VA Educational Infrastructure Grant for Graduate Medical Education (GME) Enhancement under the Veterans Access, Choice, and Accountability Act (VACAA) of 2014 [PL 113-146]

Request for Proposals

1. PURPOSE

The Office of Academic Affiliations (OAA) announces a Request for Proposals (RFP) for FY19 – 21 VACAA Infrastructure funding. The funding will begin in the 1st Quarter of fiscal year (FY) 2019 and to extend for two additional fiscal years (2020 and 2021). This year, the award is intended to enhance the infrastructure capability/capacity of eligible sites with approved VACAA Graduate Medical Education (GME) positions. This RFP provides information, policies, and application procedures for eligible sites to submit proposals to request funding to support the infrastructure needs related to placement of physician residents in new training sites (e.g., CBOCs) or sites that have limited GME programs.

Eligible Sites: Selection criteria to determine eligibility includes:

- All GME sites that have been awarded VACAA positions in Primary Care or Mental Health during the first four years of the initiative with less than 20 GME positions and have not received previous Infrastructure grant funding.
- Sites that have received VACAA positions in Primary Care or Mental Health where the affiliate is a Historically Black College or University (HBCU), and plan to request additional VACAA positions for the HBCU affiliate.
- Facilities creating a new setting for Primary Care or Mental Health training (CLC, CBOC) particularly if those new settings are located within a rural or underserved location.

Funds will be competitively awarded to facilities that demonstrate promise and commitment to building primary care and mental health programs utilizing these criteria. Below you will find the eligible sites:

<table>
<thead>
<tr>
<th>Albany (VAMC)</th>
<th>Dayton (VAMC)</th>
<th>Nashville (VAMC)</th>
<th>San Antonio (VAMC)</th>
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<tr>
<td>Albuquerque (VAMC)</td>
<td>Des Moines (VAMC)</td>
<td>New Orleans (HCC)</td>
<td>San Diego (VAMC)</td>
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<td>Detroit (VAMC)</td>
<td>Northampton (VAMC)</td>
<td>San Francisco (VAMC)</td>
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<td>Ann Arbor (VAMC)</td>
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<td>San Juan (VAMC)</td>
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<td>Atlanta (VAMC)</td>
<td>Fort Meade (VAMC)</td>
<td>Oklahoma City (VAMC)</td>
<td>Seattle (VAMC)</td>
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<td>Augusta (VAMC)</td>
<td>Harlingen (HCC)</td>
<td>Omaha (VAMC)</td>
<td>Sioux Falls (VAMC)</td>
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<td>Baltimore (VAMC)</td>
<td>Houston (VAMC)</td>
<td>Orlando (VAMC)</td>
<td>St. Louis (VAMC)</td>
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<tr>
<td>Bay Pines (VAMC)</td>
<td>Huntington (VAMC)</td>
<td>Philadelphia (VAMC)</td>
<td>Tampa (VAMC)</td>
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</tbody>
</table>
2. **BACKGROUND**

The VACAA legislation of 2014 provides VHA with an opportunity to expand GME into non-traditional training sites and places a premium on new affiliations. Priority is also attached to expansion in rural areas, areas that are medically underserved, and VA facilities that had no GME at the time of passage of the legislation.

Establishing new GME programs can require significant infrastructure support, especially during the “startup” phase of the implementation. Some of the challenges include ensuring that there are appropriately qualified clinicians to supervise the residents, excellent educational leadership, adequate administrative staff to onboard trainees and monitor programs from policy, logistic, and financial perspectives, and sufficient space to accommodate trainees (including exam rooms, breakout/work rooms, conference rooms, and if appropriate, on-call rooms).

3. **FOCUS AND SCOPE**

The goal of this RFP is to provide infrastructure support for a sub-set of facilities awarded VACAA GME Expansion positions. Awarded funds are intended to aid these sites for the cost of establishing and/or expanding the clinical and/or administrative environments necessary to fully realize the best educational experience possible for trainees.

These awards are to be used solely for support of VACAA GME Expansion and must be justified using facility specific access data. Additional guidance on the details of tracking and reporting the awarded funding will be issued by OAA to sites who receive this award. Use of these funds must be used only for the purposes specified in this RFP, as these awards are subject to Congressional oversight and reporting requirements. VACAA funding from this RFP must be budgeted for FY19 (10/1/2018 – 9/30/2019) and FY20 (10/1/2019 – 9/30/2020); an additional “option” (FY21) may be included; if VACAA funds are still available in FY21 they will be provided without contest.

