OMB Exhibit 300 - 2007 (Form) / VA Enterprise Architecture-2007 (Item) ProSight

Form Report, printed by: Evangelina Dobbs, **Jan 3, 2006**

PART I

Part I: Capital Asset Plan & Business Case (All Assets)

Note: In text fields, the maximum number of characters that can be entered is 4000.

Budget Year

2007

Date of Submission (mm/dd/yyyy)

Sep 12, 2005

Agency

029 - VA

Bureau / Administration

Staff Office

Network / Station Number	MSN	VSN	VBA AREA

Account Name

Information Technology

Budget Account Number

TBD

Account Names and Numbers of any OTHER budget accounts funding this Investment:

	Other Account Name	Other Budget Account Number
1	Medical Services	029-15-0160
2	Medical Administration	029-15-0152
3	VBA Compensation	029-25-0134
4	NCA Burial Administration	029-25-0129
5		
6		
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9		

10		
11		
12		
13		

Name of Investment (Max of 255 Characters)

VA Enterprise Architecture-2007

Unique Project(Investment) Identifier

(For IT investment only, see section 53. For all other, user agency ID system.) UPI should be created the same for all investments.

029-00-03-00-01-1011-00-304-103

Investment Initiation Date (mm/dd/yyyy)

Jun 1, 2001

Investment Planned Completion Date (mm/dd/yyyy)

Sep 30, 2008

This Investment is:

Full Acquisition

Investment/useful segment is funded:

Fully

Asset Type

Information Technology

Was this investment approved by OMB for previous Year Budget Cycle?

Yes

Did the Executive/Investment Review Committee (SMC) approve funding for this investment this year?

Yes

Did the CFO (Office of Management - 004) review the cost goal?

Yes

Did the Procurement Executive (Office of Management - 004) review the acquisition strategy?

Yes

Did the project(investment) manager identified in Section I.D review this Exhibit?

Yes

Is this investment included in your agency's annual performance plan or multiple agency annual performance plans?

Yes

If this investment supports homeland security, indicate which Homeland Security Mission Area(s) this investment supports (Choose all that apply)

1) Intelligence & Warning, 2) Border & Transportation Security, 3) Defending Against Catastrophic Threats, 4) Protecting Critical Infrastructure & Key Assets, 5) Emergency Preparedness & Response, 6) Other

Primary Goal	**Secondary Goal**	**Tertiary Goal**
Additional Goal 1	**Additional Goal 2**	**Additional Goal 3**

Is this investment information technology? (See section 53.8 for definition)
Yes

Part I: For information technology investments only:
a. Is this project (investment) a Financial Management System? (see section 53.3 for definition)
No
If so, does this project (investment) address a FFMIA (Federal Financial Managers Integrity Act) compliance area?
If yes, which compliance area?
c. If this is a new or significantly altered investment involving information in identifiable form collected from or about members of the public, has a Privacy Impact Assessment (PIA) with the investment's unique identifier been provided to OMB (at PIA@omb.eop.gov) for this funding cycle?
No
d. Was this investment reviewed as part of the FY 2005 Federal Information Security Management Act review process?
Yes
d.1 If yes, were any weaknesses found?
Yes
d.2 Have the weaknesses been incorporated into the agency's corrective action plans?
Yes
e. Has this investment been identified as a national critical operation or asset by a Project Matrix review or other agency determination?
No
e.1 If no, is this an agency mission critical or essential service, system, operation, or asset (such as those documented in the agency's COOP Plan), other than those identified above as national critical infrastructures?
No
f. Was this investment included in a Performance Assessment Rating Tool (PART) Review?
No
f.1 If Yes, what is the name of the PARTed program (i.e., the program that was reviewed with PART)? (For more details regarding the PARTed Programs, please see the PART website at OMB www.whitehouse.gov/omb/part/)
f.2 Does this investment address a weakness found during the PART Review?
No
g. Will you use a share-in-savings contract to support this investment?
No
h. Is this investment for construction or retrofit of a federal building or facility?
No
h.1 If yes, are sustainable design practices included in the requirement?
h.2 If yes, is an UESC or ESPC being used to fund the requirement?

IA.

IA. Summary of Spending for Project (Investment) Stages

(in millions)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

FILL IN TABLE IN CURRENT VALUES

Category of Funds	PY-1 and Earlier	PY 2005	CY 2006	BY 2007	BY+1 2008	BY+2 2009	BY+3 2010	BY+4 & Beyond	Total
Planning									
Budgetary Resources	3.40	2.29	2.29	1.00	0.90	0.00	0.00	0.00	9.88
Outlays	3.40	2.29	2.29	1.00	0.90	0.00	0.00	0.00	9.88
Acquisition									
Budgetary Resources	15.14	4.32	3.72	4.79	16.25	0.00	0.00	0.00	44.23
Outlays	15.14	4.32	3.72	4.79	16.25	0.00	0.00	0.00	44.23
Total, Sum of Stages									
Total, Resources (Plan & Acq)	18.54	6.61	6.01	5.79	17.15	0.00	0.00	0.00	54.11
Total, Outlays (Plan & Acq)	18.54	6.61	6.01	5.79	17.15	0.00	0.00	0.00	54.11
Maint									
Budgetary Resources	1.76	1.57	1.75	4.50	5.50	14.50	14.50	0.00	44.08
Outlays	1.76	1.57	1.75	4.50	5.50	14.50	14.50	0.00	44.08
Total, All Stages Resources	20.30	8.18	7.76	10.29	22.65	14.50	14.50	0.00	98.19
Total, All Stages Outlays	20.30	8.18	7.76	10.29	22.65	14.50	14.50	0.00	98.19
Govt. FTE Costs	3.60	1.80	2.24	2.29	8.51	8.84	8.97	9.11	45.36

**Note: Total, All Stages Resources should equal Total, All Stages Outlays.*

Note: Government FTE costs shall include Government personnel considered direct and indirect labor in support of this investment. This

includes the investment management IPT and any other Government effort (e.g. programming effort for part of the overall investment, development effort) that contributes to the success of the investment. The costs include the salaries plus the fringe benefit rate of 32.8 percent. Agencies should reflect estimates of the costs of internal FTE supporting an IT investment, and should at a minimum include in FTE estimates of anyone spending more than 50 percent of their time supporting this investment. Persons working on more than one investment, whose contributions over all investments would exceed 50 percent of their overall time, should have their specific time allocated to each investment. For the Presidential Initiatives, this table should include all funding (both from managaing partner and from partner agencies).

Change(s) in Funding:

Note: New Start projects are not required to answer these questions. Please leave the response blank.]

A.1 If you are requesting funding in FY 2006 that is different than the previously approved FY 2006 funding level, then provide an explanation for the requested change.

No change in funding.

A.2 If the change is an increase, then indicate the consequences if the increase is not funded. Include in your response the consequences on business operations and future costs. If the change is a decrease, then what is the impact on the project/system?

N/A

B.1 If you are requesting funding in FY 2007 that is different than the previously stated FY 2007 funding level, then provide an explanation for the requested change.

The requested funding in FY07 is $16.75m, a 27% increase from the previously stated FY07 funding level of $13.10 which resulted from a realignment of milestone costs to meet the schedule baseline.

B.2 If the change is an increase, then indicate the consequences if the increase is not funded. Include in your response the consequences on business operations and future costs. If the change is a decrease, then what is the impact on the project/system?

The consequence of not funding this increase will result in an inability to meet VA EA program goals and objectives including federal e-gov goals and mandates.

C.1 If you are requesting funding in FY 2007 that is different than the previously approved FY 2006 funding level, then provide an explanation for the difference.

As stated above, the requested funding in FY07 is $16.75m, a 27% increase from the previously stated FY06 approved funding level of $13.10 which resulted from a realignment of milestone costs to meet the schedule baseline abd ultimately resulting in an overall reduction of $21.58m in the total cost estimate and cost baseline. This more accurately reflects projected costs to meet the EA milestone goals and objectives.

C.2 If the difference is an increase, then indicate the consequences if the increase is not funded. Include in your response the consequences on business operations and future costs. If the difference is a decrease, then what is the impact on the project/system?

The consequence of not funding this increase will result in an inability to meet VA EA program goals and objectives including federal e-gov goals and mandates.

IA. Investment Description

1. Provide a brief description of this investment and it's status through your capital planning and investment control (CPIC) or capital programming "control" review for the current cycle.

The One-VA Enterprise Architecture (EA) is driven by congressional mandate, is based on the VA-Zachman framework and is the complex framework of procedures, processes, systems and programs by which VA evaluates the effectiveness, interoperability and redundancy of its business processes and IT systems that provide health care and benefits to Veterans and their families. The OneVA EA continues to evolve since VA is implementing EA as a continuous improvement process. It is being re-baselined to support the organizational direction established by the VA's new Chief Architect. The following administrative areas will be represented under this consolidated EA budget request:
(1) Enterprise Architecture Service (EAS) – Responsible for development and publication of the annual VA Enterprise Architecture Assessment, Technical Reference Model, and supporting documents, as well as the EA Repository, the VA-Zachman Metamodel, and the EA modeling and publishing toolset, the Baseline System Inventory, the VA-Business-Model, Gap Analysis and Sequencing Plan. EAS evaluates new IT projects, budget requests and procurement requests for EA compliance and supports VA business lines with business process re-engineering services. EAS supports the Enterprise Architecture Council, the TRM working group, and participates in numerous collaborative activities.
((2) Data Architecture Service (DAS) – Responsible for leading and coordinating the establishment of data standards and data collaboration across the Department. To achieve this goal, DAS will develop and publish a VA Data Registry and will organize a VA Data Management Board. The VA Data Registry will serve to maximize stakeholder data access, understanding and ease of use. The VA Data Management Board will develop and implement a method to manage data horizontally throughout the VA. VA data registration and standardization activities will serve to identify opportunities for data sharing, integration, and interoperability, and reduces ambiguities and unnecessary redundancies in the definition and use of data. Data architecture defines and structures data and data relationships to facilitate analyses that feed

business strategy and optimization decisions. Data architecture also serves as a link between business architecture and application architecture, providing a foundation for application design and delivery.
(3) Records Management Service (RMS) – Responsible for managing numerous information compliance programs (including FOIA and RONA), managing the Section-508 Compliance Program, and capturing and reporting status on the VA's PMA initiatives and e-Gov participation, at the Department Level.
(4) Enterprise Configuration Management Service (ECMS) – Responsible for establishing consensus on configuration management matters across VA and for publishing guidance, direction and best practices for enterprise configuration management, IT project configuration management and deployment planning at the Department level. CM consists of five distinct functions:
a. CM Planning and Management
b. Configuration Identification
c. Configuration Change Management
d. Configuration Status Accounting
e. Configuration Verification and Audit

1.5% of costs identified for development will be used to provide an independent assessment (IV&V) for FY2007 and will incorporate the 1.5% funding requirement for independent assessments into all appropriate subsequent years.

2. What assumptions are made about this investment and why?

The Enterprise Architecture is mandated through Legislation; it is not an optional program and assumes cooperative, crosscutting partnerships with other Federal agencies such as DoD, OMB, GAO, Dept of Labor, IRS, etc. It also assumes that VA administrations will cooperate to align business practices to maximize benefits received from the new EA IT initiatives.

3. Provide any other supporting information derived from research, interviews, and other documentation.

External factors will affect the success and benefits derived from the initiatives of the VA Enterprise Architecture including the pace of IT advancement and access to it throughout society, the development of E-government initiatives and other future congressional directives. Success in achieving the program goals will be dependent upon full funding of this budget request and continued participation and support of the partnering agencies such as DoD, GAO, etc.

IB.

IB. Justification (All Assets)

In order for IT investments to successfully address support of the President's Management Agenda and justification of the investment, the investment should be collaborative and include industry, multiple agencies, State, local, or tribal governments, use e-business technologies and be governed by citizen needs. If the investment is a steady state investment, then an E-Gov strategy review is underway and includes all the necessary elements. If appropriate, this investment is fully aligned with one or more of the President's E-Gov initiatives.

Which of your agency's strategic goals & objectives does this project support? (Select all that apply)

Primary Goal	Secondary Goal	Tertiary Goal	Additional Goal	Additional Goal
One VA	Ensure Smooth Transition	Quality of Life		

1. How does this investment support your agency's mission and strategic goals and objectives?

The One-VA Enterprise Architecture directly supports VA's mission and strategic goals and objectives by: Improving the alignment of VA's IT investment decisions to VA business and Veteran service objectives; eliminating the development of redundant or duplicate systems across business lines; ensuring the standardization and reuse of data across business lines and partnering agencies; ensuring that the evolving information technology asset base is built upon widely accepted industry standards and best practices; ensuring the use of commonly available components (such as the e-gov facilities); and improving IT accountability and cost containment. The EA assists VA in articulating its strategic direction. It provides guidelines and directions to determine what needs to be done, who will do it, and when it is to be completed. The EA contains sufficient detail to demonstrate how VA will implement new IT initiatives in an effective manner to drive cross-functional integration across the Department. The One-VA EA provides the process by which information assets are planned, developed and managed at VA. The One-VA EA permits systematically defining and documenting the Department's desired (target) environment. This target environment is continuously evolving, however, in conjunction with VA's changing business requirements and with new developments in technology. Therefore, development of the One-VA EA is an on-going evolutionary process that directly supports the VA's Strategic Objective E3: Implement a One VA information technology framework that supports the integration of information across business lines and provides a source of consistent, reliable, accurate, and secure information to veterans and their families, employees, and stakeholders. As well as supporting Objective E4: Improve the overall governance of VA and the management of its business processes.

If applicable, what laws & regulations must the project address?

This initiative addresses and must conform with federal laws and regulations such as the Government Paperwork Elimination Act (GPEA), the Health Insurance Portability and Accountability Act (HIPPA), Government Performance and Results Act of 1993(GPRA), Government Management Reform Act (GMRA); Paperwork Reduction Act of 1995, Prompt Payment Act (PPA), the Clinger-Cohen Act and other applicable laws dealing with security, privacy and confidentiality.

Which Presidential Management Agenda items does this project support? (Select all that apply)

Primary Goal	Secondary Goal	Tertiary Goal	Additional Goal	Additional Goal
Expanded E-Gov.	Coord. of VA & DoD Programs/Systems	Improved Fin. Performance	Bud. and Perform Integration	

2. How does it support the strategic goals from the President's Management Agenda?

This initiative is fully aligned with the President's e-government initiatives and supports the strategic goals from the President's Management Agenda. Specifically, it supports the goals of "Coordination of VA & DoD Programs/Systems", "Expanded Electronic Government" and "Improved Financial Performance" . A key strategy of this initiative is in fact coordination of VA & DoD Programs and Systems through increased collaboration and leveraging of sharing agreements currently in place with the Department of Defense (DoD). VA and DoD will identify areas for partnering and sharing such as working to develop a joint communications plan to promote VA/DoD collaborative initiatives within each Department, educate internal and external stakeholders about joint VA/DoD initiatives and provide updates on accomplishments.

In terms of the strategic goal "Expanded Electronic Government", this initiative will directly impact citizens and result

in improving the services received from the Federal government while reducing the cost of delivering such services. The direct benefit to the Veteran is the improvement of the IT infrastructure resulting in customer-centered service.

Under "Improved Financial Performance", VA will realize significant savings by collapsing redundancy in system development efforts and by sharing data across business lines. EA also provides an enterprise-wide approach to infrastructure planning and acquisition, which results in significant savings over localized infrastructure acquisition. EA encourages deriving IT decision making from business and Veteran service objectives. Over time, VA's redundant, stove piped system components will be replaced with components that provide common functionality across all business lines; data will be collected one time and then used by many business processes, reducing maintenance costs and management complexity of VA's IT investment. These positive steps enhance accountability and cost reduction considerations already in place.

3. Are there any alternative sources in the public or private sectors that could perform this function?

No

4. If so, explain why your agency did not select one of these alternatives.

N/A

5. Who are the customers for this investment?

Veterans and their beneficiaries, VA employees (including VA hospital staff, benefits processors; etc.) VA Administrations: Veterans Health Administration (VHA) Veterans Benefits Administration (VBA) National Cemetery Administration (NCA) VACO staff offices.

6. Who are the stakeholders of this investment?

Veterans and their beneficiaries, VA employees (including VA Hospital Staff, Benefits Processors, etc.), VA administrations (see above), VA and other VACO staff offices, VA hospitals, OMB, DoD, Department of the Treasury, Social Security Administration, Internal Revenue Service, Health and Human Services, Department of the Interior/Indian Health Service, Other internal and external oversight reporting authorities.

7. If this is a multi-agency initiative, identify the agencies and organizations affected by this initiative (Select all that apply).

Agency 1	Agency 2	Agency 3	Agency 4
DoD	Other Federal Agency		

*7a. If this is a multi-agency initiative, discuss the partnering strategies you are implementing with the participating agencies and organizations. For the multi-agency initiative (i.e. Presidential Initiatives, other collaborative projects between agencies), include in your answer : * the name(s) of partner agencies; * the UPI of the partner agency investments; and * the partner agency contributions for CY and BY.*

A key partnering strategy of this initiative is increased collaboration and leveraging of sharing agreements currently in place with the Department of Defense (DoD) as well as working to build collaboration and sharing of information with other Federal agencies to maximize success. VA and DoD will identify areas for partnering and sharing such as working to develop a joint communications plan to promote VA/DoD collaborative initiatives within each Department, educate internal and external stakeholders about joint VA/DoD initiatives and provide updates on accomplishments.

In addition, through new business initiatives, opportunities for service convergence and synergies between VA and other Departments will be identified and realized in one optimized IT infrastructure.

8. How will this investment reduce costs or improve efficiencies?

This investment will reduce costs and improve efficiencies by eliminating duplication in system development and management and in data collection and reconciliation. This investment will also reduce costs and improve efficiencies by utilizing industry best-practices and the latest technology to improve current performance and service to Veterans. The VA Enterprise Architecture performs a key enabling function by assuring that all new IT initiatives are aligned with VA strategic objectives and the President's e-government initiatives. This is accomplished by the CIO's review and approval process for all IT capital investments, which is supported by staff assessments for enterprise architecture, capital investment planning and program management oversight.

9. List all other assets that interface with this asset.

All existing VA IT processes, systems and programs.

Have these assets been reengineered as part of this investment?

No

IC. - ID.

IC. Performance Goals & Measures

In order to successfully address this area of the business case, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives that this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60%, increase citizen participation by 300% a year to achieve an overall citizen participation rate of 75% by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

For Existing IT projects that have previously submitted Exhibit 300s:

--> If you completed Table 1 last year, please use Table 1 to report for fiscal year 2004 and Table 2 for fiscal years 2005 through at least 2008.

--> If you completed only Table 2 last year, please use Table 2 to report for fiscal years 2005 through at least 2008.

For projects that are submitting Exhibit 300s for the first time:

--> Use Table 2.

--> Report on Performance Measures for at least two years, i.e., FY 2007 and 2008, FY 2008 and 2009.

--> If the project will have data for 2006 that you wish to include, add extra lines in Table 2 and complete all information in this single table.

--> At least one performance goal should be met by BY+1.

Please see the document titled "Guidance on Exhibit 300 Performance Measures" for further instructions.

