§ 165.05–0263  Safety Zone: Mill Creek, Fort Monroe, VA, USNORTHCOM Civic Leader Tour and Aviation Demonstration.

(a) Regulated Area. The following area is a safety zone: All waters in the vicinity of the Fort Monroe Military Reservation on Mill Creek within a 1,320 foot radius of position 37°04′04″ N/76°18′04″ W (NAD 1983).

(b) Definition: For the purposes of this part, Captain of the Port Representative means any U.S. Coast Guard commissioned, warrant or petty officer who has been authorized by the Captain of the Port, Hampton Roads, Virginia to act on his behalf.

(c) Regulations: (1) In accordance with the general regulations in § 165.23 of this part, entry into this zone is prohibited unless authorized by the Captain of the Port, Hampton Roads or his designated representatives.

(2) The operator of any vessel in the immediate vicinity of this safety zone shall:

(i) Stop the vessel immediately upon being directed to do so by any commissioned, warrant or petty officer on shore or on board a vessel that is displaying a U.S. Coast Guard Ensign.

(ii) Proceed as directed by any commissioned, warrant or petty officer on shore or on board a vessel that is displaying a U.S. Coast Guard Ensign.

(3) The Captain of the Port, Hampton Roads can be contacted on VHF–FM marine band radio channel 16 (156.8 Mhz) or at telephone number 757–668–6779 (for all other issues raised by this document), Office of Geriatrics and Extended Care, Veterans Health Administration, Department of Veterans Affairs, 810 Vermont Avenue, NW., Washington, DC 20420. (The telephone numbers set forth above are not toll-free numbers.)

SUPPLEMENTARY INFORMATION: This document amends the regulations at 38 CFR part 51 (referred to below as the regulations), which set forth a mechanism for paying per diem to State homes providing nursing home care to eligible veterans. Under the regulations, VA pays per diem to a State for providing nursing home care to eligible veterans in a facility if the Under Secretary for Health recognizes the facility as a State home based on a determination that the facility meets the standards set forth in subpart D of the regulations. The standards set forth minimum requirements that are intended to ensure that VA pays per diem for eligible veterans only if the State homes provide quality care. This document also makes corresponding changes concerning VA forms set forth at 38 CFR part 58.

This final rule is based on a proposed rule published in the Federal Register on November 28, 2008 (73 FR 72399). The proposed rule called for a 30 day comment period that ended on December 29, 2008. We received a number of comments from eight commenters (one commenter provided two submissions). One commenter merely agreed with the proposed changes. The other comments are discussed below. Based on the rationale set forth in the proposed rule and this document, we have adopted the provisions of the proposed rule as a final rule with changes discussed below.

SUMMARY: The Department of Veterans Affairs (VA) amends its regulations which set forth a mechanism for paying per diem to State homes providing nursing home care to eligible veterans. More specifically, we are updating the basic per diem rate, implementing provisions of the Veterans Benefits, Health Care, and Information Technology Act of 2006, and making several other changes to better ensure that veterans receive quality care in State homes.

DATES: Effective date: May 29, 2009. The incorporation by reference of certain publications listed in this rule is approved by the Director of the Federal Register as of May 29, 2009.

FOR FURTHER INFORMATION CONTACT: Theresa Hayes at (202) 461–6771 (for issues concerning per diem payments), and Christa Hojlo, PhD at (202) 461–6779 (for all other issues raised by this document), Office of Geriatrics and Extended Care, Veterans Health Administration, Department of Veterans Affairs, 810 Vermont Avenue, NW., Washington, DC 20420. (The telephone numbers set forth above are not toll-free numbers.)

DEPARTMENT OF VETERANS AFFAIRS

38 CFR Parts 51 and 58

RIN 2900–A97

Per Diem for Nursing Home Care of Veterans in State Homes

AGENCY: Department of Veterans Affairs.

ACTION: Final rule.