Notes:

- Funding is distributed over 2-3 years (as described above).
- Facilities are expected to sustain the programs using VERA educational allotments once the funding period has expired.
- OAA reserves the right to withdraw funding if it deems funding not to be used in accordance with this RFP.

Examples of appropriate funding requests:
• Facility support for faculty development (e.g., preceptor training for existing clinical staff).
• Partial salary support for Site Program Directors in Primary Care & Mental Health, or for existing or newly-hired supervising practitioners and clinical staff to allow protected academic or teaching time.
• Administrative support to assist with trainee appointments and onboarding.
• Education or training resources (supplies or non-IT equipment**; simulation equipment).
• Renovation of space to accommodate trainees, (with additional exam rooms, conference space, or on-call rooms (minor construction, not to exceed $100,000 per grant application.

*Note: All personnel costs will need to be subsumed by the medical center at the end of the award period

**Note: IT equipment, such as laptops, computers, iPads, and printers may not be purchased with funds from this RFP.

The facility must provide a description of the types of funds needed (see below), and the amounts requested by Fiscal Year, for each funding category, and a detailed justification of how their proposed use of the funds will specifically support the expansion of VACAA GME at each facility. Refer to section 6 for detailed application instructions, to include a summary table of funding requests.

Funding must be requested by fiscal year and by funding category. The funding must realistically support the plan to execute the VACAA GME Expansion by the end of the funding period for which support is requested.

VACAA Infrastructure support is available to selected, eligible VHA facilities in the following funding categories:

• Medical Services (0160) – these funds are primarily intended to support the salary of VA staff who are instructors for or supervise residents and trainees. They may also be used to fund staff salaries within a VA facility education office. $ 1,537,722 total amount available within this category that will be divided and distributed to sites selected for Round 4 funding.

We strongly encourage facilities to identify qualified educational leadership such as a doctorally prepared DEO or ACOS-E if not already in place. The DEO is the key individual in creating, building, and enhancing educational relationships with new and/or current affiliated institutions. In order to cultivate these important relationships, the DEO requires time protected from clinical duties to build trust with affiliates, to innovate and collaborate inter-professionally, and to work to establish and/or enhance educational culture in the VA facility.

• Medical Support and Compliance (0152) – these funds are intended to be used to support overhead costs associated with maintaining a GME program, including administrative support (clerical) costs. They may also be used to fund needed training equipment or supplies necessary for the VACAA GME expansion, as well as for VACAA related staff travel and salaries within a VA facility education office. $ 3,420,066 total amount available within this category that will be divided and distributed to sites selected for Round 4 funding.
• **Facility Funds (0162)** – these funds are intended to be used to fund small remodeling or construction projects (up to $100,000 per Grant) that will allow for necessary expansions of space to accommodate increased VACAA physician resident training capacity. Such construction might include expansion or renovation of exam rooms, call rooms, team work space, team meeting space, and/or didactic educational space.

• Proposals should be coordinated with Facilities Management and support clinical space that serves as to enhance the learning environment. $2,066,737 total amount available within this category that will be divided and distributed to sites selected for Round 4 funding. **In your narrative, please provide an estimate from Facilities Management/Engineering that outlines the constructions costs and thus justifies your request.**

4. **REVIEW PROCESS**

OAA will assess the merits of each application. Reviewers will have expertise and leadership in GME as well as experience in educational program development and oversight.

• Justifications will be assessed for the degree to which the requested resource supports a detailed educational needs assessment that includes creating and/or expanding partnerships with new and/or current academic affiliates
• Provides administrative support of planned program development.
• Supports hiring of educational leaders, such as a DEO or ACOS/E.
• Provides a credible proposed plan for expanding GME under VACAA.
• Helps create a robust educational environment.
• Develops partnerships with Historically Black Colleges and Universities (HBCU).
• Serves rural and underserved Communities.
• Promotes Veterans access for Primary Care and Mental Health Services.

Additionally, the facility must demonstrate a commitment to expanding its GME complement and have documentation of affiliation partners who intend to collaborate with the VA through clinical training opportunities.

**Note:** Based on the number and quality of applications submitted as well as the availability of funding, approved projects may not receive all the funding requested in their proposals.