Table 1

	Fiscal Year	Strategic Goal(s) Supported	Existing Baseline	Planned Performance Improvement Goal	Actual Performance Improvement Results	Planned Performance Metric	Actual Performance Metric Results
1	2003	One VA	80%	EA Procurement Review	100%	Assure that 100% of all reviewed procurement action complies with EA Standards	100%
2	2003	One VA	50%	Employee Retention	100%	Improve ability to motivate, compensate, and retain employee's over the year with respect to 2003 as a baseline.	100%
3	2004	One VA	100%	EA Procurement Review	100%	Assure that 100% of all reviewed procurement action complies with EA Standards	100%
4	2004	One VA	100%	Employee Retention	100%	Improve ability to motivate, compensate, and retain employee's over the year with respect to 2003 as a baseline. Target 100% employee retention.	100%
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Table 2

Fiscal Year	Measurement Area	Measurement Category	Measurement Indicator	Baseline	Planned Improvements to the Baseline	Actual Results
2005	Mission and Business Results	Human Resource Management	Professional Development	8%	TOIT/OEAM employees trained and certified in the (PMP) Project Management Methodology will be increased to 22%.	30%
2005	Mission and Business Results	Human Resource Management	Employee Retention	100%	Sustain current performance level at 100% for employee retention. Ability to motivate, compensate, and retain employees over the year with respect to 2003 as a baseline.	100%
2005	Technology	Efficiency	EA Investment Review	100%	Sustain current performance level for EA investment review; 100% of all reviewed investment actions comply with EA Standards.	100%
2005	Processes and Activities	Productivity and Efficiency	Data Sharing	5%	Establish data standards for data sharing and collaboration across VA.	10%
2005	Processes and Activities	Management and Innovation	Complete 75% of EA business model development and publication to support investment decision review.	50%	Include business model analysis in 75% of major IT investments reviewed for EA conformity through milestone review CPIC process.	75%

2006	Mission and Business Results	Human Resource Management	Professional Development	8%	The percentage OIT/OEAM employees train and certified in the (PMP) Project Management Methodology.	TBD
2006	Mission and Business Results	Human Resource Management	Employee Retention	100%	Sustain current performance level at 100% for employee retention. Ability to motivate, compensate, and retain employees over the year with respect to 2003 as a baseline.	TBD
2006	Technology	Efficiency	EA Investment Review	100%	Sustain current performance level for EA procurement review; 100% of all reviewed procurement actions comply Sustain current performance level for EA investment review; 100% of all reviewed investment actions comply with EA Standards.	TBD
2006	Processes and Activities	Efficiency	Enterprise-wide infrastructure planning and acquisition	5%	Cost containment and elimination of redundant processes.	30%
2006	Processes and Activities	Management and Innovation	Complete 90% of EA business model development and publication to support investment decision review.	50%	Include business model analysis in 75% of major IT investments reviewed for EA conformity through milestone review CPIC process.	TBD
2006	Technology	Efficiency	Increase percentage of new IT system that utilized or share existing data with other system.	50%	Increase the percentage of new IT projects that utilized existing data (share data with other initiative) as opposed to creating or requesting redundant data. (10% every starting 05)	TBD
2007	Mission and Business Results	Human Resource Management	Professional Development	8%	The percentage OIT/OEAM employees train and certified in the (PMP) Project Management Methodology.	TBD
2007	Mission and Business Results	Human Resource Management	Employee Retention	100%	Sustain current performance level at 100% for employee retention. Ability to motivate, compensate, and retain employees over the year with respect to 2003 as a baseline.	TBD
2007	Mission and Business Results	Efficiency	EA Investment Review	100%	Sustain current performance level for EA investment review; 100% of all reviewed investment actions comply with EA Standards.	TBD

2007	Technology	Efficiency	Increase percentage of new IT systems that utilize or share existing data with other systems.	75%	Create authoritative data source for data sharing.	TBD
2007	Processes and Activities	Controls and Oversight	Configuration Management System	5%	Standard Configuration Management Policy, Procedures and Processes	TBD
2007	Processes and Activities	Planning and Resource Allocation	Complete 100% of EA business model development and publication to support investment decision review.	50%	Include business model analysis in 75% of major IT investments reviewed for EA conformity through milestone review CPIC process.	TBD
2007	Customer Results	Quality	OMB EA Maturity Assessment	3	Raise to 4 the score received from OMB in the EA Maturity Assessment.	TBD

ID. Program Management (Investment Management)

1. Is there a project manager assigned to the investment?

Yes

1.A Identify the members, roles, qualifications, and contact information of the in-house and contract project (investment) managers for this project (investment).

	Project Manager Names	Project Manager Role/Qualifications	PM Phone	PM Fax	Email
Primary in-house	Luigi Tenore	Project Manager PMI Level 3 PMP. The certificate level noted is consistent with CIO Council guidance.	(202) 273-8146	(202) 273-7492	luigi.tenore@va.gov
Alternate in-house	Morgan Breeden	Project Manager PMI Level 3 PMP. The certificate level noted is consistent with CIO Council guidance.	(202) 273-5265	(202) 273-7492	morgan.breeden@m-ail.va.gov
Contractor	n/a				

Project Management Qualification Status: Select the Qualification Status of the Investment's Project Managers (PM), as issued in OMB PM Guidance(M-04-19).

Qualification Status	Description
1	(1) - The project manager assigned for this investment has been validated as qualified in accordance with OMB PM Guidance.
	(2) - The project manager assigned for this investment is in the process of being validated as qualified in accordance with OMB PM Guidance.
	(3) - The project manager assigned for this investment is not validated as qualified in accordance with OMB PM Guidance.
	(4) - The qualifications for the project manager named have not been evaluated.

	(5) - No project manager is currently assigned for this investment.

2. Is there a contracting officer assigned to the project (investment)?

Yes

If so, what is his/her name?

Contract Officer Name	Contract Officer Phone / Fax / Email
Chris Burroughs	202.273.8765 / FAX / chris.burroughs@va.gov

3. Is there an Integrated Project Team?

Yes

3.A If so, list the skill set represented.

The Enterprise Architecture Integrated Project Team (EA IPT) is composed of Business Subject Matter Experts, Business Process Modelers, Information Architects, Information System Modelers, System Engineers, System Administrators, Data Architects, Information Security Specialists and Project Management Specialists. An organization has been established to manage and coordinate the development and implementation of an EA - EA Council.

4. Is there a sponsor/owner for this investment?

Yes

4.A If so, identify the sponsor/process owner by name and title and provide contact information.

Sponsor/Owner Name & Title	Sponsor/Owner Phone / Fax / E-mail
VA Chief Information Officer, Edward F. Meagher, Deputy Sec. for Information & Technology	(202) 273-8855 / (202) 273-8800 / edward.meagher@mail.va.gov

IE.

IE. Alternatives Analysis

In order to successfully address this area of the business case, you must include three viable alternatives that were compared consistently, identify the alternative chosen, and provide benefits and reasons for your choice. Agency must identify all viable alternatives and then select and report details on the top three viable alternatives. Use OMB Circular A-94 for all investments and the Clinger Cohen Act for IT investments for the criteria to be used for Benefit/Cost Analysis. Agency must include the minimum criteria to be applied in considering whether to undertake a particular investment, including criteria related to the quantitatively expressed projected net, riskadjusted return on investment, and specific quantitative and qualitative criteria for comparing and prioritizing alternative investments.

For IT investments, agencies should use the Federal Enterprise Architecture (FEA) to identify potential alternatives for partnering or joint solutions that may be used to close the identified performance gap.

1. Describe the alternative solutions you considered for accomplishing the agency strategic goals that this project was expected to address. Describe the results of the feasibility/performance/benefits analysis. Provide comparisons of the returns (financial and other) for each alternative.

Alternative #1 - One VA EA (see below)
Alternative #2 - Adminstration (Federated) EA (see below)
Alternative #3 - Outsourcing (see below)

1.A Discuss the market research that was done to identify innovative solutions for this project (e.g., used an RFI to obtain four different solutions to evaluate, held open meetings with contractors to discuss project scope, etc.). Also describe what data was used to make estimates: past or current contract prices for similar work, contractor provided estimates from RFIs or meetings, general market publications, etc.

Development of the Enterprise Architecture is an inherently Government function which must be performed under the auspices of the CIO in accordance with the Clinger-Cohn Act and the Secretary's EA governance document. This is not a process that may be outsourced, under current legislation and directives. Many technical service functions within the EA program, however, can and are being performed by contractors; this fact is reflected in the size of the contracting budget for EA, stated earlier in this document. The current approach to performing EA was established by the VA senior leadership in 2001 through senior steering committee action chaired by the Deputy CIO, this group spent approximately six weeks reviewing input and recommendations from world-class experts in the EA discipline. This steering groups resulting recommendation reflects the best practices in the industry, appropriately applied to the needs of VA.

	Alternative Name	Description
Alternative 1	One-VA EA	This approach establishes an One-VA EA Program Management Office. The EA PMO manages and coordinates Department EA activities including: the development of an EA business reference model; definition of the EA focusing on business, performance, application-capabilities, technologies and standards, and data and information; and assessment and identification of new opportunities for business process and system consolidation to improve VA efficiency and effectiveness. The EA Program Manager/Chief Architect provides leadership to the VA Architects Working group. The Chief Architect works closely with the VA administration Development Project Managers to ensure that all technical requirements are adequately addressed.
Alternative 2	Administration (Federated) EA	This approach creates a separate EA PMO within each VA administration (Health, Benefits and Cemetery). Each EA PMO would have the latitude to prepare their independent version of an EA. Instead of focusing on cross-Department opportunities; this approach encourages the Administrations to develop independent business strategies. This approach does not promote data sharing and does not increase system efficiency across Administrations. This decentralized approach reinforces the notion of VA Administrations operating like three independent entities. However, the Secretary has mandated that the One-VA approach will be taken in order to operate in support of the President's Management Agenda and to improve financial performance (neither of these objectives is achievable with the Administration Federated Approach).
Alternative 3	Outsourcing	The Enterprise Architecture is mandated through Legislation; it is not an optional program. It is an inherently a VA responsibility that cannot be delegated to another government agency or private concern.

Alternative 4		

2. Summarize the results of your life-cycle cost analysis performed for each investment.(USE DISCOUNTED DOLLARS)

1	Element	Alternative 1	Alternative 2	Alternative 3	Alternative 4
		One-VA EA	Administration (Federated) EA	Outsourcing	
1	Program Management	19.440	2.520	24.500	
2	Change Management	6.500	3.500	8.500	
3	Technical Infrastructure	17.640	9.870	22.150	
4	EA Development/Refinement	26.739	3.234	33.700	
5	Quality Assurance	2.441	7.718	3.000	
6	Maintenance/Security	13.440	16.800	17.000	
7					
8					
9					
10					
11					
12					
13	**Alternative Total**	86.200	36.700	75.880	

Summarize the underlying assumptions you made when completing your analysis:

Financial assumptions made in the cost analysis included the following: Contractor rates are estimated at $200,000 annually consistent with labor categories published in the GSA IT Federal Supply Schedule. The life cycle used for this analysis was five years. Government salaries are not included in this cost estimate. Investment costs incurred before or during this analysis are considered sunk costs and are excluded from this life-cycle cost because they do not have a bearing on the decision to invest.

3. Which alternative was selected and why was it selected?

Alternative 1 - One VA Enterprise Architecture approach provides maximum reusability and interoperability of the IT asset base. Also, the Enterprise Architecture is mandated through legislation, it is not an optional program. It is an inherent VA responsibility and cannot be delegated and is necessary to accomplish the agency's One-VA vision. While Alternative 2 appears to be a lesser investment, this is only because the Administration (federated) EA already exists and does not require the startup investment that Alternative 1 does. Ultimately, in the long term, it is much more expensive to maintain a federated Administration EA (as it currently exists at VA) because of the duplicative and redundant costs associated with maintaining such a process.

3.A Will any quantitative benefits be achieved through this investment (e.g., systems savings, cost avoidance, stakeholder benefits, etc)?

The benefits to be achieved are in the form of improved business alignment, improved veteran service and improved economy and accountability in all VA IT projects that are developed under the auspices of the Enterprise Architecture process. To date four specific new initiatives have capitalized on the One-VA EA: Enterprise Cyber Security Infrastructure Program (ECSIP), Telecommunications Modernization Program (TMP), One-VA Registration & Eligibility (One-VA R&E), and National Contact Center (NCC). The expected FY 2004 cost avoidance projections through these four programs are

reflected below: Enterprise Cyber Security Infrastructure Program - Phase 1 and 2: $23,076.011Telecommunications Modernization Program - Phase 1 and 2: $2,625,000OneVA Registration and Eligibility - Phase 1 and 2: $8,490,000National Contact Center: $12,750,000

Define the Return on Investment (ROI) in percentage terms to two decimal places, i.e. 20.00 for twenty percent.

23.95

3.B For the alternative selected, provide Net Present Value by Year (5, 10, 15 or 30 years, depending on project life cycle).

NPV by Year

Year			**2007**	**2008**	**2009**	**2010**	**2011**	**2012**
Enter NPVs:			-8.75	28.77	33.16	9.81	10.17	
----------	**----------**	**----------**	**----------**	**----------**	**----------**	**----------**	**----------**	**----------**
Year	**2013**	**2014**	**2015**	**2016**	**2017**	**2018**	**2019**	**2020**
Enter NPVs:								
----------	**----------**	**----------**	**----------**	**----------**	**----------**	**----------**	**----------**	**----------**
Year	**2021**	**2022**	**2023**	**2024**	**2025**	**2026**	**2027**	**2028**
Enter NPVs:								
----------	**----------**	**----------**	**----------**	**----------**	**----------**	**----------**	**----------**	**----------**
Year	**2029**	**2030**	**2031**	**2032**	**2033**	**2034**	**2035**	**2036**
Enter NPVs:								

Enter the year that Payback occurs:

2008

4. What is the date of your cost benefit analysis? (mm/dd/yyyy)

Aug 31, 2005

IF. -IG.

IF. Risk Inventory & Assessment

In order to successfully address this issue on the business case and capital asset plan, you must have performed a risk assessment at the initial concept, included mandatory risk elements defined below and demonstrate active management of the risk throughout the life-cycle of the investment.

For all investments, both IT and non-IT, you must discuss each of the following risks and present your plans to eliminate, mitigate, or manage risk, with milestones and completion dates. If there is no risk to the investment achieving its goals from a risk category, indicate so. If there are other risks identified, include them. Risk assessments should include risk information from all stakeholders and should be performed at the initial concept stage and then monitored and controlled throughout the life-cycle of the investment. Risk assessments for all investments must include: 1) schedule ; 2) initial costs; 3) life-cycle costs); 4) technical obsolescence; 5) feasibility; 6) reliability of systems; 7) dependencies and interoperability between this investment and others; 8) surety (asset protection) considerations; 9) risk of creating a monopoly for future procurements; 10) capability of agency to manage the investment; and 11) overall risk of investment failure.

In addition, for IT investments, risk must be discussed in the following categories 12) organizational and change management; 13) business; 14) data/info; 15) technology; 16) strategic; 17) security; 18) privacy; and 19) project resources. For security risks, identify under the Description column the level of risk as high, medium, or basic. What aspect of security determines the level of risk, i.e., the need for confidentiality of information, availability of information or the system, reliability of the information or system? Under the Current Status column, list the milestones remaining to mitigate the risk.

Moreover, for each risk category with a probability of occurrence of at least medium and impact of at least medium, please indicate whether or not the costs to mitigate the risk have been incorporated into your lifecycle cost estimates in the summary of spending stages section of this Exhibit 300. If not, please also indicate why in your response.

	Date Identified	Risk Category	Description	Probability of Occurrence	Impact	Strategy for Mitigation	Mitigation Costs Included (Y/N)	Current Status as of the Date of this Exhibit
1	May 1, 2002	Schedule	Capability and performance may be affected by short deadlines	Basic	Med	Adapting a modular approach to both capability development and contract execution.		Risk has been mitigated to a manageable level and will be monitored quarterly.
2	May 1, 2002	Initial Costs	Scope Creep	Basic	Med	Contracts will be monitored for performance.		Risk has been mitigated to a manageable level and will be monitored quarterly.
3	May 1, 2002	Life-Cycle Costs	EA artifact development costs may exceed estimates.	Basic	Med	Repository and EA costs will be managed in connection with overall EA artifact development and implementation plans.		Risk has been mitigated to a manageable level and will be monitored quarterly.
4	May 1, 2002	Technical Obsolescence	Tools used for EA repository development and data repository become outdated.	Basic	Med	VA has adopted a strategy in repository and presentation development for the EA. This strategy separates the collection and authoring of EA		Risk has been mitigated to a manageable level and will be monitored quarterly.

						information, in the form of structured data, from the modeling tool it self. This approach mitigates the risk of tool obsolesce. With this structure modeling input can be ported to any modeling tool with short notice.		
5	May 1, 2002	Feasibility	COTS software and data structures may not meet VA needs.	Basic	Med	The new approach to EA repository and portal development does not rely upon a single tool. A variety of modeling tools are used for various purposes; the modeling input is consistently managed in a structured data management tool and the results are presented in a consistent HTML presentation. Developers who require a dynamic presentation will work directly with the appropriate modeling tool.		Risk has been mitigated to a manageable level and will be monitored quarterly.
6	May 1, 2002	Reliability of Systems	This risk category isn't applicable, EA is not a development system nor does it develop systems but rather it is a organizational service being directed by VA's Chief Architect.	Basic		N/A, EA is not a development system nor does it develop systems.		
7	May 1, 2002	Dependencies &	Insufficient information to	Basic	Med	Coordination will be		Risk has been mitigated to a

		Interoperability Btw. this Investment & Others	determine dependencies and interoperability			established between this office and stakeholder organizations to ensure adequate information flow.		manageable level and will be monitored quarterly.
8	May 1, 2002	Surety (Asset Protection) Considerations	Information may be compromised.	Basic	Med	Servers are managed in a certified and accredited network/general support system.		Risk has been mitigated to a manageable level through certification and accreditation.
9	May 1, 2002	Risk of Creating a Monopoly for Future Procurements	Previously acquired hardware, software and consulting services influence good procurement decision making.	Basic	Low	Establish requirements and good monitoring procurement practices to provide fair contracting practices.		Risk has been mitigated to a manageable level and will be monitored quarterly.
10	May 1, 2002	Capability of Agency to Manage the Investment	Lack of communication between the stakeholders.	Basic	High	Involve a broad spectrum of stakeholders in EA decision making and standard adapting processes. Through the Enterprise Architecture Council (EAC) and through special interest working groups in which subject matter experts within the administration and field units participates in developing EA standards and best practices.		Some working groups are currently engaged while other are being established. Collaboration with Administrations is encouraged through the Enterprise Architecture Council and joint EA-Business Line work sessions.
11	May 1, 2002	Overall Risk of Project Failure	Critical requirements are not fully defined.	Basic	High	The EA program is closely managed by the Chief Architect and the EA/PMO. This program is also monitored by the EAC and the Enterprise information Board (EIB), which is chaired		Permanent risk mitigating solution in place.

						by the CIO and is made up of high level business stake holders.		
12	May 1, 2002	Organizational & Change Management	Lack of communication	Basic	Med	The EA program is closely managed by the Chief Architect, the EAC and the EA/PMO. This program is also monitored by the Enterprise information Board (EIB), which is chaired by the CIO and is made up of high level business stake holders.		Permanent risk mitigating solution in place
13	May 1, 2002	Business	Lack of consensus on critical business objectives	Basic	High	Issues that cannot be resolved by working groups or the EA/PMO are raised to the EAC, CIO and EIB levels.		Permanent risk mitigating solution in place
14	May 1, 2002	Data/Info.	Inconsistent data between business lines	Basic	High	Data Management Board ensures coordination of business lines' requirements.		Risk has been mitigated to a manageable level and will be monitored quarterly.
15	May 1, 2002	Technology	HW/SW replacement; Project size or scope creep	Basic	Low	Pilot HW and SW before full implementation and use other agencies' experience for benchmarking; Must plan for and keep track of proper resources at all phases of the project;		Risk has been mitigated to a manageable level and will be monitored quarterly.
16	May 1, 2002	Strategic	Lack of focus on and follow-through on IT Strategic Plan goals OIG/GAO deficiencies; Lack of compliance;	Basic	Med	Adherence to strategic plan guidance and compliance with OIG/GAO guidance is closely monitored by the CIO as part of the EA governance process.		Permanent risk mitigating solution in place.
17	May 1,	Security	Inconsistent	Medium	Low	Adhere to VA		Risk has been

	2002		security practices			Security Requirements and Standards.		mitigated to a manageable level and will be monitored quarterly.
18	May 1, 2002	Privacy	Compromise of HIPAA related data	Basic	Low	Establish clear benefits rules of behavior and security controls		Risk has been mitigated to a manageable level and will be monitored quarterly.
19	May 1, 2002	Project Resources	Inappropriate allocation of staff; staff expertise; cost overruns; team experience; insufficient time	Basic	High	Use appropriately trained personnel and correctly structured project team; Must identify relevant costs, understanding component costs and provide adequate program management and quality control; develop a project plan with tasks broken into increments; use earned value management to avoid schedule slippage		Risk has been mitigated to a manageable level and will be monitored quarterly.

