is less than \$20M, the contract is not high-risk, and the benefits do not exceed the cost to VA. As such, the contractor is not required to have an EVMS per VA Directive 6061. However, as this contract supports a major IT development effort, the contractor will support VA's EVMS by providing the necessary schedule, and cost performance information. Hardware/Middleware - RDPC (4) and Hardware/Middleware Storage Expansion purchases will be made through simplified delivery order contract vehicles with the PM tracking purchases, delivery, and installation as part of their Program Management Baseline (PMB).

I.C.2) Do the contracts ensure Section 508 compliance?

Yes

I.C.2.a) Explain why not or how this is being done? (MEDIUM ANSWER)

Ensuring Section 508 compliance is accomplished by reviews of the software by the VHA Section 508 Office (19F) Health Data & Informatics (HD&I). Using assistive software, this office verifies and certifies that the developed and procured software meets the requirements outlined in Section 508.

I.C.3) Is there an acquisition plan which has been approved in accordance with agency requirements?

Yes

I.C.3.a) If "yes," what is the date?

Jul 21, 2008

I.C.3.a.1) Is it Current?

Yes

I.C.3.b) If "no," will an acquisition plan be developed?

I.C.3.b.1) If "no," briefly explain why: (MEDIUM ANSWER)

PART I - D

PART I: SUMMARY INFORMATION AND JUSTIFICATION

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Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative measure.

Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond the next President's Budget.

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvements to the Baseline	Actual Results
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2009	Honor & Memorialize	Customer Results	Accuracy of Service or Product Delivered	Accuracy of service or product delivered. Auto-verification will reduce the % of corrected reports that are due to calculation errors and/or transcription errors.	It is estimated that 30% of corrected reports are due to calculation errors and/or transcription errors. A baseline will be established in FY2009.	A reduction in corrected reports due to calculation and/or transcription errors from 30% to 20% will improve patient service accuracy and meet Goal 3 Objective 1 honoring and serving the veteran by providing high-quality and efficient health care.	Alpha & Beta 1 deployed. Results will be known Q4FY2010.
2009	Honor & Memorialize	Mission and Business Results	Collections and Receivables	Collection and Receivables - Increase third-party revenue collection through the creation of a standardized test file database containing CPT codes and associated cost. Integrate current manual record keeping of reference procedures.	Using trend information from CBA (May 2006) the baseline average yearly revenue collection attributable to laboratory before process improvement is \$196.6M.	Improved data capture will increase revenue an average 12.8% from remitted billing to 3rd party collections. Planned improvements will meet the enabling goal's 4th objective by providing the capability to correctly bill a payer for services rendered.	Alpha & Beta 1 deployed. Results will be known Q4FY2010.
2009	Honor & Memorialize	Technology	Interoperability	Interoperability- The number of systems that are interoperable with Internal customers (other VISN's) and External resources (DoD, Indian Health Service (IHS) and reference laboratories).	Legacy VA LIMS is unable to share information to internal customers and external facilities and file structure lacks uniformity and standardization. The baseline number of internal and external interfaces will be established by FY2009.	Allow for efficient data sharing between internal customers and external facilities. A standardized test file will facilitate interoperability. Planned improvements support Enabling Goal objective 3. Improvement target to be set by FY2009.	Alpha & Beta 1 deployed. Results will be known Q4FY2010.
2009	Honor & Memorialize	Processes and Activities	Productivity	Productivity - Decrease technician time spent on receiving, processing and reporting test results. Current Lab Information System is cumbersome in all 3 phases mentioned above, and particularly in reporting results	Current LIMS system is unable to auto-verify laboratory results or make use of sample bar coding to increase productivity and efficiency. The baseline amount of time Laboratory personnel spend verifying lab results will be established by FY2009.	Decreasing technician's time spent performing manual receiving, processing and reporting procedures through the use of rules based software and hardware (scanners) will support Goal 3 Objective 1. The improvement target will be set by FY2009.	Alpha & Beta 1 deployed. Results will be known Q4FY2010.