• Only request funds that can realistically be spent in each fiscal year.
• Include funding for each fiscal year (FY2018, FY2019 & FY2020) as part of projected budget requests.
5. SCHEDULE:

- **January 8, 2018**
  - OAA sends request for applications to eligible VA facilities and appropriate Central Office officials.

- **March 2, 2018**
  - Applications are due in OAA (see instructions below)

- **March 12–April 2, 2018**
  - OAA reviews applications and makes recommendations for approval of allocations to the Chief Academic Affiliations Officer

- **April 19, 2018**
  - OAA notification to facilities regarding approval or disapproval

- **October 1, 2018**
  - FY 2019 funds distributed for 1st quarter

- **June 1, 2019**
  - Interim facility report on use of funds [in addition to quarterly reports]
  - Annual reports due by the end of October in 2019 and 2020

6. OAA CONTACT PERSONS

**VACAA:**
Pete Flauto, Health Systems Specialist, at Peter.Flauto@va.gov, or Dr. Anthony Albanese, MD, OAA Academic Affiliations Officer, at Anthony.Albanese@va.gov.
APPLICATION INSTRUCTIONS

A. GENERAL INSTRUCTIONS

1. **Font and margin sizes:** Font size must be 11-point or larger, with 12 point preferred for narrative portions. Margins must be at least one inch all around (excluding headers and footers).

2. **Online submission:**
   Submit each required element of the application in an electronic version by clicking on 2018 VACAA GME Round 4 Infrastructure. Select “register”; once you have set up an account, you will be able to upload your application.

   Deadline for submission is **March 2, 2018**. Incomplete applications (i.e., those lacking in one or more elements) will not be considered by the review panel. The database will be open on **January 8, 2018**, to accept applications, which may be changed or modified up to the closing date for applications. Only authorized individuals from the VA facility DEO’s office may upload files into the application database.

B. FACILITY APPLICATION PACKAGE INSTRUCTIONS

The following elements and information must be submitted in the appropriate manner to OAA:

1. **CORE NARRATIVE:**
   a. The core narrative must not exceed 4 pages in a pdf or Word file.

   Please use the outline provided below.

   (1) Summarize any identified infrastructure deficits (lack of teaching faculty or education office needs), and how you plan to address them, including a brief rationale for your application of these VACAA infrastructure funds.

   (2) Describe the existing educational environment at your facility or the planned new teaching venue, e.g., a CBOC that is new to GME – including:

      a) The current number of physician residents on site at any one time (or planned to be on-site)

      b) What is your 3-year plan to increase the number of VACCA GME Positions? Even if you did not apply for residents in that specialty in the current round of VACCA GME positions applications, list all specialties in which you plan to apply for residents at this site.

   (3) Describe who will be the educational or clinical leader at your facility responsible for spearheading the development and implementation of a new GME educational program. Include the individual’s CV and qualifications to be an educational/academic leader. [Note: if you do not currently have such an individual, you may wish to apply for salary support for such an individual. In such instances, we would suggest that the Chief of Staff or the AO to the Chief of Staff should serve in this interim role, until a suitable education leader can
be hired. In any case, the local Chief of Staff needs to be highly engaged in the application for these infrastructure funds.]

(4) Describe your relationship with the affiliate that sponsors or will sponsor the physician residents, including

(a) A description of your plans for regular communication with the affiliate and program leadership

(b) How clinic or hospital staff will participate as faculty with respect to the sponsoring institution.

(c) If new staff will be recruited, is there a plan for joint recruitment with the sponsor of the GME program?

2. PROPOSED EXPENDITURES:
   a. Refer to Attachment A for format. Additional rows may be added to the table as needed.
   b. By category, provide a description of how funding will be utilized and a justification. The definition of each category is identified on Appendix B. Additional rows may be added as indicated.
   c. Save in the same file as the Core Narrative. This table does not count against the page limitations.

3. SUBMISSION CHECKLIST: This checklist is provided for your convenience and should not be included in the submission.

4. SUPPORT LETTERS:
   Support letters in the following categories may be entered as electronically-signed or scanned pdf or image files. Outlines for each support letter provide specific information that reviewers would like to see in these letters to evaluate the proposals more objectively. Limit each letter to 2 pages.

   The letter should be addressed to “Kathleen Klink, M.D., FAAFP, Acting Chief Academic Affiliations Officer (10A2D).”