Nurse Practitioners

Proposed § 51.2 defined the term “nurse practitioner” as “a licensed professional nurse who is currently licensed to practice in the State; who meets the State’s requirements governing the qualifications of nurse practitioners; and who is currently certified as an adult, family, or gerontological nurse practitioner by a nationally recognized body that provides such certification for nurse practitioners, such as the American Nurses Credentialing Center or the American Academy of Nurse Practitioners.”

Three commenters argued directly or implicitly that certification is not essential for the provision of high quality care and that licensure is a sufficient measure of competence. One of the commenters argued that national certification would create an undue burden for nurse practitioners (“enroll in an exam course, pay for course work, travel, lodging and registration fees, and sit for the exam”) and indicated that some may fail the exam or fail to meet renewal requirements. The commenter further asserted that nurse practitioners who are currently employed should be subject to a grandfather clause that allows them to work as nurse practitioners without national certification. We made no changes based on these comments. The proposed rule did not create a new certification requirement but merely broadened the list of certifying organizations to any nationally recognized certifying body because the previously listed organization does not provide such certification.

Recognition and Certification

Proposed § 51.30(a)(1) provided that VA would not conduct the recognition survey until the new facility has at least 21 residents or the number of residents consists of at least 50 percent of the new bed capacity of the facility.

One commenter seemed to read the provisions at proposed § 51.30(a)(1) by associating the portion of the formula regarding 21 residents with new facilities and associating the portion of the formula regarding 50 percent of the new bed capacity of the facility.

We clarified the regulation to state that the recognition survey will be conducted only after the new facility either has at least 21 residents or has a number of residents that consist of at least 50 percent of the new bed capacity of the new facility. We also note that under...
§ 51.30(b), a separate recognition is required for changes involving an annex, branch, enlargement, expansion, or relocation.

Two commenters asserted that the portion of the formula concerning 21 residents is excessive. One commenter noted that CMS (Centers for Medicare & Medicaid Services) only requires 3 residents to determine whether a facility meets the CMS standards. Another commenter asserted that a facility should only be required to have ten residents for an initial test survey and that per diem could begin after the initial test survey with a more detailed survey to follow. New providers/suppliers must be in operation and providing services to patients when surveyed. This means that at the time of survey, the institution must have opened its doors to admissions, be furnishing all services necessary to meet the applicable provider or supplier definition, and demonstrate the operational capability of all facets of its operations. To be considered “fully operational,” initial applicants must be serving a sufficient number of patients so that compliance with all requirements can be determined.

Proposed § 51.41(a)(2) stated that the covered veteran must be eligible for the higher rate of per diem based on service connection. However, the law permits VA to pay a higher per diem rate for veterans only based on their service-connected disabilities. States thus would not receive the higher per diem for veterans who are unemployable based on disabilities that are not service connected. One commenter questioned whether a State home would receive the same per diem amount for these veterans.

With respect to the higher per diem rate for certain veterans in State homes, one commenter questioned whether a State home would receive different amounts based on the rating, i.e., 70 percent of the maximum per diem for a veteran with a rating of 70 percent, 80 percent of the maximum per diem for a veteran with a rating of 80 percent, and so on. We made no changes based on this comment. Under the statutory provisions of 38 U.S.C. 1745 and § 51.41, the State home would receive the same per diem amount for these veterans.
provisions at 38 U.S.C. 1745 require that the new higher per diem rate be the lesser of the following:

- The applicable or prevailing rate payable in the geographic area in which the State home is located, as determined by the Secretary, for nursing home care furnished in a non-Department nursing home (i.e., a public or private institution not under the direct jurisdiction of VA which furnishes nursing home care); or
- A rate not to exceed the daily cost of care in the State home facility, as determined by the Secretary, following a report to the Secretary by the director of the State home.