2010	Honor & Memorialize	Customer Results	Accuracy of Service or Product Delivered	Accuracy of service or product delivered. Auto-verification will reduce the % of corrected reports that are due to calculation errors and/or transcription errors.	It is estimated that 30% of corrected reports are due to calculation errors and/or transcription errors. A baseline will be established in FY2009.	A reduction in corrected reports due to calculation and/or transcription errors from 30% to 20% will improve patient service accuracy and meet Goal 3 Objective 1 honoring and serving the veteran by providing high-quality and efficient health care.	
2010	Honor & Memorialize	Mission and Business Results	Collections and Receivables	Collection and Receivables - Increase third-party revenue collection through the creation of a standardized test file database containing CPT codes and associated cost. Integrate current manual record keeping of reference procedures.	Using trend information from CBA (May 2006) the baseline average yearly revenue collection attributable to laboratory before process improvement is \$217.2M.	Improved data capture will increase revenue an average 12.8% from remitted billing to 3rd party collections. Planned improvements will meet the enabling goal's 4th objective by providing the capability to correctly bill a payer for services rendered.	
2010	Honor & Memorialize	Technology	Interoperability	Interoperability- The number of systems that are interoperable with Internal customers (other VISN's) and External resources (DoD, Indian Health Service (IHS) and reference laboratories).	Legacy VA LIMS is unable to share information to internal customers and external facilities and file structure lacks uniformity and standardization. The baseline number of internal and external interfaces will be established by FY2009.	Allow for efficient data sharing between internal customers and external facilities. A standardized test file will facilitate interoperability. Planned improvements support Enabling Goal objective 3. Improvement target to be set by FY2009.	
2010	Honor & Memorialize	Processes and Activities	Productivity	Productivity - Decrease technician time spent on receiving, processing and reporting test results. Current Lab Information System is cumbersome in all 3 phases mentioned above, and particularly in reporting results..	Current LIMS system is unable to auto-verify laboratory results or make use of sample bar coding to increase productivity and efficiency. The baseline amount of time Laboratory personnel spend verifying lab results will be established by FY2009.	Decreasing technician's time spent performing manual receiving, processing and reporting procedures through the use of rules based software and hardware (scanners) will support Goal 3 Objective 1. The improvement target will be set by FY2009.	

Segment Architecture Mapping Reference Table:

BUSINESS SEGMENT NAME	SEGMENT ARCHITECTURE CODE
1) Health Business Segment	100-000
2) Benefits Business Segment	200-000
3) Memorial, Burials & HQ Segment	300-000
4) Material Management Segment	400-000
5) Financial Segment	500-000
6) Human Resources Segment	600-000
7) Security Management Segment	700-000
8) Information Management Segment	800-000
9) Education & Training Segment	900-000

I.F.3) FEA SERVICE REFERENCE MODEL:

I.F.3) Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

SERVICE COMPONENT TABLE:

	Agency Component Name(SHORT ANSWER)	Agency Component Description (MEDIUM ANSWER)	FEA SRM Service Type	FEA SRM Component (a*)	FEA Service Component Reused : Component Name (b*)	FEA Service Component Reused : UPI (b*)	Internal or External Reuse? (c*)	BY Funding Percentage (d*)
1	Laboratory Notification Management	The system shall provide and support a rules based and configurable notification management system	Customer Preferences	Alerts and Notifications	Alerts and Notifications		No Reuse	
2	Order Mgmt/Accession Mgmt/Specimen Collection mgmt/results entry	The system shall support a rule-based order management system for all areas in the laboratory	Tracking and Workflow	Process Tracking	Process Tracking		No Reuse	