1. What is the date of your risk management plan? (mm/dd/yyyy)

Aug 11, 2005

IG. Acquisition Strategy

In order to adequately address this area of the business case and capital asset plan you must employ a strong acquisition strategy that mitigates risk to the Federal Government, accommodate Section 508 as needed, and use performance based contracts and statements of work (SOWs). If you are not using performance based fixed price contracts, your acquisition strategy should clearly define the risks that prompted the use of other than performance based contracts and SOWs. Finally, your implementation of the Acquisition Strategy must be clearly defined.

1. Will you use a single contract or several contracts to accomplish this project?

several

1.A What is the type of contract/task order if a single contract is used?

1.B If multiple contract/task orders will be used, discuss the type, how they relate to each other to reach the project outcomes, and how much each contributes to the achievement of the project cost, schedule and performance goals.

Multiple contracts will be used to provide services for various EA initiatives. Each Enterprise Architecture Service will integrate contract staff with government staff, performing in the same initiative-area in order to effect knowledge transfer. Contracts will include tool development, modeling, business process analysis, web development and web publishing and will follow the VA's system development life cycle management process for comprehensive management, progressive decision making and authorization of funding for each step in the process. Data Architecture contracts will

include support for research and analysis of best-practices and industry standards; requirements definition; data modeling support, production of data artifacts; prototype design and development, test, and deployment; commercial-of-the-shelf (COTS) tool recommendation, test, and evaluation, and support of Data Management Board activities. Configuration Management contracts will include support for the definition of policy, processes and standards for implementing a systems engineering lifecycle infrastructure and operational support of these best practices.

Also discuss the contract/task order solicitation or contract provisions that allow the contractor to provide innovative, transformational solutions.

VA will utilize performance-based contract provisions, where practical, to motivate contractor performance. Continued business is one of the most powerful incentives for contractors, for that reason, the contracts will have short time frames and the contractor must meet or exceed performance goals and objectives to continue getting additional work. A variety of task orders will be issued and structured to provide incentives to the contractors who are meeting or exceeding performance expectations.

2. For other than firm-fixed price, performance-based contracts, define the risk not sufficiently mitigated in the risk mitigation plan, for that contract/task order, that requires the Government to assume the risk of contract achievement of cost, schedule and performance goals. Explain the amount of risk the government will assume.

None of these contracts are cost reimbursement based. All contracting will be for the acquisition of standard, commercially available, IT services and for commodity type hardware, COTS software, and routine warranty maintenance. Each of the commodities being acquired is currently in use by hundreds of commercial and government customers. None of the contracting contemplated involves high-risk custom application or infrastructure development.

3. Will you use financial incentives to motivate contractor performance (e.g. incentive fee, award fee, etc.)?

No

4. Discuss the competition process used for each contract/task order, including the use of RFP's, schedules or other multiple agency contracts, etc.

Existing GSA contracts, which were previously competed, will be used, as well as the recently competitively awarded PCHS II contract. With the extremely small quantities involved, there is no advantage in competition over the negotiated GSA pricing. Emphasis will be placed on contracting with Service- Disabled-Veteran-Owned Small Businesses and 8A businesses, where it can be shown that there is sufficient expertise among these firms, to perform the required task.

5. Will you use commercially available or COTS products for this investment?

Yes

5.A To what extent will these items be modified to meet the unique requirements of this project?

These products are not modified; they may be customized within the standard range of options provided by the vendor.

5.B What prevented the use of COTS without modification?

6. What is the date of your acquisition plan? (mm/dd/yyyy)

Mar 11, 2005

7. How will you ensure Section 508 compliance?

EA will solicit the VA's 508 Team's guidance and will include 508 compliance as part of the IV&V review to ensure EA initiatives meet 508 compliance. The terms of the task orders issued by EA require that contractors provide Section 508 compliant software and compliance will be monitored throughout the project lifecycles.

8. For the budget year, what percentage of the total investment is for hardware, software and services?

(After entering percentages for hardware, software and services, click the "Submit" button at the upper right of the Form to calculate the % Total field. % Total must equal 100.)

% Hardware	% Software	% Services	Total %
8.00	12.00	80.00	100.00

IH1.- IH3.

IH. Project (Investment) and Funding Plan

In order to successfully address this section of the business case, you must demonstrate use of an Earned Value Management System (EVMS) that meets ANSI/EIA Standard 748, for both Government and contractor costs, for those parts of the total investment that require development efforts (e.g., prototypes and testing in the planning phase and development efforts in the acquisition phase) and show how close the investment is to meeting the approved cost, schedule and performance goals. Information on EVMS is available at www.acq.osd.mil/pm.

For those investments in the operations/steady state phase, you must perform an operational analysis as defined in the Capital Programming Guide to demonstrate how close the investment is to achieving the expected cost, schedule and performance goals for this phase.

Program status information in this section must include both the contractor's part of the investments overall costs and milestone requirements as well as the Government's costs and milestone requirements to successfully complete the investment phase, segment or module being reported.

IH.1 Description of Performance-based Management System (PBMS)

Explain the methodology used by the agency to analyze and use the earned value performance data to manage performance. Describe the process you will use or used to verify that the contractor's project management system follows the ANSI/EIA Standard 748-A. If the investment is operational (steady state), define the operational analysis system that will be used. If this is a mixed life-cycle investment with both operational and development/modernization/enhancement (DME) system improvement aspects, EVMS must be used on the system improvement aspects of the investment and operational analysis on the operations aspects.

Using information consistent with the work breakdown structure (WBS), provide the information requested in all parts of this section.

Explain the methodology used to analyze and use the earned value performance data to manage performance. Describe the process you will use or used to verify that the contractor's project management system follows the ANSI/EIA Standard 748-A. If this is a mixed life-cycle investment, EVMS must be used on the system improvement aspects of the investment.

Utilizing the VA-Project Management methodology, Primavera TeamPlay, performance based management mechanisms will be implemented to ensure that the goals of the One VA EA are achieved within cost and time projections. The One-VA EA will consist of a clearly defined program WBS that will map to schedule and cost projections against which the programs progress will be assessed. Any schedule and cost deviations will be identified and mitigated on an ongoing basis throughout the project. The WBS will also be integrated into the Zachman responsibility assignment matrix (RAM) framework so that the roles and responsibilities of the team members as well as the integration of program management, risk management, and staffing components will be distinctly identified. This framework will allow for quick remediation by responsible parties should actual performance deviate from expected costs or scheduling. Furthermore, the VA will require earned value management reports from all contractors. Each contracted Program Manager (PM) will track not only performance of the investment but also a structure for making trade-off and sourcing decisions as the program progresses. Using the VA's contract as guidance, the PM will develop a WBS, metrics, and targets for monitoring the costs, benefits, and schedule of the investment before the actual investment is made. The PM will consider not only internal financial benefits (i.e., cost savings or cost avoidance), but also the non-monetized benefits that agencies, stakeholders, and citizens may realize. The goals the PM identifies will be added to the baseline and will be tracked through the performance measurement system. OMB guidance requires that corrective actions be identified for any variance greater than 10 percent associated with the cost, schedule, and performance goals. The PM will use a threshold of 5 percent variance, to be examined formally every quarter. Corrective actions will include means by which the project will be brought back within the original goals or, if not, how and why the goals should be revised, and analysis of whether the project is still cost-beneficial and if the asset is still justified.

If the investment is operational (steady state), or a mixed lifecycle investment, then define the operational analysis system that will be used on the operations aspects of the investment.

IH.2 Original Baseline (OMB approved at investment outset)

What are the cost and schedule goals for this phase or segment/module of the investment (e.g., what are the major investment milestones or events; when will each occur; and what is the estimated cost to accomplish each one)? Also identify the funding agency for each milestone or event if this is a multi-agency investment. For operational or steady state projects, complete one line on the chart for each year of this phase. If the project is mixed lifecycle, there will be two parts to the chart; one for the Operations and Maintenance (O&M) portion and one for the developmental portion using EVMS. If this is a multi-agency investment or one of the Presidential initiatives, use the detailed investment plan with milestones on the critical path, to identify agency funding for each module or milestone. (This baseline must be included in all subsequent reports, even when there are OMB-approved baseline changes shown in I.H.4).

**Cost and Schedule Goals: Original Baseline for a Phase/Segment/Module of Project (Investment)*

Original baseline Phase/Segment/Module of Project (Investment)?

Full Project Schedule

	Description of Milestone	Schedule Start Date	Schedule End Date	Schedule Duration (Days)	Planned Cost ($M)	Funding Agency	Include in PMB (Yes/No)
1	Program Mgt/Planning	Oct 1, 2003	Sep 30, 2008	1,305	19.440	VA Administrations	Yes
2	Change Management	Oct 1, 2003	Sep 30, 2008	1,305	6.500	VA Administrations	Yes
3	Technical Infrastructure	Oct 1, 2003	Sep 30, 2008	1,305	17.640	VA Administrations	Yes
4	EA Development and Refinement	Oct 1, 2003	Sep 30, 2008	1,305	26.739	VA Administrations	Yes
5	Quality Assurance	Oct 1, 2003	Sep 30, 2008	1,305	2.441	VA Administrations	Yes
6	Maintenance/Secu-rity	Oct 1, 2003	Sep 30, 2008	1,305	13.440	VA Administrations	Yes
7							
8							
9							
10							
11							
12							
13							
14							
15							

Completion Date:	Sep 30, 2008	Total Cost Estimate at Completion:	86.200
Completion Date: (Calculated)	Sep 30, 2008	Total Cost Estimate at Completion: (Calculated)	86.20

IH.3 Proposed re-baseline (applicable ONLY if agency intends to propose a baseline modification):

Identify in this section the proposed change to the original or current OMB-approved baseline. What are the new cost and schedule goals for the phase or segment/module (e.g., what are the major investment milestones or events; when will each occur; and what is the estimated cost to accomplish each one)? Also identify the funding agency for each milestone or event if this is a multi-agency investment. If this is a new investment in the FY 2007 Budget year or if the agency does not intend to propose a new baseline modification, this section will be blank for your budget submission.

**Cost & Schedule Goals: Baseline for a Phase / Segment / Module of Project (Investment)*

If you are proposing a new baseline for the project, then please explain the necessity for the baseline change and the impact of the change, if any, to the project's cost, schedule, and scope, including impact to the quality of the completed product or service.

The One-VA Enterprise Architecture program has undergone a thorough review resulting in reallocation of program costs, refined Milestones and reduced project risk. The actual cost baseline for the program was reduced from $86M to $72M, a $14 M (a 16%) reduction. The FY07 reimbursable request increased from previous estimates due to the need to move all development costs forward to Sep 30, 2008 (or earlier) to reflect activity that will be performed within the schedule baseline. In addition, funds were requested to begin development of a 508 test center ($4M). In short, the previous spread of costs was incorrect with development costs being shown beyond project completion which is illogical, and the costs of the 508 test center correctly shown in FY07.

Proposed baseline Phase/Segment/Module of Project (Investment)?

Proposed

	Description of Milestone	Schedule Start Date	Schedule End Date	Schedule Duration (Days)	Planned Cost ($M)	Funding Agency	Include in PMB (Yes/No)
1	EAS - Program Planning	Oct 1, 2003	Sep 30, 2008	1,200	8.000	VA	Yes
2	EAS - Develop & Publish Enterprise Architecture Future Vision and Target (To Be) Enterprise Architecture	Oct 1, 2005	Sep 30, 2006	240	0.668	VA	Yes
3	EAS - Develop & Publish EA Baseline & Create BPR Practice	Oct 1, 2005	Sep 30, 2007	480	1.570	VA	Yes
4	EAS - EA Policy and Process	Oct 1, 2005	Sep 30, 2008	720	0.040	VA	Yes
5	EAS - Develop & Publish Technical Reference Model	Oct 1, 2005	Sep 30, 2006	240	0.630	VA	Yes
6	EAS - Communications, Conference & EAC Activities	Oct 1, 2005	Sep 30, 2008	240	0.271	VA	Yes
7	EAS - EA Development	Oct 1, 2003	Sep 30, 2008	1,200	18.840	VA	Yes
8	DAS - Data Policy, Process and Plans	Oct 1, 2004	Sep 30, 2008	960	11.131	VA	Yes
9	DAS - Data management methodology developed, documented, implemented and continuously improved	Oct 1, 2004	Sep 30, 2008	960	2.250	VA	Yes
10	DAS - Data registry developed, piloted, implemented and continuously improved	Oct 1, 2004	Sep 30, 2008	960	1.164	VA	Yes
11	DAS - Data analysis methodology/tool developed, piloted, implemented and continuously improved	Oct 1, 2004	Sep 30, 2008	960	5.320	VA	Yes
12	DAS - Independent program management, and post implementation reviews conducted	Oct 1, 2004	Sep 30, 2008	960	1.070	VA	Yes
13	DAS - Data standardization process developed, documented, implemented and continuously improved	Oct 1, 2004	Sep 30, 2008	960	1.627	VA	Yes
14	CMS - Configuration Management implemented and maintained	Oct 1, 2005	Sep 30, 2008	720	2.500	VA	Yes

15	CMS - Requirements Management implemented and maintained	Oct 1, 2005	Sep 30, 2008	720	2.000	VA	Yes
16	RMS - Develop and establish Section 508 Test Center	Oct 1, 2006	Sep 30, 2008	480	8.000	VA	Yes
17	Federated Enterprise Data Consolidation Repository - Hire staff, Contract for Requirements Development, Determine Initial Requirements	Oct 1, 2006	Sep 30, 2007	240	2.047	VA	Yes
18	VA Enterprise Network Utility Services - Hire staff, Contract for Requirements Development, Determine Initial Requirements	Oct 1, 2006	Sep 30, 2007	240	2.267	VA	Yes
19	Enterprise Engineering Systems Solutions and Acquisition -Hire staff, Contract for Requirements Development, Determine Initial Requirements	Oct 1, 2006	Sep 30, 2007	240	2.598	VA	Yes
20	Combined Maintenance (EAS, DAS, CMS, RMS) FY 03-FY09	Oct 1, 2003	Sep 30, 2010	1,680	44.080	VA	No
21							
22							
23							
24							
25							
26							
27							
28							
29							
30							

Completion date:	Sep 30, 2008	Total cost estimate at completion:	72.000
Completion date: (Calculated)	Sep 30, 2008	Total cost estimate at completion: (Calculated)	71.99

IH.3 Current baseline (OMB-Approved re-baseline):

	Description of Milestone	Schedule Start Date	Schedule End Date	Schedule Duration (in	Planned Cost ($ M)	Funding Agency	Include in PMB

				days)			(Yes/No)
1							
2							
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29							
30							

Completion Date		**Total Cost Estimate at Completion:**	
Completion date (Calculated):		**Total Cost Estimate at Completion (Calculated):**	

IH4.

IH.4 Actual Performance and Variance from OMB-approved Baseline (original or current)

A. This section is always filled in to reflect current status of the investment. It compares the OMB approved baseline and actual results for this phase, segment, or module of the investment. Show for each major investment milestones or events you planned (scheduled) to accomplish and the cost and what work was actually done and the cost. If the project is in the operational or steady state phase complete one line on the chart for each year. For these projects complete paragraphs C, D, F and G as appropriate. If this is a new investment in the FY 2007 Budget year, this will be blank for your budget submission. OMB may ask for latest information during the budget review process.

**Comparison of OMB-Approved Baseline and Actual Outcome for Phase/Segment/Module of a Project (Investment)*

Actual Performance Phase/Segment/Module of Project (Investment)?

Full Project Schedule

Is this system entirely Steady State?