The law thus requires VA to use the actual cost of care in State homes based on a report from the home in determining the higher per diem, and the home will receive its actual cost if it is less than the applicable or prevailing rate. However, as stated in the preamble to the proposed rule: “VA is considering a modification to the proposed payment structure to be introduced after two or three years of experience with the [Resource Utilization Group-III (RUG III)] approach. In the modification, VA would use the actual case-mix of the individual state veteran nursing home to determine the reimbursement rate, rather than assuming that every nursing home has an equal number of veterans in each of the 53 RUG III levels. This modification will allow for more accurate payments, reimbursing nursing homes at a higher rate for treating veterans with more intensive needs.”

One commenter asserted that we should use the earlier time frame of two years to take action to modify the payment structure. We made no changes based on this comment. We will work as fast as possible to take any actions necessary to improve the payment methodology.

One commenter asserted that there is no indication in the proposed rule as to how frequently adjustments would be made to payments under § 51.41(b)(1) and further asserted that the regulations should include the process for adjustment. One commenter questioned whether VA would recalculate amounts each month for the higher per diem rate. In response, we note that the preamble to the proposed rule made clear that the adjustments would be made annually (see 73 FR 72401–72402). As stated in the preamble, the formula for establishing the rate includes CMS information that is published in the Federal Register every summer and is effective beginning October 1 for the entire fiscal year. We have added information to the preamble to § 51.41(b)(1), explaining that adjustments will be made annually.

One commenter argued that the conclusion that the physician portion should be based on one hour per month is too little. Another commenter asked how the formula would include costs for physician extenders. Another commenter questioned whether a facility would receive a higher payment “if it is determined that each patient receives (and needs) substantially more than one hour of combined physician contact each month.” Another commenter asserted that Texas does not use salaried physicians at their State homes and questioned whether Texas State homes would receive higher amounts to offset this practice. As an alternative, the commenter asserted that State homes should be allowed to continue to use Medicare Part B for the physician portion. We made no changes based on these comments. Based on our experience, we believe that one hour is the appropriate amount of time for the calculations for all of the primary care that would be provided by physicians or physician extenders as authorized under the regulations. The rate is based on averages, and it would not be administratively feasible to make a separate formula for each facility.

One commenter further asserted that State homes should not be required to pay for outside specialist costs. We made no changes based on this comment. Outside specialty care is not considered a part of nursing home care.

One commenter asked for VA to provide sample calculations to show how the formula works for VA’s computation of the higher per diem. We made no changes based on this comment. The commenter was sent a sample calculation. We would be happy to provide sample per diem calculations to others upon request (see FOR FURTHER INFORMATION CONTACT above for contact information).

One commenter asserted that the higher per diem rate should be made applicable to VA programs outside of the State home program. We made no changes based on this comment because it is not within the scope of the rulemaking process. The rule implements only the statutory provisions at 38 U.S.C. 1741–1743 and 1745 regarding nursing home care provided in State homes.

Drugs and Medicines

The provisions of 38 U.S.C. 1745(b) require VA to furnish recognized State homes with such drugs and medicines as may be ordered by prescription of a duly licensed physician as specific therapy for the treatment of illness or injury for certain veterans with service-connected disabilities. One commenter questioned whether veterans for whom the higher per diem rate is payable would also receive drugs and medicines under section 1745(b). Two commenters argued that the payment of the higher per diem for veterans should not bar the receipt of drugs and medicines under 38 U.S.C. 1712(d) and corresponding VA regulations. One of the commenters questioned whether all veterans with a service-connected disability would receive drugs and medicines under proposed § 51.41. We made no changes based on these comments. Section 1745(b) states that drugs and medicines provided under that statutory provision cannot be provided to veterans who are being provided nursing home care for which the higher per diem is payable. In addition, section 1745(a)(3) provides that payment by VA of the higher per diem constitutes payment in full to the State home for the veteran’s nursing home care. We interpret this provision to mean that the higher per diem includes the cost of drugs and medicines, which provides the basis for the provision in § 51.41 that, as a condition of receiving payments, the State home must agree not to accept drugs and medicines from VA on behalf of veterans provided under 38 U.S.C. 1712(d) and corresponding VA regulations. Also, section 1745(b) does not authorize VA to provide drugs to all veterans with a service-connected disability.