3	Laboratory Information System Interfaces	The system shall interface from site to site within the VA, with VA designated commercial labs, and with DoD or other government laboratories.	Routing and Scheduling	Inbound Correspondence Management	Inbound Correspondence Management		No Reuse	
4	Laboratory Information System Interfaces	The system shall interface from site to site within the VA, with VA designated commercial labs, and with DoD or other government laboratories.	Routing and Scheduling	Outbound Correspondence Management	Outbound Correspondence Management		No Reuse	
5	Quality Control/Quality Assurance	The system shall provide a configurable quality control and quality assurance system.	Management of Processes	Quality Management	Quality Management		No Reuse	
6	Report Generation	The system shall support routine reports generations that is rule-based as defined by the VHA labs.	Reporting	Standardized / Canned	Standardized / Canned		No Reuse	
7	Archive Service	The system shall provide the configurable capability to purge and archive data.	Data Management	Loading and Archiving	Loading and Archiving	029-00-01-11-01-1223-00	Internal	
8	Financial Management	The system shall support a flexible and configurable financial management system.	Financial Management	Billing and Accounting	Billing and Accounting		No Reuse	
9	Application Interfaces	The system shall interface with other VA applications.	Development and Integration	Legacy Integration	Legacy Integration	029-00-01-11-01-1186-00	Internal	

10	Security Access	The system shall control access to and within the system at multiple levels and enable users to establish workgroups for access.	Security Management	Access Control	Access Control	029-00-01-11-01-1223-00	Internal	
11	Electronic Signature	The system shall provide a flexible and configurable electronic signature.	Security Management	Digital Signature Management	Digital Signature Management	029-00-01-11-01-1223-00	Internal	
12	Audit Service	The system shall automatically capture the date/time stamp for all entries and audit trails.	Security Management	Audit Trail Capture and Analysis	Audit Trail Capture and Analysis	029-00-01-11-01-1223-00	Internal	
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NOTE:

(a*) - Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

(b*) - A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

(c*) - 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

(d*) - Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

I.F.4) FEA TECHNICAL REFERENCE MODEL:

I.F.4) To demonstrate how this major IT investment aligns with Reference Model (TRM), please list the Service Areas, Service Specifications supporting this IT investment.

TECHNICAL REFERENCE MODEL TABLE:

	FEA SRM Component (a*)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard
1	Alerts and Notifications	Service Access and Delivery	Access Channels	Collaboration / Communications
2	Alerts and Notifications	Service Access and Delivery	Delivery Channels	Intranet
3	Alerts and Notifications	Service Access and Delivery	Access Channels	Wireless / PDA

4	Process Tracking	Service Access and Delivery	Access Channels	Web Browser
5	Process Tracking	Service Access and Delivery	Service Requirements	Legislative / Compliance
6	Process Tracking	Service Access and Delivery	Service Transport	Service Transport
7	Process Tracking	Service Access and Delivery	Service Transport	Service Transport
8	Process Tracking	Service Access and Delivery	Service Transport	Service Transport
9	Process Tracking	Service Platform and Infrastructure	Database / Storage	Database
10	Process Tracking	Service Platform and Infrastructure	Delivery Servers	Application Servers
11				
12	Inbound Correspondence Management	Component Framework	Data Interchange	Data Exchange
13	Inbound Correspondence Management	Service Access and Delivery	Access Channels	Other Electronic Channels
14	Outbound Correspondence Management	Component Framework	Data Interchange	Data Exchange
15	Outbound Correspondence Management	Service Access and Delivery	Access Channels	Other Electronic Channels
16	Quality Management	Service Access and Delivery	Access Channels	Web Browser
17	Standardized / Canned	Component Framework	Data Management	Reporting and Analysis
18	Loading and Archiving	Service Platform and Infrastructure	Database / Storage	Database
19	Loading and Archiving	Service Platform and Infrastructure	Database / Storage	Storage
20	Billing and Accounting	Service Access and Delivery	Access Channels	Other Electronic Channels
21	Legacy Integration	Service Interface and Integration	Integration	Enterprise Application Integration
22	Access Control	Service Access and Delivery	Service Requirements	Authentication / Single Sign-on
23	Digital Signature Management	Component Framework	Security	Certificates / Digital Signatures
24	Audit Trail Capture and Analysis	Service Access and Delivery	Service Requirements	Legislative / Compliance
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NOTE:

(a*) - Service Components identified in the previous question(I.F.3) should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications

(b*) - In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

I.F.5) Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

No

I.F.5.a) If "yes," please describe. (LONG ANSWER)

PART II - B

PART II: PLANNING, ACQUISITION AND PERFORMANCE INFORMATION

Part II should be completed only for investments which in FY2008 will be in "Planning" or "Full Acquisition," investments, i.e., selected one of these three choices in response to Question 6 in Part I, Section A above.