No

	Description of Milestone	OMB Start Date	OMB End Date	OMB Duration (days)	OMB Planned Cost ($M)	OMB Funding Agency	Include in PMB(Yes/No)	Actual Start Date	Actual End Date	Actual Percent Complete	Actual Cost ($M)
1	Program Mgt/Planning	Oct 1, 2003	Sep 30, 2008	1,305	19.440	VA Administrations	Yes	Oct 1, 2003		30.00	13.751
2	Change Management	Oct 1, 2003	Sep 30, 2008	1,305	6.500	VA Administrations	Yes	Oct 1, 2003		10.00	0.552
3	Technical Infrastructure	Oct 1, 2003	Sep 30, 2008	1,305	17.640	VA Administrations	Yes	Oct 1, 2003		35.00	6.160
4	EA Development/Refi-nement	Oct 1, 2003	Sep 30, 2008	1,305	26.739	VA Administrations	Yes	Oct 1, 2003		40.00	5.974
5	Quality Assurance	Oct 1, 2003	Sep 30, 2008	1,305	2.441	VA Administrations	Yes	Oct 1, 2004		10.00	0.240
6	Maintenance/Secu-rity	Oct 1, 2003	Sep 30, 2008	1,305	13.440	VA Administrations	No			0.00	3.300
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	Description of Milestone	**Project Managers BCWS**	**Earned Value (Planned Cost * Percentage Complete)**	**Include in PMB (Yes/No)**	**Remaining Work (Planned Cost - Earned Value)**	**Project Managers Est. Remaining Work**	**Project Managers Est. at Completion**
1	Program Mgt/Planning		5.832	Yes	13.608		13.751
2	Change Management		0.650	Yes	5.850		0.552
3	Technical Infrastructure		6.174	Yes	11.466		6.160
4	EA Development/Refi-nement		10.696	Yes	16.043		5.974
5	Quality Assurance		0.244	Yes	2.197		0.240
6	Maintenance/Secu-rity			No			
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	Total of Original Milestones		23.596	-	49.164		26.677

	Description of New/Proposed Milestone	Included in PMB (Yes/No)	Project Managers Est. of Remaing Work	Project Managers Est. at Completion
1		Yes		
	Total for All Milestones			26.677

Budget at Completion	Value	Value (Calculated)

Completion Date: OMB Approved Baseline:	Sep 30, 2008	Sep 30, 2008
Total Cost: OMB Approved Baseline:	86.200	72.76
Estimate at Completion		
Estimated Completion Date:	Sep 30, 2008	-
Estimated Cost at Completion:	72.000	-

B. Provide the following investment summary information from your EVMS data:

	Value	Value (Calculated)
EVMS As of Date:	Aug 15, 2005	-
B.1 Show the budgeted (planned) cost of work scheduled (BCWS $):	27.176	
B.2 Show the budgeted (planned) cost of work performed (BCWP $):	23.600	23.596
B.3 Show the actual cost of work performed (ACWP $):	26.680	26.68

B.4 Provide a performance curve graph plotting BCWS, BCWP and ACWP on a monthly basis from inception of this phase or segment/module through the latest report.

In addition, plot the ACWP curve to the estimated cost at completion (EAC) value and provide the following EVMS variance analysis.

Project (Investment) Summary (Cummulative)	Value	Value (Calculated)
Cost Variance = (BCWP-ACWP) =	-3.08	-3.08
Cost Variance % = (CV/BCWP) x 100% =	-13.06	-13.05
Cost Performance Index (CPI) = (BCWP/ACWP) =	0.88	0.88
Schedule Variance = (BCWP-BCWS) =	-3.58	-3.58
Schedule Variance % = (SV/BCWS) x 100% =	-13.18	-13.16
Schedule Performance Index (SPI) = (BCWP/BCWS) =	0.87	0.87
First independent Estimate at Completion (EAC) = ACWPcum + ((Performance Factor (PF) x (BAC-BCWPcum)) where PF = 1/CPI =	82.27	82.26
Second independent Estimate at Completion (EAC) = ACWPcum + ((Performance Factor (PF) x (BAC-BCWPcum)) where PF = 1/(CPI x SPI) =	90.70	90.68
Variance at Completion (VAC) = (BAC minus EAC) for first EAC above =	-9.50	-9.50
Variance at Completion (VAC) = (BAC minus EAC) for second EAC above =	-17.94	-17.92
Variance at Completion % = (VAC/BAC) x 100% for first EAC above =	-13.06	-13.05
Variance at Completion % = (VAC/BAC) x 100% for second EAC above =	-24.66	-24.62

Estimated Cost to Complete (ETC) =	45.32	-
Expected Completion Date =	Sep 30, 2008	-

Definitions for Earned Value Management System
ACWP - Actual Cost of Work Performed - What you paid.
BAC - Budget at Completion - The baseline (planned) budget for the project.
BCWP - Budgeted Cost for Work Performed - The earned value.
BCWS - Budgeted Cost for Work Scheduled - The planned costs.
CPI - Cost Performance Index - The ratio of the budgeted to actual cost of work performed.
CV - Cost Variance - The difference between planned and actual cost of work performed.
EAC - Estimate at Completion - The latest estimated cost at completion.
ETC - Estimate to Completion - Funds needed to complete the investment.
PF - Performance Factor - The cost to earn a dollar of value, or ACWP/BCWP, or 1/CPI.
SPI - Schedule Performance Index - The percent of the investment that has been completed.
SV - Schedule Variance - The variance between the actual and planned schedules.
VAC - Variance at Completion - The variance between the baseline and actual budget at completion.

C. If cost and/or schedule variance are a negative 10 percent or more at the time of this report or EAC is projected to be 10 percent more, explain the reason(s) for the variance(s).

The cost variance is a -13.06% and the schedule variance is a -13.18%, however, the EAC is projected to be $72,000,000, significantly lower than the original OMB-approved cost baseline of $86,200,000. The reason for the variances is due to EA's re-baselining efforts and the nature of the re-baselining resulting in slowing spending as the emphasis of the work moved to requirements gathering and definition. In addition, the re-organization of the VA's Office of Acquisition Management and a delay in the assignment of an Acquisition Officer for EA, resulted in a 6-month delay in letting the contracts for this effort ultimately impacting the schedule and resulting in the negative schedule variance.

D. Provide performance variance. Explain, based on work accomplished to date, whether or not you still expect to achieve your performance goals. If not, explain the reasons for the variance. For steady state projects, in addition to a discussion on whether or not the system is meeting the program objectives, discuss whether the needs of the owners and users are still being met.

Cost Performance Index is .88 and Schedule Performance Index is .87 and are primarily due to re-baselining efforts that caused a shift in focus to requirements gathering and definition. The shift in effort has resulted in slowing spending in the front years and a slight delay in schedule. However, program objectives and the needs of owners and users still remain on target to be met. In addition, the re-baselining effort will decrease risks, increasing the likelihood of successfully achieving EA performance goals.

E. For investments using EVMS, discuss the contractor, government, and at least the two EAC index formulas in I.H.4.B, current estimates at completion. Explain the differences and the IPTs selected EAC for budgeting purposes. This paragraph is not applicable to operations/steady state projects.

The IPT selected EAC for budgeting purposes is $72,000,000 because it reflects current efforts to achieve the goals of the One-VA EA. Although the two EAC index formulas current estimates at completion are 82,265,381.05 and 90,700,745.67 and are due to the negative cost and schedule variances resulting from the impact of the re-organization of the department and new direction established by the new Chief Architect, the new direction and refined milestones established by the Chief Architect will bring the investment closer to the original baseline budget at completion.

F. Discuss the corrective actions that will be taken to correct the variances, the risk associated with the actions, and how close the planned actions will bring the investment to the original baseline.

The corrective actions taken to correct the variances include the rebaselining which is primarily a shift from the six very broad milestones in the original baseline to twenty more refined and specific milestones that will allow for greater clarity and accountability and more clearly defined deliverables. The IPT will be utilizing Primavera ITPO to align the WBS and Schedule directly to the new baseline milestones by fiscal year to allow for improved performance tracking and greater granularity in assessing the work to be done and significantly reducing risk. In addition, Service Directors will monitor their areas closely to assure that target goals will be met and when corrective action is required that mitigation plans can be readily implemented to minimize impact to cost and schedule goals.

Define proposed baseline changes, if necessary.

The proposed baseline changes are primarily due to a shift from the six very broad milestones in the original baseline to twenty more refined and specific milestones that will allow for greater clarity and accountability and more clearly defined deliverables. While the milestones are being redefined, the original cost baseline is being lowered. The OneVA EA continues to evolve since VA is implementing EA as a continuous improvement process. It is being re-baselined to support the organizational direction established by the VA's new Chief Architect. The following administrative areas will be represented under this consolidated EA budget request:
(1) Enterprise Architecture Service (EAS) – Responsible for development and publication of the annual VA Enterprise Architecture Assessment, Technical Reference Model, and supporting documents, as well as the EA Repository, the VA-Zachman Metamodel, and the EA modeling and publishing toolset, the Baseline System Inventory, the VA-Business-Model, Gap Analysis and Sequencing Plan. EAS evaluates new IT projects, budget requests and procurement requests for EA compliance and supports VA business lines with business process re-engineering services. EAS supports the Enterprise Architecture Council, the TRM working group, and participates in numerous collaborative activities.
(2) Data Architecture Service (DAS) – Responsible for leading and coordinating the establishment of data standards and data collaboration across the Department. To achieve this goal, DAS will develop and publish a VA Data Registry and will organize a VA Data Management Board. The VA Data Registry will serve to maximize stakeholder data access, understanding and ease of use. The VA Data Management Board will develop and implement a method to manage data horizontally throughout the VA. VA data registration and standardization activities will serve to identify opportunities for data sharing, integration, and interoperability, and reduces ambiguities and unnecessary redundancies in the definition and use of data. Data architecture defines and structures data and data relationships to facilitate analyses that feed business strategy and optimization decisions. Data architecture also serves as a link between business architecture and application architecture, providing a foundation for application design and delivery. (3) Records Management Service (RMS) - Responsible for managing numerous information compliance programs (including FOIA and RONA) and managing the Section 508 Compliance Program. (4) Enterprise Configuration Management Service (ECMS) – Responsible for establishing consensus on configuration management matters across VA and for publishing guidance, direction and best practices for enterprise configuration management, IT project configuration management and deployment planning at the Department level. CM consists of five distinct functions:
a. CM Planning and Management – to establish CM activities for the context and environment in which CM is to be performed.
b. Configuration Identification – to select and uniquely identify the products and components to be managed within the formality of the CM discipline,
c. Configuration Change Management – for controlling changes and variances to a product using a systematic, measurable change process,
d. Configuration Status Accounting – to provide timely, accurate information about the progress of a product through its life cycle and
e. Configuration Verification and Audit – to confirm that what has been built fulfills its functional and physical product requirements, designs, and specifications.

G. If the investment cost, schedule or performance variance is 10 percent or greater, has the agency head concurred in the need to continue the program at the new baseline?

Yes

PART II (IT ONLY)

Part II: Additional Business Case Criteria for Information Technology

II.A Enterprise Architecture

In order to successfully address this area of the business case and capital asset plan you must ensure that the investment is included in the agency's EA and CPIC process, and is mapped to and supports the Federal Enterprise Architecture. You must also ensure that the business case demonstrates the relationship between the investment and the business, data, application, and technology layers of the EA.

II.A.1 Business

A. Is this investment identified in your agency's enterprise architecture?

Yes

If not, why not?

A.1 Will this investment be consistent with your agency's "to be" modernization blueprint?

Yes

B. Was this investment approved through the EA Review committee at your agency?

Yes

C. What are the major process simplification/reengineering/design projects that are required as part of this IT investment?

The "Ongoing Population of the EA", "Engineering Acquisition Support" and "Enterprise Architecture Modeling" initiatives within this program are used by the Department specifically to identify, support and evaluate business process reengineering and IT process optimization across the VA. A One-VA perspective of information is required to implement data sharing and integration in VA.

D. What are the major organization restructuring, training, and change management projects that are required?

The overall implementation of the Enterprise Architecture modeling process calls for extensive staff training in the use of EA modeling tools as well as OJT in the integrated use of those tools to develop VA's EA products.

E. Please list UP to THREE NON-PRIMARY Business Reference Model (BRM) mappings to describe the functions that this investment supports. A BRM mapping consists of a mapping to a Line of Business and an associated Sub-function from the FEA BRM. The PRIMARY BRM mapping for this initiative should have been identified with the last SIX digits of the Unique Project (Investment) identifier in section 300 Part I and in section 53.8, and it should NOT be REENTERED here. The BRM has been updated since last year. For the list of the updated BRM Lines of Business and Sub-functions, go to www.egov.gov. (Note: The Services for Citizens area and the Mode of Delivery area should be thought of collectively. If you identified your PRIMARY Line of Business and Sub-function as a Service for Citizens, at a minimum you should identify the corresponding Mode of Delivery that applies in this section.)

	Line Of Business / Sub-function (NON-Primary ONLY)	Line of Business Code	Sub-Function Code	Mode of Delivery	Mode of Delivery Code	Mode of Delivery Subfunction Code
1	Planning & Resource Allocation/Mgmt Improvement	304	107			
2	Internal Risk Mgmt&Mitigation/Continuity Operatns	302	095			
3	Internal Risk Mgmt&Mitigation/Service Recovery	302	096			

II.A.2 Data

A. What types of data will be used in this investment? Examples of data types are health data, geospatial data, natural resource data, etc.

Types of data used includes Veteran demographic, eligibility, and enrollment data, health care data, compensation and pension data, education data, vocational rehabilitation data, housing data, insurance data, memorial data, appeals data, cost of goods (COG) data, veteran regulatory data, human resources data, finance and accounting data, asset data, program management data, planning and program data, procurement data, and integrity/audit data.

B. Does the data needed for this investment already exist at the Federal, State, or local level? If so, what are your plans to gain access to that data?

Yes.

C. Are there legal reasons why this data cannot be transferred? If so, what are they and did you address them (legal reasons) in the barriers and risk sections above?

No.

D. If this initiative processes spatial data, identify planned investments for spatial data and demonstrate how the agency ensures compliance with the Federal Geographic Data Committee standards required by OMB Circular A-16.

N/A

E. If this activity involves the acquisition, handling or storage of information that will be disseminated to the public or used to support information that will be disseminated to the public, explain how it will comply with your agency's Information Quality guidelines (section 51.5 requirements).

EA will comply with VA's Information Quality guidelines in posting approved version of the EA on VA's public website.

F. Managing business information means maintaining its authenticity, reliability, integrity, and usability and providing for its appropriate disposition. Address how the system will manage the business information (records) that it will contain throughout the information life cycle.

N/A

II.A.3 Applications, Components & Technology

A. Discuss this major investment in relationship to the Service Component Reference Model. Include a discussion of the components in this major IT investment (e.g., Knowledge Management, Content Management, Customer Relationship Management, etc.). Refer to the www.feapmo.gov site "FY 07 A-11 FEA Additional Instructions and Guidance and Reference Model Changes v 1 (Draft)" for the revised SRM listings and instructions.

Use the table provided below to discuss IT investments in relation to the SRM.

Note: If your Service Component is a new component (Not on the OMB defined list of Service component as listed on the drop down for "Component" column in SRM Table below) then, select 'Yes' for "New Component? (Yes or No)" column, update the "Relation to SRM (i.e. Component Description)" column with your new component name and description, and select 'No Value' for "Component" column in the SRM Table below.

	Relation to SRM (i.e. Component Description)	Service Domain	Service Type	Component	New Component? (Yes or No)
1	Strategic planning (SP)	Business Management Services	Investment Management	Strategic Planning and Mgmt	No
2	Portolio Management (PM)	Business Management Services	Investment Management	Portfolio Management	No
3	Change Management (CM)	Business Management Services	Management of Process	Change Management	No
4	Governance/ Policy Management (G/PM)	Business Management Services	Management of Process	Governance / Policy Management	No
5	Configuration Management (CM)	Business Management Services	Management of Process	Configuration Management	No
6	Requirements Management (RM)	Business Management Services	Management of Process	Requirements Management	No

7	Management of Computer Resources (MCR)	Back Office Services	Assets / Materials Management	Computers / Automation Management	No
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B. Are all of the hardware, applications, components and web technology requirements for this investments included in the Agency EA Technical Reference Model? If not, please explain.

Yes

C. Discuss this major IT investment in relationship to the Technical Reference Model. Identify each or multiple Service Area(s), Service Category(ies), and Service Standard(s) that collectively describe(s) the technology supporting each component identified in the SRM Mappings in II.A.3.A "Component" column above.(Note: The values in 'Relation to "SRM" (Component)' column in the TRM Table II.A.3.C below and the values in 'Component' column in SRM Table II.A.3.A above should be the same, except where the component is new, then the value should match the "Relation to SRM(i.e Component Description)" column in SRM Table II.A.3.A above). Refer to the www.feapmo.gov site "FY 07 A-11 FEA Additional Instructions and Guidance and Reference Model Changes v 1 (Draft)" for the revised TRM instructions.

VA's EA includes all service areas of the FEA TRM in its TRM/SP.

Use the table provided below to define IT investment TRM categories in relation to the SRM.

Refer to the "FY 07 A-11 FEA Additional Instructions and Guidance v 1 (Draft)" for detailed guidance on component and technical standard entries and mappings.

	Relation to "SRM" (Component) (50 Char Maximum)	**Service Area**	**Service Category**	**Service Standard**	**Service Specification (i.e vendor and product name)**
1	Strategic Planning & Management; IT Governance	Service Access and Delivery	Service Requirements	Legislative / Compliance	
2					
3					
4					
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D. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc.)?

Yes

If so, please describe.

One-VA Enterprise Architecture initiatives will enable the VA to achieve the agency-wide goal of OneVA and will require re-engineering of VA's business processes by providing web-enabled support to all VA activities. It will leverage existing components such as the DoD's Enrollment Eligibility Registration System, FirstGov, Pay.Gov, etc. and will result in improved synergy and efficiency.

E. Financial Management Systems and Projects, as indicated in Part I, must be mapped to the agency's financial management system inventory provided annually to OMB. Please identify the system name(s) and system acronym(s) as reported in the most recent systems inventory update required by Circular A-11 Section 52.4.

Not Applicable.

II.B. Security & Privacy

In order to successfully address this area of the business case, each question below must be answered at the investment (system/application) level, not at a program or agency level. Simply referring to security plans or other documents is not an acceptable response.

For IT investments under development, security planning must proceed in parallel with the development of the system to ensure that IT security requirements and costs for the lifecycle of the investment are identified and validated. All IT investments must have up-to-date security plans and be fully certified and accredited prior to becoming operational. Anything short of a full certification and accreditation indicates that identified IT security weaknesses remain and need to be remedied and is therefore not adequate to ensure funding for the investment.

Additionally, to ensure that requests for increased IT security funding are appropriately addressed and prioritized, the agency must identify: 1) current costs; 2) current IT security performance gaps; and 3) how the funding request will close the performance gaps. This information must be provided to OMB through the agencies' plan of action and milestone developed for the system and tied to the IT business case through the unique project (investment) identifier.

In addition, agencies must demonstrate that they have fully considered privacy in the context of this investment. Agencies must comply with Section 208 of the E-Government Act and forthcoming OMB implementing guidance and, in appropriate circumstances, conduct a privacy impact assessment that evaluates the privacy risks, alternatives and protective measures implemented at each stage of the information life cycle. Agencies should utilize the guidance provided in OMB Memoranda in conducting the PIA and submit a copy, using the unique project (investment) identifier, to OMB at PIA@omb.eop.gov.

II.B.1 How is security provided and funded for this project (e.g., by program office or by the CIO through the general support system/network)?

IT Security is addressed at both the enterprise and project level. Security is funded through various components within the CIO office (Information Technology Support Service, Office of Cyber and Information Security and Office of Enterprise Architecture Management). As part of the effectiveness testing of management, operational and technical security controls, this initiative underwent the 2005 FISMA review process, which is the required NIST self-inspection. At the Enterprise level, the CIOs Office of Cyber and Information Security (OCIS) establishes directives, policies, and procedures which are consistent with the provisions of the Federal Information Security Management Act (FISMA) and other related

federal laws, as well as guidance issued by the Office of Management and Budget (OMB) and the National Institute of Standards and Technology (NIST). Additionally, OCIS globally manages the implementation of enterprise-wide cyber security solutions that include intrusion detection, anti-virus protection, authentication (Public Key Infrastructure), independent vulnerability scanning and penetration testing, a centralized incident response mechanism, as well as security awareness and role-specific training. OCIS also provides a risk management project office that assists management, technical, and security personnel with conducting risk assessments based on business case. This structure achieves economies of scale through providing a central management focal point for Department-wide IT security activities, as well as ensures that a strong security baseline is effectively integrated into VA's emerging Enterprise Architecture.