One commenter questioned, for purposes of proposed § 51.42, who would determine if one hour of combined physician and medicines are needed and how fast these determinations would be made. We made no changes based on these comments. As indicated in § 51.42, the physician prescribing the drug or medicine would make this determination. These determinations would be made in the normal course of business.

One commenter questioned whether a facility would have a choice in how the medications sent to the facility would be packaged, e.g., punch cards, unit doses, stock. We made no changes based on this comment. VA will work with State homes and when practical meet the requests of State homes for packaging the drugs and medications.

One commenter questioned how veterans would receive drugs and medicines that may be needed before they could be supplied by VA. Two commenters questioned how the State home would receive reimbursement for supplying such drugs and medications. We made no changes based on these comments. The statute at 38 U.S.C. 1745(b) does not authorize VA to...
reimburse States for the cost of drugs and medicines. However, as we have done in the existing VA program under which VA provides drugs and medicines to State homes on behalf of certain service-connected veterans, VA will work with State homes to establish working relationships that will allow for the most efficient methods of supplying drugs and medicines.

**Retroactive Payments**

Section 211(a)(5) of Public Law 109–461 required the higher per diem rate based on service connection to take effect on March 21, 2007 (90 days after enactment of the law). This authority also required that the provision of drugs and medicines for specified veterans take effect on the same date. Accordingly, the preamble to the proposed rule indicated that VA would make retroactive payments constituting the difference between the basic per diem actually paid and the higher per diem required for care provided to specified veterans on and after March 21, 2007. The preamble also indicated that VA would make retroactive payments constituting the amount State homes paid for drugs and medicines for specified veterans on and after March 21, 2007 (not including any administrative costs) (73 FR 72401).

The preamble to the proposed rule also asserted that VA would not make retroactive payments if the State home received any payment for such care or for such medicines and drugs from any source unless the amount received was returned to the payor (73 FR 72401).

One commenter advanced that States should not be required to make refunds prior to receipt of VA payments because some States may not have sufficient funds to advance the payor. One commenter asserted that VA should establish a process for returning payments received under the Medicare and Medicaid programs. The commenter also asserted that VA should establish a process for reimbursing physicians who are not State employees and who obtained payments under Medicare Part B. One commenter argued that a State should make repayments to the estate of a deceased veteran prior to receiving retroactive payments from VA that cover payments previously made by the veteran. We made no changes based on these comments. Regardless of whether the return of payment is made prior to VA’s payment or immediately after VA’s payment, the responsibility for the return of a payment rests with the State home that received the payment.

One commenter questioned whether VA will make retroactive payments from March 2007. As stated in the preamble to the proposed rule (73 FR 72401), VA will make retroactive payments for care provided on and after March 21, 2007, and for drugs and medicines provided on and after March 21, 2007.

Proposed § 51.43(d) provided that per diem payments would be made retroactively for care that was provided on and after the date of the completion of VA’s survey of the facility that provided the basis for determining that the facility met VA’s standards. One commenter asserted that VA should pay per diem payments retroactively back to the date the State home opened for operation. We made no changes based on this comment. The statutory provisions at 38 U.S.C. 1741(d) provide for payment of per diem to commence on the date of the completion of the inspection that recognized the State home as meeting VA’s standards, as determined by the Secretary.

One commenter essentially questioned when new VA Form 10–0460 (captioned “Request for Prescription Drugs for an Eligible Veteran in a State Home”) would be used by State homes. We made no changes based on this comment. The form should be used from the effective date of this document.