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Section B - RISK MANAGEMENT (All Capital Assets)

II.B.1) Does the investment have a Risk Management Plan?

Yes

II.B.1.a) If "yes," what is the date of the plan?

Aug 12, 2008

II.B.1.b) Has the Risk Management Plan been significantly changed since last year's submission to OMB?

Yes

II.B.1.c) If "yes," describe any significant changes: (LONG ANSWER)

The Risk Management Plan has undergone significant changes and has become a living plan by documenting and promoting the reassessment of the project's risks on a weekly basis. The Risk Management Plan's scope is to identify, manage and monitor the project's risks with the end goal of eliminating, mitigating or managing the risks. The Risk Management Plan has been expanded to include the Monthly Risk Report and now contains all current project risks, their description, mitigation and contingency plans, the risk's next review date and the risk owner.

II.B.2) If there currently is no plan, will a plan be developed?

II.B.2.a) If "yes," what is the planned completion date?

II.B.2.b) If "no," what is the strategy for managing the risks? (LONG ANSWER)

II.B.3) Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: (LONG ANSWER)

Implementation of a COTS system lowers project risk by significantly reducing overall development effort. Estimated lifecycle costs are risk adjusted in architecturally significant areas including prototyping and integration. Additionally, the use of fixed price contracts is a significant element in the risk mitigation strategy. The project has approximately 40 open investment risks that correspond to the OMB risk categories. Risks have a mitigation plan and risk triggers that relate to observable events in the project lifecycle. A Risk Manager has been assigned to manage risk assessment. The reassessment process includes, but is not limited to, reviewing the strategies (mitigation and contingency plans) for dealing with risks; monitoring the risk triggers and statuses; seeking out new risks; and performing risk audits to assess and document risk response effectiveness. Reassessment and active management of the risks is done on the weekly Project Team calls (which includes stakeholders), semimonthly Risk Review Board calls and additionally as needed. The Risk Review Board is composed of the Program Manager, the Risk Manager, the Configuration Manager and team members. The VA Iterative Development Lifecycle which is based on the Rational Unified Process serves as an underlying basis of the lifecycle cost estimate and is noted for using early iterations to address the most significant risks first. As of June 2008, the project had addressed and closed 37 risks. At the end of the elaboration phase about 20%% of the projected total lifecycle costs will have been expended while addressing most of the project's significant risks. The cost estimate also embodies several stakeholder review points and iteration assessment control points to evaluate progress toward project goals and to allow project management to steer the project towards a successful delivery.

PART II - C

Part II: Planning, Acquisition And Performance Information

Part II should be completed only for investments which in FY2008 will be in "Planning" or "Full Acquisition," investments, i.e., selected one of these three choices in response to Question 6 in Part I, Section A above.

OMB Text Limitations - SHORT ANSWER(250 Characters), MEDIUM ANSWER(500 Characters) and LONG ANSWER(2500 Characters)

C) Cost and Schedule Performance:

Identify in this section the proposed change to the original or current OMB-approved baseline. What are the new cost and schedule goals for the phase or segment/module (e.g., what are the major investment milestones or events; when will each occur; and what is the estimated cost to accomplish each one)? If this is a new investment in the FY 2008 Budget year or if the agency does not intend to propose a new baseline modification, this section will be blank for your budget submission.

II.C.1) Does the earned value management system meet the criteria in ANSI/EIA Standard - 748?

Yes

II.C.3) Has the investment re-baselined during the past fiscal year?

No

II.C.3.a) If "Yes", when was it approved by the Agency head?

II.C.4) Comparison of Initial Baseline and Current Approved Baseline:

II.C.4) Complete the following table to compare actual performance against the current performance baseline and to the initial performance baseline. In the

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