A. What is the total dollar amount allocated to IT security for this investment in FY 2007?

500,000

Please indicate whether an increase in IT security funding is requested to remediate IT security weaknesses, specifying the amount and a general description of the weakness.

The IT security technical reference model relating to minimum security controls has been developed by OCIS and will require that a more substantial amount be spent to support security efforts. This additional funding will cover costs incurred in the development of a One-EA SDLC, as well as conducting a preliminary risk assessment, and a data sensitivity analysis relating to One-EA development issues.

II.B.2 Please describe how the investment (system/application) meets the following security requirements of the Federal Information Security Management Act, OMB policy, and NIST guidelines:

A. Does the investment (system/application) have an up-to-date security plan that meets the requirements of OMB policy and NIST guidelines?

No

What is the date of the plan? (Or, if the investment does not have an up-to-date security plan, then what is your projected date of completion?)

B. Has the investment been certified and accredited (C&A)? Note: Certification and accreditation refers to the full C&A and does not mean interim authority to operate.

No

Specify the C&A methodology used (e.g., NIST guidance)

The One-VA EA is not a system or major application, and represents a program management office which has responsibility to oversee the EA process in the Department. Therefore, although assisting other Department systems and major applications with defining security parameters, the One-VA EA will not actually undergo the C&A process.

Date of last review? (Or, if the investment has not been certified and accredited, then what is your projected date of completion for full certification and accreditation with full authority to operate?)

C. Have the management, operational, and technical security controls been tested for effectiveness?

Yes

When were the most recent tests performed?

Aug 15, 2005

D. Have all system users been appropriately trained in the past year, including rules of behavior and consequences for violating the rules?

Yes

E. How has incident handling capability been incorporated into the system or investment, including intrusion detection monitoring and audit log reviews?

Within the VA, intrusion detection controls are being established through standard DMZs and internal firewalls, under the Enterprise Cyber Security Infrastructure Program (ECSIP). Incident handling has been centralized in the VA-CIRC, with facility Information Security Officers reporting all security incidents to the VA CIRC, which then reports to the FedCIRC.

Are incidents reported to DHS's FedCIRC?

Yes

F. Is the system operated by contractors either on-site or at a contractor facility?

No

If yes, does any such contract include specific security requirements required by law and policy?

How are contractor security procedures monitored, verified, and validated by the agency?
As part of the Acquisition Plan, contractors must meet security considerations and personnel having access to sensitive information and information systems must have a minimum background investigation. In addition, each individual working on the task order will be required to sign a nondisclosure statement.
II.B.3 How does the agency ensure the effective use of security controls and authentication tools to protect privacy for those systems that promote or permit public access?
One-VA EA will coordinate with the Enterprise Privacy Program to provide policy, training, and other guidance necessary to ensure that security controls and authentication tools are properly used to maintain the privacy of information in VA systems. In addition, employees and contractors are required to take an annual IT security awareness course and complete a test. Compliance is monitored and verified as part of FISMA compliance reporting. Role based access limitations are also in place. Physical security controls will be identified for the placement of equipment and for physical access to individual EA systems as part of configuration management.
II.B.4 How does the agency ensure that the handling of personal information is consistent with relevant government-wide and agency policies.
The CIO's Office of Cyber and Information Security, Enterprise Privacy Service publishes VA standards regarding the protection of personal information. All EA systems will undergo a Certification & Accreditation process where the application of these standards must be addressed. In addition, guidance and recommendations, based on HIPAA and NIST guidelines, have been written on the handling of sensitive information.
II.B.5 If this is a new or significantly altered investment involving information in identifiable form collected from or about members of the public, has a Privacy Impact Assessment (PIA) for this investment been provided to OMB at PIA@omb.eop.gov with the investment's unique project (investment) identifier?
N/A

ROI

Complete this tab for projects that are under development.

Name of Initiative:	VA Enterprise Architecture-2007

Return on Investment Analysis Table (dollars in Millions)

**Note: The business process costs noted here should exclude the IT system costs.*

*** Unconventional cash flows can result in an IRR that is invalid. If the incremental cash flows (Case B - Case A) are all negative or positive values, the IRR will not calculate.*

Fiscal Year	**2002**	**2003**	**2004**	**2005**	**2006**	**2007**	**2008**	**2009**	**2010**	**2011**	**Total**
Base Case (Case A):											
Positive Cash Flows											0.000
Business Process Costs*											0.000
IT System Costs				48.900	372.110	316.240	343.010	365.180	125.830	126.130	1,697.400
Other Costs											0.000
Total Base Case Costs				48.900	372.110	316.240	343.010	365.180	125.830	126.130	1,697.400
Net Cash Flows Base Case				-48.900	-372.110	-316.240	-343.010	-365.180	-125.830	-126.130	-1,697.400
Fiscal Year	**2002**	**2003**	**2004**	**2005**	**2006**	**2007**	**2008**	**2009**	**2010**	**2011**	**Total**
Selected Alternative (Case B):											
Positive Cash Flows											0.000
Design, Dev, & Implement Costs:											
Business Process Costs*											0.000
IT System Costs:											
Contractor Services				3.950	6.050	3.980	4.300	4.550	4.300	4.230	31.360

Department Personnel				7.900	10.100	4.400	5.400	5.900	5.900	5.900	45.500
Hardware				0.040	0.040	0.020	0.020	0.020	0.020	0.020	0.180
Security				0.100	0.200	0.200	0.200	0.200	0.200	0.200	1.300
Software				0.050	0.050	0.050	0.050	0.050	0.050	0.050	0.350
Telecommunications											0.000
Training				0.100	0.100	0.100	0.100	0.100	0.100	0.100	0.700
Other				2.290	2.290	0.000	0.000	0.000	0.000	0.000	4.580
Subtotal IT System Costs				14.430	18.830	8.750	10.070	10.820	10.570	10.500	83.970
Other Costs											0.000
Subtotal Design, Dev, & Implement Costs				14.430	18.830	8.750	10.070	10.820	10.570	10.500	83.970
Operational Costs:											
Business Process Costs*											0.000
IT System Costs:											
Contractor Services				48.900	372.110	316.240	304.168	321.198	105.451	105.461	1,573.528
Department Personnel											0.000
Hardware											0.000
Security											0.000
Software											0.000
Telecommunications											0.000
Training											0.000
Other											0.000
Subtotal IT System Costs				48.900	372.110	316.240	304.168	321.198	105.451	105.461	1,573.528
Other Costs											0.000
Subtotal Operational Costs				48.900	372.110	316.240	304.168	321.198	105.451	105.461	1,573.528
Total Business Process Costs*				0.000							0.000
Total IT System Costs				63.330	390.940	324.990	314.238	332.018	116.021	115.961	1,657.498

Total Other Costs				0.000							0.000
Total Selected Alternative Costs				63.330	390.940	324.990	314.238	332.018	116.021	115.961	1,657.498
Net Cash Flows Selected Alternative				-63.330	-390.940	-324.990	-314.238	-332.018	-116.021	-115.961	-1,657.498
ROI Analysis Entire Horizon											
Fiscal Year	**2002**	**2003**	**2004**	**2005**	**2006**	**2007**	**2008**	**2009**	**2010**	**2011**	**Total**
Incremental Cash Flows (Case B - Case A)				-14.430	-18.830	-8.750	28.772	33.162	9.809	10.169	39.902
Cumulative Incremental Cash Flows (Payback)				-14.430	-33.260	-42.010	-13.238	19.924	29.733	39.902	39.902
Rate of Return (%)	47.52										
Discount Factor											
Discounted Incremental Cash Flows (DICF)				0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Cumulative DICF (NPV of Exh. 300)				0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Net Present Value	0.000										
Discounted Design, Dev, & Implement Costs				0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Profitability Index (NPV/Investment)	0.000										
Internal Rate of Return (%) **	23.95										
ROI Analysis FY2005 Forward											
Fiscal Year	**2002**	**2003**	**2004**	**2005**	**2006**	**2007**	**2008**	**2009**	**2010**	**2011**	**Total**
Incremental Cash Flows (Case B - Case A)				-14.430	-18.830	-8.750	28.772	33.162	9.809	10.169	39.902
Cumulative Incremental Cash Flows (Payback)				-14.430	-33.260	-42.010	-13.238	19.924	29.733	39.902	39.902
Rate of Return (%)				47.52							
Discount Factor											
Discounted Incremental Cash Flows (DICF)				0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000

Cumulative DICF (NPV of Exh. 300)				0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Net Present Value				0.000							
Discounted Design, Dev, & Implement Costs				0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Profitability Index (NPV / Investment)				0.000							
Internal Rate of Return % **				23.95							

Please list the evaluation criteria that will demonstrate successful completion of the project, i.e. system availability is increased from 90% to 95%.

By its nature Enterprise Architecture is never complete, it evolves in line with changing business requirements and innovations in technology. It is managed as a continuous/recursive improvement process. Beginning in 2008 the annual investment in architecture should achieve a steady state which reflects the maturity of the architecture. The projected investment after 2008 should be considered an ongoing annual investment into the future.

Provide the discount rate that you used in your analysis in percentage terms to two decimal places, i.e. 7.50 for seven and one-half percent.

7.000

What are the assumptions underlying the costs of the project?

The resulting ROI computation takes the following major VA IT investments and builds:
"Case-A" reflects the current program cost without Enterprise Architecture; and
"Case-B" reflects the reduced IT program cost that results from the projected:
20% development cost savings from process improvement; and
20% maintenance savings from data-reuse; however
"Case-B" absorbs the cost of the enterprise architecture development which is not a factor in "Case-A"

The IT program cost is taken from Ex-300 Table IA "Summary of spending" estimates for years 2005 through 2011 for the following programs:
EA10 VHA Fee Basis Replacement
EA12 HDR
EAxx HealtheVet-VistA (based upon 2006 estimate)

What are the assumptions underlying the quantified benefits of the project?

A mature and functioning EA will improve the quality and reduce the cost of IT development by introducing and enforcing the reengineering of business processes, the reuse of Sharable Service Components, the reuse of re-usable data and the use of patterned solutions for technical requirtements over a long period of time.
However the EA program, itself, will always appear as a negative cash flow unless its development and operation cost is paired with the savings achieved within the IT programs that it is optimizing.

The EA ROI analysis assumes a conservative savings of:
a) 10% in development cost for IT systems developed under the guidance of EA; and
b) 20% in maintenance cost for IT systems that reuse existing data, under the guidance of EA.
Benefits are assumed to begin in 2008 when the EA reaches 80% completion

PART III.A.1-A.3 (VA SPECIFIC)

1	III.A. Department-Wide Decision Criteria

For detailed definitions of the VA-specific criteria refer to the FY 2007 Capital Investment Guide.

The guide is located at vaww.capital/budget.

2	III.A.1. Presidential Priorities

Does this project result in increased opportunities for DoD collaboration and/or sharing?

Yes

If so, discuss how. Provide copies of signed agreements or other documentation supporting your discussion.

A key strategy of this initiative is in fact coordination of VA & DoD programs and systems through increased collaboration and leveraging of sharing agreements currently in place with the Department of Defense (DoD). VA and DoD will identify areas for partnering and sharing such as working to develop a joint communications plan to promote VA/DoD collaborative initiatives within each Department, educate internal and external stakeholders about joint VA/DoD initiatives and provide updates on accomplishments. VA and DoD submitted a joint IT business case to develop an interoperable information technology framework and architecture that will enable the efficient, effective and secure interchange of records and information to support the delivery of benefits and services. VA and DoD will enhance collaborative efforts to improve access to benefits, streamline application processes, eliminate duplicative requirements and correct other business practices that complicate the transition from active duty to veteran status.

Which of the Department's strategic goals does this project support? (No input required -- populated from Part I)

Select Primary Goal	Select Secondary Goal	Select Tertiary Goal	Select Additional Goal	Select Additional Goal
One VA	Ensure Smooth Transition	Quality of Life		

3	

Discuss in detail how the project will support the Department's strategic goal(s) indicated in the question above. Include appropriate performance baseline measures and goals.

The One-VA Enterprise Architecture directly supports VA's mission and strategic goals and objectives by: Improving the alignment of VA's IT investment decisions to VA business and Veteran service objectives; eliminating the development of redundant or duplicate systems across business lines; ensuring the standardization and reuse of data across business lines and partnering agencies; ensuring that the evolving information technology asset base is built upon widely accepted industry standards and best practices; ensuring the use of commonly available components (such as the e-gov facilities); and improving IT accountability and cost containment. The EA assists VA in articulating its strategic direction. It provides guidelines and directions to determine what needs to be done, who will do it, and when it is to be completed. The EA contains sufficient detail to demonstrate how VA will implement new IT initiatives in an effective manner to drive cross-functional integration across the Department. The One-VA EA provides the process by which information assets are planned, developed and managed at VA. The One-VA EA permits systematically defining and documenting the Department's desired (target) environment. This target environment is continuously evolving, however, in conjunction with VA's changing business requirements and with new developments in technology. Therefore, development of the One-VA EA is an on-going evolutionary process that directly supports the VA's Strategic Objective E3: Implement a One VA information technology framework that supports the integration of information across business lines and provides a source of consistent, reliable, accurate, and secure information to veterans and their families, employees, and stakeholders. As well as supporting Objective E4: Improve the overall governance of VA and the management of its business processes.

Does this project support opportunities to share or collocate the services and/or costs of capital assets from another administration?

Yes

If yes, discuss how.

A specific objective of the One-VA EA is to streamline and modernize VA's IT. Therefore, it is expected that this EA initiative will support opportunities to share or collocate the services and/or costs of capital assets across the VA's administrations because that results in streamlined business practices and optimized performance through the effective use of technology. EA promotes an integrated process to manage the IT information technology portfolio.

Does this project assume the services and/or costs of capital assets from another administration?

No

If yes, discuss how.

4 III.A.2. Financial Priorities

Data requested for the risk analysis, risk control plan, alternatives analysis, and CEA criteria are required in Part I and used by the Department for evaluation purposes.

Duplication of data is not required.

Cost-effectiveness Analysis (No input required -- this data was provided in Section I.E.)

	Element	Alternative 1	Alternative 2	Alternative 3	Alternative 4
		One-VA EA	Administration (Federated) EA	Outsourcing	
1	Program Management	19.440	2.520	24.500	
2	Change Management	6.500	3.500	8.500	
3	Technical Infrastructure	17.640	9.870	22.150	
4	EA Development/Refinement	26.739	3.234	33.700	
5	Quality Assurance	2.441	7.718	3.000	
6	Maintenance/Security	13.440	16.800	17.000	
7					
8					
9					
10					
11					
12					
13	**Alternative Total**	86.200	36.700	75.880	

5

Alternatives Analysis (No input required -- this data was provided in Section I.E.)

	Alternative Name	Description

Alternative 1	One-VA EA	This approach establishes an One-VA EA Program Management Office. The EA PMO manages and coordinates Department EA activities including: the development of an EA business reference model; definition of the EA focusing on business, performance, application-capabilities, technologies and standards, and data and information; and assessment and identification of new opportunities for business process and system consolidation to improve VA efficiency and effectiveness. The EA Program Manager/Chief Architect provides leadership to the VA Architects Working group. The Chief Architect works closely with the VA administration Development Project Managers to ensure that all technical requirements are adequately addressed.
Alternative 2	Administration (Federated) EA	This approach creates a separate EA PMO within each VA administration (Health, Benefits and Cemetery). Each EA PMO would have the latitude to prepare their independent version of an EA. Instead of focusing on cross-Department opportunities; this approach encourages the Administrations to develop independent business strategies. This approach does not promote data sharing and does not increase system efficiency across Administrations. This decentralized approach reinforces the notion of VA Administrations operating like three independent entities. However, the Secretary has mandated that the One-VA approach will be taken in order to operate in support of the President's Management Agenda and to improve financial performance (neither of these objectives is achievable with the Administration Federated Approach).
Alternative 3	Outsourcing	The Enterprise Architecture is mandated through Legislation; it is not an optional program. It is an inherently a VA responsibility that cannot be delegated to another government agency or private concern.
Alternative 4		

6

Risk Analysis (No input required -- this data was provided in Section I.F.)

	Date Identified	Risk Category	Description	Probability of Occurrence	Strategy for Mitigation	Current Status as of the Date of this Exhibit
1	May 1, 2002	Schedule	Capability and performance may be affected by short deadlines	Basic	Adapting a modular approach to both capability development and contract execution.	Risk has been mitigated to a manageable level and will be monitored quarterly.
2	May 1, 2002	Initial Costs	Scope Creep	Basic	Contracts will be monitored for performance.	Risk has been mitigated to a manageable level and will be monitored quarterly.
3	May 1, 2002	Life-Cycle Costs	EA artifact development costs may exceed estimates.	Basic	Repository and EA costs will be managed in connection with overall EA artifact development and implementation plans.	Risk has been mitigated to a manageable level and will be monitored quarterly.
4	May 1, 2002	Technical Obsolescense	Tools used for EA repository	Basic	VA has adopted a strategy in repository	Risk has been mitigated to a

			development and data repository become outdated.		and presentation development for the EA. This strategy separates the collection and authoring of EA information, in the form of structured data, from the modeling tool it self. This approach mitigates the risk of tool obsolesce. With this structure modeling input can be ported to any modeling tool with short notice.	manageable level and will be monitored quarterly.
5	May 1, 2002	Feasibility	COTS software and data structures may not meet VA needs.	Basic	The new approach to EA repository and portal development does not rely upon a single tool. A variety of modeling tools are used for various purposes; the modeling input is consistently managed in a structured data management tool and the results are presented in a consistent HTML presentation. Developers who require a dynamic presentation will work directly with the appropriate modeling tool.	Risk has been mitigated to a manageable level and will be monitored quarterly.
6	May 1, 2002	Reliability of Systems	This risk category isn't applicable, EA is not a development system nor does it develop systems but rather it is a organizational service being directed by VA's Chief Architect.	Basic	N/A, EA is not a development system nor does it develop systems.	
7	May 1, 2002	Dependencies & Interoperability Btw. this Investment & Others	Insufficient information to determine dependencies and interoperability	Basic	Coordination will be established between this office and stakeholder organizations to ensure adequate information flow.	Risk has been mitigated to a manageable level and will be monitored quarterly.
8	May 1, 2002	Surety (Asset Protection) Considerations	Information may be compromised.	Basic	Servers are managed in a certified and accredited network/general support system.	Risk has been mitigated to a manageable level through certification and accreditation.