**Time Limits**

One commenter asserted that a State home should be given 30 days to apply for retroactive payments and monthly per diem and VA should be given 30 days to act on applications and begin making payments. We made no changes based on this comment. State homes are allowed to submit immediately for VA retroactive payments and are allowed to submit requests for monthly payments as soon as they are due. The regulation imposes no deadline on when States must seek retroactive payments. VA will respond promptly to States’ requests but will not establish the deadline suggested by the commenter because it is difficult to predict the availability of resources at any given time.

**Compensation**

One commenter asserted that those veterans receiving VA compensation should not be required to use any of such funds for the cost of their State home care. We made no changes based on this comment. We know of no basis for treating VA compensation differently from other income or other funds of a resident except that the State home is prohibited from charging a veteran for nursing home care when VA pays the higher per diem rate based on service connection. Therefore, VA’s payment constitutes payment in full for the care provided (see 38 U.S.C. 1745(a)(3)).

**Bed Holds**

We proposed to make changes to the bed hold rule. Proposed § 51.43(c) provided that per diem would be paid for a bed hold only if the veteran has established residency by being in the facility for 30 consecutive days (including overnight stays) and the facility has an occupancy rate of 90 percent or greater. In addition, we proposed that per diem for a bed hold would be paid “only for the first 10 consecutive days during which the veteran is admitted as a patient in a VA or other hospital (this could occur more than once in a calendar year) and only for the first 12 days in a calendar year during which the veteran is absent for purposes other than receiving hospital care.”

One commenter argued that residency should be established by admission and that a transfer to an acute care facility should not affect residency. The commenter further asserted that the proposed rule failed to provide a rationale for the residency requirement. One commenter asserted that the regulations should allow a bed hold for at least 15 days for a resident who is absent due to hospitalization unless the nursing home documents that it has objective information from the hospital confirming that the patient will not return to the nursing home within 15 days of the hospital admission. We made no changes based on these comments. As we indicated in the preamble to the proposed rule, VA believes that State homes should receive per diem for bed holds only if the State would likely fill the bed without such payments and only if the veteran has established residency at the State home (73 FR 72402). We believe that 30 days is a minimal amount of time for demonstrating that a veteran intends to be a resident at the State home and that the veteran was not temporarily placed in the State home.

With respect to hospital absences, one commenter questioned whether the regulations provide for VA to pay per diem “for only 10 consecutive overnight hospital absences or any number of overnight hospital absences but only up to ten consecutive days maximum period each time.” We have clarified the regulations to state that VA will provide per diem “only for the first 10 consecutive days during which the veteran is admitted as a patient for any stay in a VA or other hospital (a hospital stay could occur more than once in a calendar year).”

One commenter asserted that the 90 percent occupancy requirement should not apply to a new facility for the first
two years of operation. The commenter asserted that this would afford the time to safely fill the building to the 90 percent occupancy rate. We made no changes based on this comment. The request is inconsistent with the purpose of a bed hold. As stated in the preamble to the proposed rule, payments for bed holds are intended to assure that nursing home residents who are hospitalized or who are granted leave for other purposes are assured a nursing home bed upon return to the nursing home (73 FR 72402). It is unlikely that facilities with an occupancy of less than 90 percent would fill the bed of an absent resident.

One commenter questioned how to determine when a facility has an occupancy rate of 90 percent or greater. We made no changes based on this comment. The occupancy rate would be determined by dividing the number of residents by the number of beds identified in the recognition process. If a facility is recognized as a 100 bed facility and has 90 residents, the occupancy rate is 90 percent.

One commenter asserted that their facility was constructed with a 400-bed capacity but now, because of a nurse shortage, operates at a maximum of 300 beds. The commenter asked whether the 90 percent requirement would apply to the lower amount. We made no changes based on this comment. The lower amount would apply only if the amount were based on a formal re-recognition action.