(1) Joint facility Leadership Letter of Support including signature by Medical Center Director and Chief of Staff
   • Indicate support for the use of requested VACAA funding. Describe the expected benefits of this funding to the facility in general and to the educational and clinical educational infrastructure.
   • Describe how the infrastructure will also enhance access of Veterans to health care at your facility.
   • Describe any resource support from local facility funds that would be provided to enhance the educational infrastructure in general. Specifically, if a construction project is proposed that will cost more than the $100,000 limit, will the facility provide additional funds to cover this project?
   • Describe the relationship with your affiliate(s).
• Indicate a commitment to sustainment of infrastructure support for expanded GME training at your facility. [Note: although the funding from this grant mechanism is time-limited, any staff hired should be given a permanent appointment. By the end of the 3-year grant period, your facility should be eligible for VERA Education Indirect funding, which will offset some, if not all, of these costs. Moreover, if the residency programs increase access to care for Veterans, you should realize an increase in VERA unique patient funding.]

• Letter supporting the utilization of funding to the medical center to facilitate GME position expansion under the VACAA initiative, including improvement in education processes, educational leadership, systems improvement, and improved care for Veterans.

• Provide your contact information for Chief of Staff: title, business address, telephone and fax numbers, and e-mail address.

• Identify the contact person for this RFP (i.e., DEO or ACOS/Education).

(2) Network Director’s Letter of Support

• Indicate support for the requested VACAA infrastructure funding.

• Describe your commitment to developing the educational programs at the applicant VA facility and, if applicable, its CBOC(s).

• Provide an estimate of any matching funds or additional funding that will be supplied by the VISN to support the development of the VACAA-supported educational programs at the applicant facility. [Note: experience in other VISNs has led to the recognition that development of new or expanded GME sites requires a commitment from the VISN not only philosophically, but also in terms of resource support. New sites of training will need to have freedom from any hiring restrictions in order to use this funding. Nevertheless, experience has also shown that residency training programs improve one’s ability to recruit and retain high quality physician staff.]

(3) VA Program Site Director Letter of Support

• Describe how the requested infrastructure support would enhance your program and the implementation of your project.

• Describe how you will evaluate the success of the funding on a programmatic basis.

• Describe your current accreditation status, any citations, and their resolution.

(4) Affiliate Letter of Support

• Letter authored by affiliate Designated Institutional Official (DIO) and Program Director(s) in the specialty of the planned resident physicians. This letter may be dual signed or separate.

• Letter should be written on affiliate letterhead.

• Describe plans to partner with VA with description of resident commitment by specialty, trainee number, and total resident rotations to VA over the academic year.
## ATTACHMENT A

### Proposed Expenditures

Appendix A: VACAA GME Round 4 Infrastructure RFP January 2018

<table>
<thead>
<tr>
<th>FY</th>
<th>Category</th>
<th>Item description</th>
<th>Amount Requested</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>2019</td>
<td>Medical Support and Compliance</td>
<td>(0152)</td>
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<tr>
<td>2019</td>
<td>Medical Services</td>
<td>(0160)</td>
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<tr>
<td>2019</td>
<td>Facility Funds</td>
<td>(0162)</td>
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<td><strong>Total for 3 years FY 2019-2021:</strong></td>
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Appendix A VACAA GME Round 4 Infras

*Add additional rows as necessary in Excel spreadsheet available in the RFP portal.*
APPLICATION SUBMISSION CHECK LIST

INSTRUCTIONS: Incomplete applications will not be considered by the review committee. The following list is to assist your planning and represents the required sections for this application. See CORE NARRATIVE for detailed instructions and outlines.

☐ CORE NARRATIVE: Proposal (not to exceed 4 pages) – use outline provided

☐ PROPOSED EXPENDITURES (Attachment A)
  □ Save in the same file as the core narrative (does not count in the page limitation)
  □ Add extra lines as necessary in the table
  □ Include a separate page for each FY in which you are requesting funding

☐ LETTERS OF SUPPORT: Letters of Support (facility/ VISN letters: need to submit only once regardless of how many GME programs are involved; use outlines provided):
  □ Joint facility Leadership (combined letter, 2 pages)
  □ Network Director (1-2 pages)
  □ VA Program Site Director (1-2 pages)
  □ Affiliate Designated Institutional Official (DIO) (2 pages)

  Save all letters of support in one file for upload to the portal