9	May 1, 2002	Risk of Creating a Monopoly for Future Procurements	Previously acquired hardware, software and consulting services influence good procurement decision making.	Basic	Establish requirements and good monitoring procurement practices to provide fair contracting practices.	Risk has been mitigated to a manageable level and will be monitored quarterly.
10	May 1, 2002	Capability of Agency to Manage the Investment	Lack of communication between the stakeholders.	Basic	Involve a broad spectrum of stakeholders in EA decision making and standard adapting processes. Through the Enterprise Architecture Council (EAC) and through special interest working groups in which subject matter experts within the administration and field units participates in developing EA standards and best practices.	Some working groups are currently engaged while other are being established. Collaboration with Administrations is encouraged through the Enterprise Architecture Council and joint EA-Business Line work sessions.
11	May 1, 2002	Overall Risk of Project Failure	Critical requirements are not fully defined.	Basic	The EA program is closely managed by the Chief Architect and the EA/PMO. This program is also monitored by the EAC and the Enterprise information Board (EIB), which is chaired by the CIO and is made up of high level business stake holders.	Permanent risk mitigating solution in place.
12	May 1, 2002	Organizational & Change Management	Lack of communication	Basic	The EA program is closely managed by the Chief Architect, the EAC and the EA/PMO. This program is also monitored by the Enterprise information Board (EIB), which is chaired by the CIO and is made up of high level business stake holders.	Permanent risk mitigating solution in place
13	May 1, 2002	Business	Lack of consensus on critical business objectives	Basic	Issues that cannot be resolved by working groups or the EA/PMO are raised to the EAC, CIO and EIB levels.	Permanent risk mitigating solution in place
14	May 1, 2002	Data/Info.	Inconsistent data between business lines	Basic	Data Management Board ensures coordination of business lines' requirements.	Risk has been mitigated to a manageable level and will be monitored quarterly.
15	May 1,	Technology	HW/SW	Basic	Pilot HW and SW	Risk has been

	2002		replacement; Project size or scope creep		before full implementation and use other agencies' experience for benchmarking; Must plan for and keep track of proper resources at all phases of the project;	mitigated to a manageable level and will be monitored quarterly.
16	May 1, 2002	Strategic	Lack of focus on and follow-through on IT Strategic Plan goals OIG/GAO deficiencies; Lack of compliance;	Basic	Adherence to strategic plan guidance and compliance with OIG/GAO guidance is closely monitored by the CIO as part of the EA governance process.	Permanent risk mitigating solution in place.
17	May 1, 2002	Security	Inconsistent security practices	Medium	Adhere to VA Security Requirements and Standards.	Risk has been mitigated to a manageable level and will be monitored quarterly.
18	May 1, 2002	Privacy	Compromise of HIPAA related data	Basic	Establish clear benefits rules of behavior and security controls	Risk has been mitigated to a manageable level and will be monitored quarterly.
19	May 1, 2002	Project Resources	Inappropriate allocation of staff; staff expertise; cost overruns; team experience; insufficient time	Basic	Use appropriately trained personnel and correctly structured project team; Must identify relevant costs, understanding component costs and provide adequate program management and quality control; develop a project plan with tasks broken into increments; use earned value management to avoid schedule slippage	Risk has been mitigated to a manageable level and will be monitored quarterly.

7	
Risk Control Plan (Provide completed Risk Analysis template w/ Risk Control items completed for the chosen alternative only)	
Aug 11, 2005	
Does this project result in any savings and/or cost avoidance?	
Yes	
If so, explain the savings and/or cost avoidance and provide data and calculations to support your discussion.	
The savings and cost avoidance to be achieved are in the form of improved business alignment, improved veteran service and improved economy and accountability in all VA IT projects that are developed under the auspices of the Enterprise Architecture process. To date four specific new initiatives have capitalized on the One-VA EA: Enterprise Cyber Security Infrastructure Program (ECSIP), Telecommunications Modernization Program (TMP), One-VA Registration & Eligibility (One-VA R&E), and National Contact Center (NCC). The expected FY 2004 cost avoidance projections through these four programs are reflected below: Enterprise Cyber Security Infrastructure Program - Phase 1 and 2: $23,076.011	

Telecommunications Modernization Program - Phase 1 and 2: $2,625,000 OneVA Registration and Eligibility - Phase 1 and 2: $8,490,000 National Contact Center: $12,750,000

Describe the exit strategy or the planned methodical disposal implementation plan that will be set in motion at the end of the useful life of the project.

The Secretary recently approved a proposal to restructure the Department's finance, procurement, and asset management activities to improve accountability and consistency in performance throughout the organization. EA will abide by the quirements of its Capital Assets Management Strategy as part of its planned methodical disposal implementation plan to provide oversight along with performance metrics that will help provide VA operations conformity in execution and enforce corporate discipline.

8 III.A.3. Capital Asset Priorities/Portfolio Goals

Capital Asset Portfolio Goals

Identify the Primary, Secondary, Tertiary and any additional goals supported.

Primary Goal	Secondary Goal	Tertiary Goal	Additional Goal	Additional Goal	Additional Goal	Additional Goal
Decrease Operational Costs	Increase Intra/Inter-agency & Community Sharing	Maximize Highest and Best Use				

PART III.A.4 (VA SPECIFIC)

1	III.A.4. Capital Asset Priorities/Portfolio Measures

Note: See guidance for additional detail on Capital Asset Priorities/Portfolio Measures (see the Attachments form tab)

Increase Intra/Inter-agency and Community Based Sharing (For sharing opportunities not covered under Presidential/Secretarial Priorities)

This project results in an 1) increase of assets shared across all VA business lines; 2) an increase of assets shared with state and local communities; 3) increase of assets shared across other Federal Agencies (non-DoD; 4) None of the above. (Select all that apply)

Select	Select	Select
VA Business Lines	Federal Agencies (non-DoD)	Federal Agencies (non-DoD)

2	

If any of the above apply, discuss in detail, provide current baseline (number and size, i.e., dollar amounts) of assets shared, and how asset improves the current baseline (show % change from baseline). Provide backup documentation.

This initiative results in an increase of assets shared across all VA business lines and an increase of assets shared with other Federal agencies, the outcome measure is achieve increased dollar value, usage and or cost savings through expansion of joint contracting with performance targets of $561 million for FY04 and $650 million for FY08.

Decrease Underutilized Capacity

This project results in 1) a decrease in vacant or underutilized assets; 2) an increase in asset sales; 3) None of the above. (Select all that apply)

Select	Select
None of the above	

3	

If any of the above apply, discuss in detail, provide current baseline (current underutilized space and associated costs) and how capital asset improves the current baseline (show % change from baseline). . Provide backup documentation.

Decrease Operational Costs

This project results in 1) lower operational costs; 2) decreases in assets that have exceeded their useful life; 3) elimination of data source redundancy (IT); 4) a general decrease in the total cost of asset ownership; 5) None of the above. (Select all that apply)

Select	Select	Select	Select
Elimination of data source redundancy (IT)	Lower operational costs	General decrease in total cost of asset ownership	

4	

If any of the above apply, discuss in detail, provide current baseline of the above measures, and how asset improves the current baseline (show % change from baseline). Provide backup documentation.

Reduce Energy Utilization

This project results in 1) an increase in renewable energy usage; 2) a decrease in total energy consumption (volume); 3) a decrease in the unit cost of energy; 4) None of the above. (Select all that apply)

Select	**Select**	**Select**
None of the above		

5

If any of the above apply, discuss in detail, provide current baseline of the above measures and how asset improves current baseline (show % change from baseline). Provide backup documentation.

Increase Revenue Opportunities

This project results in 1) an increase in revenue opportunities for enhanced-use lease projects; 2) increased vacant space that is out-leased or shared; 3) None of the above. (Select all that apply)

Select	**Select**
None of the above	

6

If any of the above apply, discuss in detail, provide current baseline of above measures and how asset improves current baseline (show % change from baseline). Provide backup documentation.

Maximize Highest and Best Use

This project results in 1) an increase in the number of agreements for asset exchanges/sales to acquire replacement property better suited for mission purposes; 2) an increase in the number of out-leases; 3) a balance of spending distribution to ensure portfolio management; 4) None of the above. (Select all that apply)

Select	**Select**

7

If any of the above apply, discuss in detail, provide current baseline of above measures and how asset improves current baseline (show % change from baseline). Provide backup documentation.

Safeguard Assets (This sub-criteria is addressed in Section III.A.4. below. It is listed here because it is one of the capital asset priorities/portfolio goals, but it is also considered important enough to be addressed as a separate criterion.)

PART III.A.5-B (VA SPECIFIC)

1	III.A.5. Safeguard Assets

Safety: This project improves compliance with 1) safety (exclusive of seismic); 2) access; 3) accreditation laws and regulations; 4) None of the above. (Select all that apply)

Select	Select	Select
Access		

2	

If any of the above apply, describe how the project will dedicate at least 50% of the investment value to improving compliance with safety (exclusive of seismic), accessibility and/or accreditation laws and regulations.

Seismic: Does this project ensure that the VA's infrastructure is seismically sound?

No

If so, provide supporting evidence to show how at least 70% of the project's investment cost supports the seismic criterion.

Identify the degree of seismic deficiency (the ranking from the latest Degenkolb study).

Provide date of Degenkolb study.

Security: This project 1) supports the Department's ability to respond promptly and comprehensively in the event of a national emergency or natural disaster; 2) ensures security of physical assets; 3) ensures security of IT infrastructure assets; 4) None of the above. (Select all that apply)

Select	Select	Select

3	

If any of the above apply, describe how the project will dedicate at least 50% of the investment value to resolving a security deficiency.

4	III.B. Non-CARES Decision Criteria

Customer Service

Will this project result in an increase in new customers?

Yes

If so, discuss in detail and provide supporting documentation.

Will this project improve customer satisfaction?
Yes
If so, discuss in detail and provide supporting documentation.
Will this project improve customer access?
Yes
If so, discuss in detail and provide supporting documentation.

5 Special Requirements for All Investment Proposals

IT WORKLOAD: Provide an estimate of projected workload (transactions, rewrite lines of code, telephone traffic, etc. Use workload data pertinent to IT investments).

For VHA Non-CARES, NCA, VBA and Staff Offices workload: Provide an estimate for population supported for 2005, 2010, 2015, and 2020. Include service connected and other Category A populations to be served.

Population Area	2005	2010	2015	2020

6

EXHIBIT 53

Item Name

VA Enterprise Architecture-2007

Former name of the initiative if changed from last year.

Basic Information

OMB Exhibit 53 Code:	029-00-03-00-01-1011-00-304-103
Investment Type:	Major IT Investment(01)

Initiative Short Description (100 words max)

Implement a One VA information technology framework that supports the integration of information across business lines and that provides a source of consistent, reliable, accurate and secure information to veterans and their families, employees and stakeholders.

Project Management Qualification Status: Select the Qualification Status of the Investment's Project Managers (PM), as issued in OMB PM Guidance(M-04-19).

Qualification Status	Option Description
1	(1) - The project manager assigned for this investment has been validated as qualified in accordance with OMB PM Guidance.
	(2) - The project manager assigned for this investment is in the process of being validated as qualified in accordance with OMB PM Guidance
	(3) - The project manager assigned for this investment is not validated as qualified in accordance with OMB PM Guidance.
	(4) - The qualifications for the project manager named have not been evaluated.
	(5) - No project manager is currently assigned for this investment.

Investment C&A Status:

Investment C&A Status	Options Description
02	(00) - Systems within this investment have not been through the C&A process because the investment is not yet operational.
	(02) - None of the Systems have gone through the C&A process or have been granted Full Authority to Operate (for operational investment).
	(22) - Some or All of the systems within this investment have been through a C&A Process, but no systems have been granted Full Authority to Operate.
	(25) - Some or All of the systems within this investment have been through a C&A Process, some systems have been granted Full Authority to Operate.

	(55) - All of the systems within this investment have been through a C&A Process and have been granted Full Authority to Operate.

FEA BRM Primary Line of Business and Sub-Functions:(The Services for Citizens area and the Mode of Delivery area should be thought of collectively. If you have IDENTIFIED your PRIMARY Line of Business and Sub-function in this section below as a Service for Citizen, at a minimum you should identify the corresponding Mode of Delivery that applies in this section below).

Primary Line of Business / Sub-Function Support	
FEA BRM Primary Line of Business / Sub-Function	Planning & Resource Allocation/Enterprise Arch
FEA BRM Primary Line of Business code (3 char)	304
FEA BRM Primary LOB Sub-Function code (3 char)	103
FEA BRM Primary Mode of Delivery (LOB) code (3 char)	
FEA BRM Primary Mode of Delivery (Sub-function) code (3 char)	

Financial %'s

Financial %	
Security %	2.43

Financial Information

	PY 2005	**CY 2006**	**BY 2007**
Total Inv	8.18	7.76	10.29
DME	6.61	6.01	5.79
SS	1.57	1.75	4.50

Funding Sources and Amounts

	OMB Budget Account Code	**Total Inv PY 05**	**Total DME PY 05**	**Total SS PY 05**		**Total Inv CY 06**	**Total DME CY 06**	**Total SS CY 06**		**Total Inv BY 07**	**Total DME BY 07**	**Total SS BY 07**	
300 Funding Summary		8.18	6.61	1.57		7.76	6.01	1.75		10.29	5.79	4.50	
Total of All Funding Source Amounts		8.180	6.610	1.570		7.763	6.013	1.750		10.293	5.793	4.500	

Out of Balance ind		★	★	★		★	★	★		★	★	★	
Out of Balance amt		0.000	0.000	0.000		0.000	0.000	0.000		0.000	0.000	0.000	
Information Technology	**TBD**												
Medical Services	029-15-0160	2.750	1.180	1.570	★	6.916	5.166	1.750	★	7.260	2.760	4.500	★
Medical Research	029-15-0161												
Medical Administration	029-15-0152	3.708	3.708		★								
Medical Facilities	029-15-0162												
VBA-Compensation	029-25-0134	0.900	0.900		★	0.100	0.100		★	2.020	2.020		★
VBA-Pension	029-25-0143												
VBA-Education	029-25-0133												
VBA-Voc Rehab and Employment	029-25-0132												
VBA-Insurance	029-25-0141												
VBA-Housing	029-25-1119												
VBA Subtotal		0.900	0.900	0.000	★	0.100	0.100	0.000	★	2.020	2.020		★
NCA	029-25-0129	0.100	0.100		★	0.025	0.025		★	0.225	0.225		★
GOE-BCA	029-40-0151												
GOE-BVA	029-40-0151												
GOE-HR+A	029-40-0151												
GOE-OC+LA	029-40-0151												
GOE-O/Management	029-40-0151												
GOE-OGC	029-40-0151												
GOE-OI+T	029-40-0151	0.722	0.722		★	0.722	0.722		★	0.788	0.788		★

GOE-OIG	029-40-0170												
GOE-P+IA	029-40-0151												
GOE-OP+P	029-40-0151												
GOE-Oth Staff Offices	029-40-0151												
GOE subtotal		0.722	0.722	0.000	★	0.722	0.722	0.000	★	0.788	0.788	0.000	★

Budget Account Summary

Budegt Account Name	**Budget Account Code**	**Total Inv PY 05**	**Total DME PY 05**	**Total SS PY 05**	**Total Inv CY 06**	**Total DME CY 06**	**Total SS CY 06**	**Total Inv BY 07**	**Total DME BY 07**	**Total SS BY 07**
Information Technology	**TBD**							0.000	0.000	0.000
Medical Services	029-15-0160	2.750	1.180	1.570	6.916	5.166	1.750	7.260	2.760	4.500
Medical Research	029-15-0161									
Medical Administration	029-15-0152	3.708	3.708	0.000	0.000	0.000	0.000			
Medical Facilities	029-15-0162	0.000	0.000	0.000						0.000
VBA Compensation	029-25-0134	0.900	0.900	0.000	0.100	0.100	0.000	2.020	2.020	
VBA Pension	029-25-0143									
VBA Education	029-25-0133									
VBA Voc Rehab and Employment	029-25-0132									
VBA Insurance	029-25-0141									
VBA Housing	029-25-1119									
NCA Burial Administration	029-25-0129	0.100	0.100	0.000	0.025	0.025	0.000	0.225	0.225	0.000
GOE-OIG	029-40-0170									
GOE-Other	029-40-0151	0.722	0.722	0.000	0.722	0.722	0.000	0.788	0.788	0.000
Budget Account Total		8.180	6.610	1.570	7.763	6.013	1.750	10.293	5.793	4.500

PRIVACY IMPACT ASSESSMENT

INTRODUCTION:

The E-Government Act of 2002 (eGov) requires that Federal agencies conduct a privacy impact assessment (PIA) for projects with information technology (IT) systems that collect, maintain, and/or disseminate individually identifiable information of the public, not including information on Federal employees or others working for the agency (e.g., contractors, interns, etc.). This "personally identifiable information" (PII) is information that can be used to identify a specific person.

A privacy impact assessment is an analysis that seeks to identify and mitigate the privacy and security risks associated with the use of personal information by a project, system or practice. A privacy impact assessment provides a framework for ensuring that privacy, security and other vital data stewardship issues are identified, addressed and incorporated into the conception, design, operation, redesign, maintenance, and disposal of electronic information systems. These PIAs also form the basis for VA's privacy reviews of all privacy-protected data as mandated by VA Directive 6502 Privacy Program, section 3.d.(7). ALL PROJECTS MAINTAINING PERSONAL INFORMATION OF THE PUBLIC IN IT SYSTEMS MUST COMPLETE A PIA EVERY YEAR.

For the goal of encouraging eGov, these PIAs will:

o Ensure and promote the trust and confidence of veterans and the general public in VA's stewardship of their personal information.

o Identify the risks and adverse effects of collecting, maintaining and disseminating personal information in electronic information systems.

o Evaluate and develop protections and alternative processes for handling information to mitigate privacy risks.

o Provide a mechanism for ensuring responsibility and accountability for privacy issues.

o Provide documented assurance that privacy, security and other vital data stewardship considerations are integrated into information technology systems, starting with the initial outlining of a project's objectives and data usage requirements and continuing through design, operation, redesign and maintenance.

o Ensure that decision-makers are provided the information required to make informed system design or procurement decisions, based on an understanding of privacy risk, and of options available for mitigating that risk.

o Greatly reduce the risk of needing to interrupt a program or service because privacy and other vital data stewardship considerations were not adequately addressed before the program or service was implemented.

o Promote awareness and understanding of privacy issues.

o Provide valuable documentation on the flow of personal information, and related privacy considerations and design decisions.

o Ensure compliance with applicable privacy law and regulations, as well as accepted privacy policy.