Resident Rights

Proposed § 51.70(c)(5) provided that “[u]pon the death of a resident with a personal fund deposited with the facility, the facility management must convey within 90 calendar days the resident’s funds, and a final accounting of those funds, to the individual or probate jurisdiction administering the resident’s estate; or other appropriate individual or entity, if State law allows.” One commenter asserted that the regulations should provide a waiver from the 90 day requirement in those cases when “funds are inadequate, there are multiple creditors and relatives and the matter is tied in probate or no relative or creditor is located or willing to open an estate.” We made no changes based on this comment. The regulations only require that the time limit be met when the funds can be conveyed “to the individual or probate jurisdiction administering the resident’s estate; or other appropriate individual or entity, if State law allows.” VA sees no reason why funds should be retained for longer periods under these circumstances.

Quality of Life

Proposed § 51.100(h)(2) clarified the regulations to specify that a nursing home with 100 or more beds would be required to employ one or more qualified social workers who work for a total period that equals at least the work time of one full-time employee (FTE). We also proposed to clarify the regulations to specify that a State home must provide qualified social worker services in proportion to the total number of beds in the home, specifically one or more social worker FTE per 100 beds. For example, under the proposal a nursing home with 50 beds would be required to employ one or more qualified social workers who work for a total period equaling at least one-half FTE and a nursing home with 150 beds would be required to employ qualified social workers who work for a total period equaling at least one and one-half FTE. One commenter asserted that this requirement is too onerous and that others could perform the social work under the supervision of a social worker. The commenter further asserted that a grandfather clause, a waiver, or a phase-in time should be allowed for those not meeting the requirement. The commenter also asserted that, instead of a 1:100 ratio, VA should establish the ratio of 1:120.

We believe that a resident must have access to a quality social work program to help ensure the well being of the resident. We believe that we could increase the ratio to 1:120, which is the CMS standard and still allow for sufficient availability of social workers. Accordingly, the final rule reflects this change. However, we made no further changes because we believe that only qualified social workers would have the skills necessary to provide this specialized help needed by residents.

Resident Assessment

Section 51.110 requires facility management to “conduct initially, annually and as required by a change in the resident’s condition a comprehensive, accurate, standardized, reproducible assessment of each resident’s functional capacity.” Section 51.110(b)(3) also requires quarterly reassessments.

Proposed § 51.110(b)(1)(i) required officials conducting such assessments, among other things, to use the Centers for Medicare and Medicaid Services (CMS) Resident Assessment Instrument Minimum Data Set (RAI/MDS), Version 2.0. Two commenters asserted that the version will be updated and that we should use a generic reference so that we could require compliance with the changed versions as they are adopted. We made no changes based on these comments. We prefer our incremental approach because it allows us to review each new version of the standard prior to making it applicable.

Two commenters asserted that VA should clarify the purposes for such CMS RAI/MDS submissions. One of the commenters further questioned whether VA would calculate RUG scores from this information and questioned how differences between VA and facilities would be resolved. We made no changes based on these comments. The purpose for obtaining the information is not to challenge the data reviewed. VA uses the quality indicators to prepare for surveys.

Also, we proposed to require each State home to submit each assessment to VA at a VA email address. Two commenters asserted that facilities should be able to submit the data by electronic means other than email. We agree that the information should be submitted electronically in a form other than email. Accordingly, the final rule requires the submission to be made electronically to the IP address provided by VA.

Physical Environment

Proposed § 51.200 required State home facilities to meet certain provisions of the National Fire Protection Association’s NFPA 101, Life Safety Code and the NFPA 99, Standard for Health Care Facilities. These documents are incorporated by reference in accordance with the provisions of 5 U.S.C. 552(a) and 1 CFR Part 51. We proposed to change the regulations to update these documents to refer to the current editions of the NFPA code and standard. One commenter asserted that the updates should apply only to new construction and renovation. The commenter further asserted that existing State homes “should be grandfathered and assessed under the standards that were in place when the Homes were constructed and initially surveyed.” These documents represent national consensus standards that are generally recognized as minimum standards for life and safety. Ultimately, we believe that State homes must work to protect residents by meeting the minimum consensus standards contained in these documents.