CLICK ON THE LINK BELOW TO VIEW PIA PROJECT REVIEW SUMMARY:

https://vaww.camsit.aac.va.gov/URL/URLDispatcher.htm?window=form&formName=PIA%20Project%20Review-%20Summary%20-%202007&formTabName=PIA%20Review%20Summary%20-%202007

1. PROJECT IDENTIFICATION:

1.A) Project Basic Information:

1.A.1) Project or Application Name:	VA Enterprise Architecture-2007
1.A.2) OMB Unique Project Identifier:	029-00-03-00-01-1011-00-304-103
1.A.3) Brief Project Description and Stage:	The One-VA Enterprise Architecture (EA) is driven by congressional mandate, is based on the VA-Zachman framework and is the complex framework of procedures, processes, systems and programs by which VA evaluates the effectiveness, interoperability and redundancy of its business processes and IT systems that provide health care and benefits to Veterans and their families. The OneVA EA continues to evolve since VA is implementing EA as a continuous improvement process. It is being re-baselined to support the

	organizational direction established by the VA's new Chief Architect. The following administrative areas will be represented under this consolidated EA budget request: (1) Enterprise Architecture Service (EAS) – Responsible for development and publication of the annual VA Enterprise Architecture Assessment, Technical Reference Model, and supporting documents, as well as the EA Repository, the VA-Zachman Metamodel, and the EA modeling and publishing toolset, the Baseline System Inventory, the VA-Business-Model, Gap Analysis and Sequencing Plan. EAS evaluates new IT projects, budget requests and procurement requests for EA compliance and supports VA business lines with business process re-engineering services. EAS supports the Enterprise Architecture Council, the TRM working group, and participates in numerous collaborative activities. ((2) Data Architecture Service (DAS) – Responsible for leading and coordinating the establishment of data standards and data collaboration across the Department. To achieve this goal, DAS will develop and publish a VA Data Registry and will organize a VA Data Management Board. The VA Data Registry will serve to maximize stakeholder data access, understanding and ease of use. The VA Data Management Board will develop and implement a method to manage data horizontally throughout the VA. VA data registration and standardization activities will serve to identify opportunities for data sharing, integration, and interoperability, and reduces ambiguities and unnecessary redundancies in the definition and use of data. Data architecture defines and structures data and data relationships to facilitate analyses that feed business strategy and optimization decisions. Data architecture also serves as a link between business architecture and application architecture, providing a foundation for application design and delivery. (3) Records Management Service (RMS) – Responsible for managing numerous information compliance programs (including FOIA and RONA), managing the Section-508 Compliance Program, and capturing and reporting status on the VA's PMA initiatives and e-Gov participation, at the Department Level. (4) Enterprise Configuration Management Service (ECMS) – Responsible for establishing consensus on configuration management matters across VA and for publishing guidance, direction and best practices for enterprise configuration management, IT project configuration management and deployment planning at the Department level. CM consists of five distinct functions: a. CM Planning and Management b. Configuration Identification c. Configuration Change Management d. Configuration Status Accounting e. Configuration Verification and Audit 1.5% of costs identified for development will be used to provide an independent assessment (IV&V) for FY2007 and will incorporate the 1.5% funding requirement for independent assessments into all appropriate subsequent years.

1.B) Contact Information:

1.B.1) Person completing this document:	
Title:	
Organization:	
Telephone Number:	
Email Address:	
1.B.2) Project Manager:	
Title:	Luigi Tenore
Organization:	
Telephone Number:	(202) 273-8146

Email Address:	luigi.tenore@va.gov
1.B.3) Staff Contact Person:	
Title:	
Organization:	
Telephone Number:	
Email Address:	

ADDITIONAL INFORMATION: If appropriate, provide explanation for limited answers, such as the development stage of project.

●	Yes	**SECTION INCOMPLETE**
		SECTION COMPLETED
		I have completed and reviewed my responses in this section.
**	**NOTE:**	If you are resubmitting your updates, first select "NO Value" from the dropdown and submit and then select "Yes" and submit again.
		Section Update Date

Section 1 Review:

		PRIVACY SERVICE SECTION REVIEW AND APPROVAL
		The Privacy Service has not reviewed this section.
		The Privacy Service has reviewed this section. Please make the modifications described below.
★	Yes	The Privacy Service has reviewed and approved the responses in this section.
**	**NOTE:**	If you are resubmitting your REVIEW or if you already have an YES, then first select "NO Value" and submit
		and then select "Yes" and submit again.
	Jun 15, 2005	**Section Review Date**

PRIVACY SERVICE COMMENTS: (Include reviewers Name and Contact)

Heidi Hamzi, 202-273-6462

2. DETERMINATION OF PIA REQUIREMENTS:

A privacy impact assessment (PIA) is required for all VA projects with IT systems that collect, maintain, and/or disseminate Personally Identifiable Information (PII) of the public, not including information of Federal employees and others performing work for VA (such as contractors, interns, volunteers, etc.) PII is any representation of information that permits the identity of an individual to be reasonably inferred by either direct or indirect means. Direct references include: name, address, social security number, telephone number, email address, financial information, or other identifying number or code. Indirect references are any information by which an agency intends to identify specific individuals in conjunction with other data elements. Examples of indirect references include a combination of gender, race, birth date, geographic indicator, and other descriptors.

2.a) Will the project collect and/or maintain personally identifiable information of the public in IT systems?

No

If "YES" then a PIA is required for this project. Complete the remaining questions on this form.

ADDITIONAL INFORMATION: If appropriate, provide explanation for limited answers, such as the development stage of project.

●	Yes	**SECTION INCOMPLETE**
		SECTION COMPLETED
		I have completed and reviewed my responses in this section.
**	**NOTE:**	If you are resubmitting your updates, first select "NO Value" from the dropdown and submit and then select "Yes" and submit again.
		Section Update Date

Section 2 Review:

		PRIVACY SERVICE SECTION REVIEW AND APPROVAL
		The Privacy Service has not reviewed this section.
		The Privacy Service has reviewed this section. Please make the modifications described below.
★	Yes	The Privacy Service has reviewed and approved the responses in this section.
**	**NOTE:**	If you are resubmitting your REVIEW or if you already have an YES, then first select "NO Value" and submit
		and then select "Yes" and submit again.
	Jun 15, 2005	**Section Review Date**

PRIVACY SERVICE COMMENTS: (Include reviewers Name and Contact)

Heidi Hamzi, 202-273-6462

3. PROJECT DESCRIPTION:

Enter the information requested to describe the project's maintenance of personal information of the public.

3.a) Provide a concise description of why personal information of the public is maintained for this project, such as determining eligibility for benefits or providing patient care.

3.b) Identify, by selecting the appropriate range from the list below, the approximate number of individuals that will have their personal information stored in project systems.

3.c) When is the application expected to be operational?

ADDITIONAL INFORMATION: If appropriate, provide explanation for limited answers, such as the development stage of project.

●	Yes	**SECTION INCOMPLETE**
		SECTION COMPLETED
		I have completed and reviewed my responses in this section.
**	**NOTE:**	If you are resubmitting your updates, first select "NO Value" from the dropdown and hit submit and then select "Yes" and hit submit.
		Section Update Date

Section 3 Review:

		PRIVACY SERVICE SECTION REVIEW AND APPROVAL
		The Privacy Service has not reviewed this section.
		The Privacy Service has reviewed this section. Please make the modifications described below.
★	Yes	The Privacy Service has reviewed and approved the responses in this section.
**	**NOTE:**	If you are resubmitting your REVIEW or if you already have an YES, then first select "NO Value" and submit
		and then select "Yes" and submit again.
	Jun 15, 2005	**Section Review Date**

PRIVACY SERVICE COMMENTS: (Include reviewers Name and Contact)

Heidi Hamzi, 202-273-6462

4. SYSTEM OF RECORDS:

The Privacy Act of 1974 (Section 552a of Title 5 of the United States Code) and VA policy provide privacy protections for employee or customer information that VA or its suppliers maintain in a system of records (SOR). A system of records is a file or application from which employee or customer information is retrieved by an identifier (e.g. name, unique number, symbol). Data maintained in a SOR must be managed in accordance with the requirements of the Privacy Act and the specific provisions of the applicable SOR Notice. Each SOR Notice is to be published in the Federal Register. See VA Handbooks 6300.5 and 6300.5/1, Procedures for Establishing & Managing Privacy Act Systems Of Records, for additional information regarding Systems of Records.

4.a) Will the project or application retrieve personal information on the basis of name, unique number, symbol, or other identifier assigned to the individual?

(Select NO if a Privacy Act 'System of Records' is NOT required, or Select YES if a Privacy Act 'System of Records' is required.)

NOTE: If you have answered NO above, then SKIP to section 5, 'Data Collection'.

4.b) Has a System of Records identifier been assigned?

4.b.1) If no System of Records identifier has been assigned: (1) Explain the application status, and (2) Skip to Section 6, "Data Collection."

4.b.2) If a System of Records identifier has been assigned, then Provide the name of the applicable System of Records.

4.b.3) If a System of Records identifier has been assigned, then Provide the identifier of the applicable System of Records.

4.c) Have you read, and will the application comply with, all data management practices in the System of Records?

4.d) Is this a new System of Records (created specifically for this project) or an existing System of Records?

NOTE: If you have selected 'New System of Records' above, then SKIP to section 5, 'Data Collection'.

4.e) If existing, does the System of Records require modification?

NOTE: If you have selected 'Modification of the System of Records is NOT Required' above, then SKIP to section 5, 'Data Collection'.

4.f) Describe the required modifications.

ADDITIONAL INFORMATION: If appropriate, provide explanation for limited answers, such as the development stage of project.

●	Yes	**SECTION INCOMPLETE**
		SECTION COMPLETED
		I have completed and reviewed my responses in this section.
**	**NOTE:**	If you are resubmitting your updates, first select "NO Value" from the dropdown and submit and then select "Yes" and submit again.
		Section Update date

Section 4 Review:

		PRIVACY SERVICE SECTION REVIEW AND APPROVAL
		The Privacy Service has not reviewed this section.
		The Privacy Service has reviewed this section. Please make the modifications described below.
★	Yes	The Privacy Service has reviewed and approved the responses in this section.
**	**NOTE:**	If you are resubmitting your REVIEW or if you already have an YES, then first select "NO

		Value" and submit
		and then select "Yes" and submit again.
	Jun 15, 2005	**Section Review Date**

PRIVACY SERVICE COMMENTS: (Include reviewers Name and Contact)

Heidi Hamzi, 202-273-6462

5. DATA COLLECTION:

5.1 Data Types and Primary Data Uses

Identify the types of data collected and the intended use of that data:

a) Identify the types of personally identifiable information. Select all applicable data types below. If the provided data types do not adequately describe a specific data collection, select the "Other Personal Information" field and provide a description of the information. b.) For each selected data type, concisely describe how that data will be used.

Important: Please be specific. If different data types or data groups will be used for different purposes or multiple purposes, specify. For example: "Name and address information will be used to communicate with individuals about their benefits, while Name, Service, and Dependent's information will be used to determine which benefits individuals will be eligible to receive. Email address will be used to inform individuals about new services as they become available."

	Veteran's or Primary Subject's Personal Contact Information (name, address, telephone, etc.)

Specify and describe the primary, intended use of the data:

	Dependent Information

Specify and describe the primary, intended use of the data:

	Service Information

Specify and describe the primary, intended use of the data:

	Medical Information

Specify and describe the primary, intended use of the data:

	Criminal Record Information

Specify and describe the primary, intended use of the data:

	Guardian Information

Specify and describe the primary, intended use of the data:

	Education Information

Specify and describe the primary, intended use of the data:

	Rehabilitation Information

Specify and describe the primary, intended use of the data:

	Other Personal Information (specify):

Specify and describe the primary, intended use of the data:

ADDITIONAL INFORMATION: If appropriate, provide explanation for limited answers, such as the development stage of project.

●	Yes	**SECTION INCOMPLETE**

		SECTION COMPLETED
		I have completed and reviewed my responses in this section.
**	**NOTE:**	If you are resubmitting your updates, first select "NO Value" from the dropdown and submit and then select "Yes" and submit again.
		Section Update Date

Section 5.1 Review:

		PRIVACY SERVICE SECTION REVIEW AND APPROVAL
		The Privacy Service has not reviewed this section.
		The Privacy Service has reviewed this section. Please make the modifications described below.
★	Yes	The Privacy Service has reviewed and approved the responses in this section.
**	**NOTE:**	If you are resubmitting your REVIEW or if you already have an YES, then first select "NO Value" and submit
		and then select "Yes" and submit again.
	Jun 15, 2005	**Section Review Date**

PRIVACY SERVICE COMMENTS: (Include reviewers Name and Contact)

Heidi Hamzi, 202-273-6462

5.2 Data Sources

Identify the source(s) of the collected information. Check all applicable data sources below.

For each source :a) Specifically identify the source (e.g. organization, agency or other entity supplying the information). b) Provide additional, clarifying information about the sources you have identified. If known, specifically identify the source. For example if "Other Federal Agency" was selected as a Data Source, provide the name of the other Federal Agency in the "Description of Data Source" field.

If the provided data sources do not adequately describe a specific data collection, select the "Other Source(s)" field and identify and describe the source of information.

1.a) Specifically identify the Data source (e.g. organization, agency or other entity supplying the information):

	Veteran Source

1.b) Provide additional, clarifying information below about the sources you have identified. For example if "Other Federal Agency" was selected as a Data Source, provide the name of the other Federal Agency in the field below.

2.a) Specifically identify the Data source (e.g. organization, agency or other entity supplying the information):

	Public Source (not including from veteran sources)

2.b) Provide additional, clarifying information below about the sources you have identified. For example if "Other Federal Agency" was selected as a Data Source, provide the name of the other Federal Agency in the field below.

3.a) Specifically identify the Data source (e.g. organization, agency or other entity supplying the information):

	VA Files and Databases

3.b) Provide additional, clarifying information below about the sources you have identified. For example if "Other Federal Agency" was selected as a Data Source, provide the name of the other Federal Agency in the field below.

4.a) Specifically identify the Data source (e.g. organization, agency or other entity supplying the information):

	Other Federal Agency Source

4.b) Provide additional, clarifying information below about the sources you have identified. For example if "Other Federal Agency" was selected as a Data Source, provide the name of the other Federal Agency in the field below.

5.a) Specifically identify the Data source (e.g. organization, agency or other entity supplying the information):

	State Agency Source

5.b) Provide additional, clarifying information below about the sources you have identified. For example if "Other Federal Agency" was selected as a Data Source, provide the name of the other Federal Agency in the field below.

6.a) Specifically identify the Data source (e.g. organization, agency or other entity supplying the information):

	Local Agency Source

6.b) Provide additional, clarifying information below about the sources you have identified. For example if "Other Federal Agency" was selected as a Data Source, provide the name of the other Federal Agency in the field below.

7.a) Specifically identify the Data source (e.g. organization, agency or other entity supplying the information):

	Contractor Source

7.b) Provide additional, clarifying information below about the sources you have identified. For example if "Other Federal Agency" was selected as a Data Source, provide the name of the other Federal Agency in the field below.

8.a) Specifically identify the Data source (e.g. organization, agency or other entity supplying the information):

	Other Source(s)

8.b) Provide additional, clarifying information below about the sources you have identified. For example if "Other Federal Agency" was selected as a Data Source, provide the name of the other Federal Agency in the field below.

ADDITIONAL INFORMATION: If appropriate, provide explanation for limited answers, such as the development stage of project.

●	Yes	**SECTION INCOMPLETE**
		SECTION COMPLETED
		I have completed and reviewed my responses in this section.
**	**NOTE:**	If you are resubmitting your updates, first select "NO Value" from the dropdown and submit and then select "Yes" and submit again.
		Section Update Date

Section 5.2 Review:

		PRIVACY SERVICE SECTION REVIEW AND APPROVAL
		The Privacy Service has not reviewed this section.
		The Privacy Service has reviewed this section. Please make the modifications described below.
★	Yes	The Privacy Service has reviewed and approved the responses in this section.
**	**NOTE:**	If you are resubmitting your REVIEW or if you already have an YES, then first select "NO Value" and submit
		and then select "Yes" and submit again.
	Jun 15, 2005	**Section Review Date**

PRIVACY SERVICE COMMENTS: (Include reviewers Name and Contact)

Heidi Hamzi, 202-273-6462

5.3 Collection Media

Identify the media used to collect information. Check all applicable collection media below. If the provided media types do not adequately describe a specific data collection, select the "Other Media" field and provide a description of the information. For each medium selected, provide additional information as indicated.

	Web Forms Media:

Identify the URL(s) of each Web site(s) from which information will be submitted, and the URLs of the associated privacy statement. If not known at this time, explain. (Note: This question only applies to Web forms that are submitted online. Forms that are accessed online,

printed and then mailed or faxed are considered "Paper Forms Media.")

	Paper Forms Media:

Identify and/or describe the paper forms by which data is collected. If applicable, identify standard VA forms by form number. If not known at this time, explain.

	Computer Transfer Media:

Describe the type of computer transfer media, and the process used to collect information via computer transfer media. If not known at time, explain.

	Telephone Contact Media:

Describe the process through which information is collected via telephone contacts. If not known at this time, explain.

	Other Media (specify):

Specify the media and the process through which information is collected.

ADDITIONAL INFORMATION: If appropriate, provide explanation for limited answers, such as the development stage of project.

●	Yes	**SECTION INCOMPLETE**
		SECTION COMPLETED
		I have completed and reviewed my responses in this section.
**	**NOTE:**	If you are resubmitting your updates, first select "NO Value" from the dropdown and submit and then select "Yes" and submit again.
		Section Update Date

Section 5.3 Review:

		PRIVACY SERVICE SECTION REVIEW AND APPROVAL
		The Privacy Service has not reviewed this section.
		The Privacy Service has reviewed this section. Please make the modifications described below.
★	Yes	The Privacy Service has reviewed and approved the responses in this section.
**	**NOTE:**	If you are resubmitting your REVIEW or if you already have an YES, then first select "NO Value" and submit
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	Jun 15, 2005	**Section Review Date**

PRIVACY SERVICE COMMENTS: (Include reviewers Name and Contact)

Heidi Hamzi, 202-273-6462

6. DESCRIPTION OF THE ACTIVITIES SURROUNDING THE HANDLING OF, USE OF, AND ACCESS TO THE DATA

6.1 User Access and Data Sharing

Who or what has access to system data, whether individuals, other systems, or other agencies, must be clearly defined and documented.

--> Individuals - Access granted to individuals should be limited to the data needed to perform their assigned duties. List procedures to detect and deter browsing and unauthorized access.

--> Other Agencies – Any Federal, State or local agencies that have authorized access to collected personal information must be identified.

--> Other Systems – Information systems of other programs or projects that interface with the information system(s) of this project must be identified and the transferred data must be defined. Also, the controls that are in place to ensure that only the defined data are transmitted must be defined.

6.1.a) Identify all individuals and organizations that will have access to collected information. Check all applicable Individual/organizational access below.

	System Users
	System Owner, Project Manager
	System Administrator
	Contractor
	Other Veteran Organization
	Other Federal Agency
	State Agency
	Local Agency
	Other System
	Other User(s)

6.1.a.1) Describe here who has access to personal information maintained in project's IT systems:

6.1.b) How is access to the data determined?

6.1.c) Are criteria, procedures, controls, and responsibilities regarding access documented? If so, identify the documents.

6.1.d) Will users have access to all data on the project systems or will user access be restricted? Explain.

6.1.e) What controls are in place to prevent the misuse (e.g. unauthorized browsing) of data by those having access? (Please list processes and training materials that specifically relate to unauthorized browsing)

6.1.f) Do other systems share data or have access to data in this project's systems?

6.1.f.1) If you have selected YES above, explain below. If you have selected NO above, then SKIP to section 6.2 'Data Quality'.

6.1.f.2) If you have selected YES for 6.1.f) above, then describe who will be responsible for protecting the privacy rights of the individuals whose data will be shared across this interface.

ADDITIONAL INFORMATION: If appropriate, provide explanation for limited answers, such as the development stage of project.

●	Yes	**SECTION INCOMPLETE**
		SECTION COMPLETED
		I have completed and reviewed my responses in this section.
**	**NOTE:**	If you are resubmitting your updates, first select "NO Value" from the dropdown and submit and then select "Yes" and submit again.
		Section Update Date

Section 6.1 Review:

		PRIVACY SERVICE SECTION REVIEW AND APPROVAL
		The Privacy Service has not reviewed this section.
		The Privacy Service has reviewed this section. Please make the modifications described below.
★	Yes	The Privacy Service has reviewed and approved the responses in this section.
**	**NOTE:**	If you are resubmitting your REVIEW or if you already have an YES, then first select "NO Value" and submit
		and then select "Yes" and submit again.
	Jun 15, 2005	**Section Review Date**

PRIVACY SERVICE COMMENTS: (Include reviewers Name and Contact)

Heidi Hamzi, 202-273-6462

6.2 Data Quality

6.2.a) Explain how collected data are limited to required elements:

6.2.b) How will data be checked for completeness?

6.2.c) What steps or procedures are taken to ensure the data are current and not out of date?

6.2.d) How will new data be verified for relevance and accuracy?

ADDITIONAL INFORMATION: If appropriate, provide explanation for limited answers, such as the development stage of project.

●	Yes	**SECTION INCOMPLETE**
		SECTION COMPLETED
		I have completed and reviewed my responses in this section.
**	**NOTE:**	If you are resubmitting your updates, first select "NO Value" from the dropdown and submit and then select "Yes" and submit again.
		Section Update Date

Section 6.2 Review:

		PRIVACY SERVICE SECTION REVIEW AND APPROVAL
		The Privacy Service has not reviewed this section.
		The Privacy Service has reviewed this section. Please make the modifications described below.
★	Yes	The Privacy Service has reviewed and approved the responses in this section.
**	**NOTE:**	If you are resubmitting your REVIEW or if you already have an YES, then first select "NO Value" and submit
		and then select "Yes" and submit again.
	Jun 15, 2005	**Section Review Date**

PRIVACY SERVICE COMMENTS: (Include reviewers Name and Contact)

Heidi Hamzi, 202-273-6462

6.3 Data Retention

6.3.a) What is the data retention period?

6.3.b) What are the procedures for eliminating data at the end of the retention period?

6.3.c) Where are procedures documented?

6.3.d) How are data retention procedures enforced?

ADDITIONAL INFORMATION: If appropriate, provide explanation for limited answers, such as the development stage of project.

●	Yes	**SECTION INCOMPLETE**
		SECTION COMPLETED
		I have completed and reviewed my responses in this section.
**	**NOTE:**	If you are resubmitting your updates, first select "NO Value" from the dropdown and submit and then select "Yes" and submit again.
		Section Update Date

Section 6.3 Review:

		PRIVACY SERVICE SECTION REVIEW AND APPROVAL
		The Privacy Service has not reviewed this section.
		The Privacy Service has reviewed this section. Please make the modifications described below.
★	Yes	The Privacy Service has reviewed and approved the responses in this section.
**	**NOTE:**	If you are resubmitting your REVIEW or if you already have an YES, then first select "NO Value" and submit
		and then select "Yes" and submit again.
	Jun 15, 2005	**Section Review Date**

PRIVACY SERVICE COMMENTS: (Include reviewers Name and Contact)

Heidi Hamzi, 202-273-6462

6.4 Notice

The Privacy Act of 1974 and VA policy requires that certain disclosures be made to data subjects when information in identifiable form is collected from them. Explain here notice procedures for personal information maintained in project IT systems.

6.4.a) Is personally identifiable information collected directly from individual members of the public and maintained in the project's IT systems?

Note: If you have selected NO above, then SKIP to Section 6.5, 'Consent'.

6.4.b) Is the data collection mandatory or voluntary?

6.4.c) How are the individuals involved in the information collection notified of the Privacy Policy and whether provision of the information is mandatory or voluntary?

6.4.d) Is the data collection new or ongoing?

6.4.e.1) If personally identifiable information is collected online, is a privacy notice provided that includes the following elements? (Check all applicable boxes.)

	Not applicable, as information will not be so collected.
	Privacy notice is provided on each page of the application.
	A link to the VA Website Privacy Policy is provided.
	Proximity and Timing: the notice is provided at the time and point of data collection.
	Purpose: notice describes the principal purpose(s) for which the information will be used.
	Authority: notice specifies the legal authority that allows the information to be collected.
	Conditions: notice specifies if providing information is voluntary, and effects, if any, of not providing it.
	Disclosures: notice specifies routine use(s) that may be made of the information.

6.4.e.2) If necessary, provide an explanation on privacy notices for your project:

6.4.f) For each type of collection media used (identified in question 5.3, "Collection Media"), explain: a) What the subjects will be told about the information collection. b) How this message will be conveyed to them (e.g., written notice, electronic notice if a web-based collection, etc.). c) How a privacy notice is provided.

	Web Forms Media:

Explain:

	Paper Forms Media:

Explain:

	Computer Transfer Media:

Explain:

	Telephone Contact Media:

Explain:

	Other Media

Explain:

ADDITIONAL INFORMATION: If appropriate, provide explanation for limited answers, such as the development stage of project.

●	Yes	**SECTION INCOMPLETE**
		SECTION COMPLETED
		I have completed and reviewed my responses in this section.
**	**NOTE:**	If you are resubmitting your updates, first select "NO Value" from the dropdown and submit and then select "Yes" and submit again.
		Section Update Date

Section 6.4 Review:

		PRIVACY SERVICE SECTION REVIEW AND APPROVAL
		The Privacy Service has not reviewed this section.
		The Privacy Service has reviewed this section. Please make the modifications described below.
★	Yes	The Privacy Service has reviewed and approved the responses in this section.
**	**NOTE:**	If you are resubmitting your REVIEW or if you already have an YES, then first select "NO Value" and submit
		and then select "Yes" and submit again.
	Jun 15, 2005	**Section Review Date**

PRIVACY SERVICE COMMENTS: (Include reviewers Name and Contact)

Heidi Hamzi, 202-273-6462

6.5 Consent:

The Privacy Act and VA policy require that personally identifiable information only be used for the purpose(s) for which it was collected, unless consent (opt-in) is granted. Individuals must be provided an opportunity to provide consent for any secondary use of information, such as use of collected information for marketing.

6.5.a) For each collection media identified in question 5.3, "Collection Media," describe:1) The opportunities individuals have to decline to provide information, for instances where providing information is voluntary. 2) The opportunities individuals have to grant consent for particular uses of the information. 3) If applicable, how individuals may grant consent.

Some examples of consent methods are: (1) Approved OMB forms, (2) VA consent form (VA Form 1010EZ), and (3) No consent - only notice of privacy practices is provided. Provide justification if no method of consent is provided.

	Web Forms Media:

Concise Narrative Answer:

	Paper Forms Media:

Concise Narrative Answer:

	Computer Transfer Media:

Concise Narrative Answer:

	Telephone Contact Media:

Concise Narrative Answer:

	Other Media

Concise Narrative Answer:

6.5.b) Will personally identifiable information be used for any secondary purpose?

Note: If you have selected NO above, then SKIP to question 6.6, "Access to Records and Requests for Corrections".

6.5.c) Describe and justify any secondary uses of personal information.

ADDITIONAL INFORMATION: If appropriate, provide explanation for limited answers, such as the development stage of project.

●	Yes	**SECTION INCOMPLETE**
		SECTION COMPLETED
		I have completed and reviewed my responses in this section.
**	**NOTE:**	If you are resubmitting your updates, first select "NO Value" from the dropdown and submit and then select "Yes" and submit again.
		Section Update Date

Section 6.5 Review:

		PRIVACY SERVICE SECTION REVIEW AND APPROVAL
		The Privacy Service has not reviewed this section.
		The Privacy Service has reviewed this section. Please make the modifications described below.
★	Yes	The Privacy Service has reviewed and approved the responses in this section.
**	**NOTE:**	If you are resubmitting your REVIEW or if you already have an YES, then first select "NO Value" and submit
		and then select "Yes" and submit again.
	Jun 15, 2005	**Section Review Date**

PRIVACY SERVICE COMMENTS: (Include reviewers Name and Contact)

Heidi Hamzi, 202-273-6462

6.6 Access to Records and Requests for Corrections

The Privacy Act and VA policy provide certain rights and mechanisms by which individuals may request access to and amendment of information relating to them that is retained in a System of Records.

6.6.a) How can individuals view instructions for accessing or amending data related to them that is maintained by VA? (Select all applicable options below.)

	The application will provide a link that leads to their information.
	The application will provide, via link or where data is collected, written instructions on how to access/amend their information.
	The application will provide a phone number of a VA representative who will provide instructions.

	The application will use other method (explain below).
	The application is exempt from needing to provide access.

6.6.b) Provide here any additional explanation; if exempt, explain why the application is exempt from access and amendment.

ADDITIONAL INFORMATION: If appropriate, provide explanation for limited answers, such as the development stage of project.

●	Yes	**SECTION INCOMPLETE**
		SECTION COMPLETED
		I have completed and reviewed my responses in this section.
**	**NOTE:**	If you are resubmitting your updates, first select "NO Value" from the dropdown and submit and then select "Yes" and submit again.
		Section Update Date

Section 6.6 Review:

		PRIVACY SERVICE SECTION REVIEW AND APPROVAL
		The Privacy Service has not reviewed this section.
		The Privacy Service has reviewed this section. Please make the modifications described below.
★	Yes	The Privacy Service has reviewed and approved the responses in this section.
**	**NOTE:**	If you are resubmitting your REVIEW or if you already have an YES, then first select "NO Value" and submit
		and then select "Yes" and submit again.
	Jun 15, 2005	**Section Review Date**

PRIVACY SERVICE COMMENTS: (Include reviewers Name and Contact)

Heidi Hamzi, 202-273-6462

7. SECURITY

OMB Guidance for Implementing the Privacy Provisions of the E-Government Act of 2002, (OMB M-03-22) specifies that privacy impact assessments must address how collected information will be secured.

7.a) Per OMB guidance, citing requirements of the Federal Information Security Management Act, address the following items (Select all applicable options below.)

	The project follows IT security requirements and procedures required by Federal law and VA policy to ensure that information is appropriately secured.
	The project has conducted a risk assessment, identified appropriate security controls to protect against that risk, and implemented those controls.
	Security monitoring, testing, and evaluating of IT Systems that contain project data are conducted on a regular basis to ensure that controls continue to work
	properly, safeguarding the information.

7.b) Describe the security monitoring, testing, and evaluating that is conducted on a regular basis:

7.c) Provide a specific description of how collected information will be secured. Include the following: 1) A concise description of how data will be protected against unauthorized access, unauthorized modification, and how the availability of the project systems will be protected. 2) A concise description of the administrative controls (Security Plans, Rules of Behavior, Procedures for establishing user accounts, etc.). 3) A concise description of the technical controls (Access Controls, Intrusion Detection, etc.) that will be in place to safeguard the information. 4) If applicable, include both VA-wide and system-specific measures which provide security.

ADDITIONAL INFORMATION: If appropriate, provide explanation for limited answers, such as the development stage of project.

●	Yes	**SECTION INCOMPLETE**
		SECTION COMPLETED
		I have completed and reviewed my responses in this section.
**	**NOTE:**	If you are resubmitting your updates, first select "NO Value" from the dropdown and submit and then select "Yes" and submit again.
		Section Update Date

Section 7 Review:

		PRIVACY SERVICE SECTION REVIEW AND APPROVAL
		The Privacy Service has not reviewed this section.
		The Privacy Service has reviewed this section. Please make the modifications described below.
★	Yes	The Privacy Service has reviewed and approved the responses in this section.
**	**NOTE:**	If you are resubmitting your REVIEW or if you already have an YES, then first select "NO Value" and submit
		and then select "Yes" and submit again.

	Jun 15, 2005	**Section Review Date**

PRIVACY SERVICE COMMENTS: (Include reviewers Name and Contact)

Heidi Hamzi, 202-273-6462

8. CHILDREN'S ONLINE PRIVACY PROTECTION ACT

8.a) Will information be collected through the Internet from children under age 13?

Note: If you have selected NO above, then SKIP to Section 9, "PIA Considerations".

8.b) How will parental or guardian approval be obtained.

ADDITIONAL INFORMATION: If appropriate, provide explanation for limited answers, such as the development stage of project.

●	Yes	**SECTION INCOMPLETE**
		SECTION COMPLETED
		I have completed and reviewed my responses in this section.
**	**NOTE:**	If you are resubmitting your updates, first select "NO Value" from the dropdown and submit and then select "Yes" and submit again.
		Section Update Date

Section 8 Review:

		PRIVACY SERVICE SECTION REVIEW AND APPROVAL
		The Privacy Service has not reviewed this section.
		The Privacy Service has reviewed this section. Please make the modifications described below.
★	Yes	The Privacy Service has reviewed and approved the responses in this section.
**	**NOTE:**	If you are resubmitting your REVIEW or if you already have an YES, then first select "NO Value" and submit
		and then select "Yes" and submit again.
	Jun 15, 2005	**Section Review Date**

PRIVACY SERVICE COMMENTS: (Include reviewers Name and Contact)

Heidi Hamzi, 202-273-6462

9. PIA CONSIDERATIONS

9.a) Identify what choices were made regarding IT system or collection of information as a result of performing the PIA. Examples of choices made include reconsideration of: collection source, collection methods, controls to mitigate misuse of information, provision of consent and privacy notice, and security controls.

ADDITIONAL INFORMATION: If appropriate, provide explanation for limited answers, such as the development stage of project.

●	Yes	**SECTION INCOMPLETE**
		SECTION COMPLETED
		I have completed and reviewed my responses in this section.
**	**NOTE:**	If you are resubmitting your updates, first select "NO Value" from the dropdown and submit and then select "Yes" and submit again.
		Section Update Date

Section 9 Review:

		PRIVACY SERVICE SECTION REVIEW AND APPROVAL
		The Privacy Service has not reviewed this section.
		The Privacy Service has reviewed this section. Please make the modifications described below.
★	Yes	The Privacy Service has reviewed and approved the responses in this section.
**	**NOTE:**	If you are resubmitting your REVIEW or if you already have an YES, then first select "NO Value" and submit
		and then select "Yes" and submit again.
	Jun 15, 2005	**Section Review Date**

PRIVACY SERVICE COMMENTS: (Include reviewers Name and Contact)

Heidi Hamzi, 202-273-6462

10. PUBLIC AVAILABILITY

The Electronic Government Act of 2002 requires that VA make this PIA available to the public. This question is intended to provide documented assurance that the PIA is reviewed for any potentially sensitive information that should be removed from the version of the PIA that is made available to the public.

10.a) Does this PIA contain any sensitive information that could cause harm to the Department of Veterans Affairs or any party if disclosed to the public?

10.b) If yes, specify:

ADDITIONAL INFORMATION: If appropriate, provide explanation for limited answers, such as the development stage of project.

●	Yes	**SECTION INCOMPLETE**
		SECTION COMPLETED
		I have completed and reviewed my responses in this section.
**	**NOTE:**	If you are resubmitting your updates, first select "NO Value" from the dropdown and submit and then select "Yes" and submit again.
		Section Update Date

Section 10 Review:

		PRIVACY SERVICE SECTION REVIEW AND APPROVAL
		The Privacy Service has not reviewed this section.
		The Privacy Service has reviewed this section. Please make the modifications described below.
★	Yes	The Privacy Service has reviewed and approved the responses in this section.
**	**NOTE:**	If you are resubmitting your REVIEW or if you already have an YES, then first select "NO Value" and submit
		and then select "Yes" and submit again.
	Jun 15, 2005	**Section Review Date**

PRIVACY SERVICE COMMENTS: (Include reviewers Name and Contact)

Heidi Hamzi, 202-273-6462

11. PIA EVALUATION

11.1 Evaluation of the PIA Process

11.1.a.1) Was completing this PIA an effective means of helping to ensure that privacy considerations are fully and properly integrated into a project throughout each stage of development and use?

11.1.a.2) Please explain:

11.1.b) Did you find the process of completing this PIA to be easy?

11.1.c) What questions or sections were difficult to complete? Why were they difficult to complete?

11.1.d) How could the PIA process be improved?
11.1.e) What other modifications, additional resources or other measures would you recommend to improve the process of completing PIAs, including making the process easier and more effective?

11.2 Evaluation of the PIA Form

11.2.a) Was the PIA Form easy to understand and complete?
11.2.b) What questions or sections were difficult to understand or use? Why?
11.2.c) How could the PIA form be improved? How could specific questions or sections be improved?
11.2.d) What modifications, additional resources or other measures would you recommend to make the PIA form easier to complete?

11.3 Additional Comments

11.3.a) Provide any additional comments regarding any aspect of the PIA.

12. ACCEPTANCE OF RESPONSIBILITY AND ACKNOWLEDGEMENT OF ACCOUNTABILITY:

12.1) I have carefully reviewed the responses to each of the questions in this PIA. I am responsible for funding and procuring, developing, and integrating privacy and security controls into the project. I understand that integrating privacy and security considerations into the project may affect the development time and cost of this project and must be planned for accordingly. I will ensure that VA privacy and information security policies, guidelines, and procedures are followed in the development, integration, and, if applicable, the operation and maintenance of this application.
12.2) Project Manager/Owner Name and Date (mm/dd/yyyy)
ADDITIONAL INFORMATION: If appropriate, provide explanation for limited answers, such as the development stage of project.

●	Yes	**SECTION INCOMPLETE**
		SECTION COMPLETED
		I have completed and reviewed my responses in this section.
**	**NOTE:**	If you are resubmitting your updates, first select "NO Value" from the dropdown and submit and then select "Yes" and submit again.
		Section Update Date

Section 12 Review:

		PRIVACY SERVICE SECTION REVIEW AND APPROVAL
		The Privacy Service has not reviewed this section.
		The Privacy Service has reviewed this section. Please make the modifications described below.
★	Yes	The Privacy Service has reviewed and approved the responses in this section.
**	**NOTE:**	If you are resubmitting your REVIEW or if you already have an YES, then first select "NO Value" and submit
		and then select "Yes" and submit again.
	Jun 15, 2005	**Section Review Date**

PRIVACY SERVICE COMMENTS: (Include reviewers Name and Contact)

Heidi Hamzi, 202-273-6462

ATTACHMENTS

Exhibit 300 Attachments

In the following table, please upload your Cost Benefit Analysis, Risk Analysis, and Acquisition Plan documents, as well as any other pertinent documents related to this initiative.

Name	Link	Size	Owner	Uploaded

Security Plan Attachments

In the following table, please upload your Security Plan document(s). The Security documents can only be accessed by the users with create access for this project and OCIS Reviewers.

Name	Link	Size	Owner	Uploaded

OMB Submission Documents:

Name	Link	Size	Owner	Uploaded
EA BY 2007 Sept Submission.doc	/IDR/gd.aspx?docId=544	756 KB	Treva Lutes	Nov 21, 2005 12:34 PM
EA BY 2007 Sept Submission.xml	/IDR/gd.aspx?docId=545	109 KB	Treva Lutes	Nov 21, 2005 12:34 PM