The standards for existing facilities take into account that some changes may take a considerable amount of time to make, such as installation of sprinkler systems for existing nursing homes. The Centers for Medicare & Medicaid Services (CMS) has determined that
August 13, 2013, provides a reasonable amount of time to install sprinkler systems in existing nursing homes, as required by paragraph 19.3.5.1 in the 2006 edition of NFPA 101, which specifically states “Buildings containing nursing homes shall be protected throughout by an approved, supervised automatic sprinkler system in accordance with Section 9.7, unless otherwise permitted by 19.3.5.4.” We agree, and therefore based on the above comment we have included such a requirement in the final rule. We note that paragraph 13–3.5.1 in the 1997 edition of NFPA 101 requires sprinkler protection for buildings of certain construction types. The requirement for sprinkler protection due to construction type is also found in paragraph 19.1.6 in the 2006 edition of NFPA 101. The changes in §51.200 are not intended to postpone enforcement of the existing requirement for sprinkler protection in nursing homes due to the construction type of the building.

The proposed rule indicated that we would incorporate by reference the 2006 edition of the standard. This was in error since the latest edition of the standard is the 2005 edition. Therefore, we are incorporating by reference the 2005 edition.

Unfunded Mandates

The Unfunded Mandates Reform Act of 1995 requires, at 2 U.S.C. 1532, that agencies prepare an assessment of anticipated costs and benefits before issuing any rule that may result in an expenditure by Federal, State, local, and tribal governments, in the aggregate, or by the private sector, of $100 million or more (adjusted annually for inflation) in any given year. This rule will have no such effect on State, local, and tribal governments, or on the private sector.

Paperwork Reduction Act

The final rule at §§51.43, 58.11, 58.13, and 58.18 contains collections of information under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501–3521). The Office of Management and Budget (OMB) assigns a control number for each collection of information it approves. VA may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. These regulations set forth a mechanism for State homes to obtain per diem payments as well as drugs and medicines.

The final rule at §51.110 also contains a collection of information. VA has obtained OMB clearance for the use of Minimum Data Sets (initial, annual, significant change in condition, and quarterly) (OMB Control Number 2900–0160). However, the final rule requires such Minimum Data Sets to be electronically transmitted to VA.

In a notice published in the Federal Register on November 28, 2008 (73 FR 72399), we requested public comments on these collections of information. We did not receive any comments.

OMB has approved those collections and a number of other collections in part 51 under OMB Control Numbers 2900–0160 and 2900–0071. We are adding a statement to all of the sections in part 51 for which collections have been approved so that each applicable control number is displayed for each collection.

Executive Order 12866

Executive Order 12866 directs agencies to assess all costs and benefits of available regulatory alternatives and, when regulation is necessary, to select regulatory approaches that maximize net benefits (including potential economic, environmental, public health and safety, and other advantages; distributive impacts; and equity). The Executive Order classifies a “significant regulatory action” requiring review by OMB, as any regulatory action that is likely to result in a rule that may: (1) Have an annual effect on the economy of $100 million or more or adversely affect in a material way the economy, a sector of the economy, productivity, competition, jobs, the environment, public health or safety, or State, local, or tribal governments or communities; (2) create serious inconsistency or interference with an action taken or planned by another agency; (3) materially alter the budgetary impact of entitlements, grants, user fees, or loan programs or the rights and obligations of entitlement recipients; (4) raise novel legal or policy issues arising out of legal mandates, the President’s priorities, or the principles set forth in the Executive Order.

The economic, interagency, budgetary, legal, and policy implications of this final rule have been examined and it has been determined to be a significant regulatory action under Executive Order 12866 because it may result in a rule that raises novel legal or policy issues arising out of legal mandates, the President’s priorities, or the principles set forth in the Executive Order.

Regulatory Flexibility Act

The Secretary hereby certifies that this regulatory amendment will not have a significant economic impact on a substantial number of small entities as they are defined in the Regulatory Flexibility Act, 5 U.S.C. 601–612. This rulemaking will affect veterans, State homes, and pharmacies. The State homes that are subject to this rulemaking are State government entities under the control of State governments. All State homes are owned, operated and managed by State governments except for a small number that are operated by entities under contract with State governments. These contractors are not small entities. Also, this rulemaking will have only an insignificant impact on a small number of pharmacies that could be considered small entities. Therefore, pursuant to 5 U.S.C. 605(b), this amendment is exempt from the initial and final regulatory flexibility analysis requirements of sections 603 and 604.

Catalog of Federal Domestic Assistance

The Catalog of Federal Domestic Assistance numbers and titles for the programs affected by this document are 64.005, Grants to States for Construction of State Home Facilities; 64.077, Blind Rehabilitation Centers; 64.008, Veterans Domiciliary Care; 64.009, Veterans Medical Care Benefits; 64.010, Veterans Nursing Home Care; 64.011, Veterans Dental Care; 64.012, Veterans Prescription Service; 64.013, Veterans Prosthetic Appliances; 64.014, Veterans State Domiciliary Care; 64.015, Veterans State Nursing Home Care; 64.016, Veterans State Hospital Care; 64.018, Sharing Specialized Medical Resources; 64.019, Veterans Rehabilitation Alcohol and Drug Dependence; 64.022, Veterans Home Based Primary Care; and 64.026, Veterans State Adult Day Health Care.

List of Subjects in 38 CFR Parts 51 and 58

Administrative practice and procedure, Claims, Day care, Dental health, Government contracts, Grant programs—health, Grant programs—veterans, Health care, Health facilities, Health professions, Health records, Incorporation by reference, Mental health programs, Nursing homes, Reporting and recordkeeping requirements, Travel and transportation expenses, Veterans.

Approved: February 27, 2009.

John R. Gingrich,
Chief of Staff, Department of Veterans Affairs.
For the reasons set forth in the preamble, 38 CFR parts 51 and 58 are amended as follows:

PART 51—PER DIEM FOR NURSING HOME CARE OF VETERANS IN STATE HOMES

1. The authority citation for part 51 is revised to read as follows:

2. Amend part 51 by removing the phrase “Geriatrics and Extended Care Strategic Healthcare Group” each place it appears and adding, in its place, “Office of Geriatrics and Extended Care”.

Subpart A—General

3. Amend §51.2 by revising the definitions of the terms “Clinical nurse specialist” and “Nurse practitioner” to read as follows:

§51.2 Definitions.

Clinical nurse specialist means a licensed professional nurse who has a Master’s degree in nursing with a major in a clinical nursing specialty from an academic program accredited by the National League for Nursing and who is certified by a nationally recognized credentialing body (such as the National League for Nursing, the American Nurses Credentialing Center, or the Commission on Collegiate Nursing Education).

Nurse practitioner means a licensed professional nurse who is currently licensed to practice in the State; who meets the State’s requirements governing the qualifications of nurse practitioners; and who is currently certified as an adult, family, or gerontological nurse practitioner by a nationally recognized body that provides such certification for nurse practitioners, such as the American Nurses Credentialing Center or the American Academy of Nurse Practitioners.

Subpart B—Obtaining Per Diem for Nursing Home Care in State Homes

4. Amend §51.20 by revising paragraph (a) and adding a parenthetical statement after the authority citation, to read as follows:

§51.20 Application for recognition based on certification.

(a) Send a request for recognition and certification to the Chief Consultant, Office of Geriatrics and Extended Care (114), VA Central Office, 810 Vermont Avenue, NW., Washington, DC 20420. The request must be in the form of a letter and must be signed by the State official authorized to establish the State home;

(2) During Fiscal Year 2008 VA will pay a facility recognized as a State home for nursing home care the lesser of the following for nursing home care provided to an eligible veteran in such facility:

(1) One-half of the cost of the care for each day the veteran is in the facility; or

(2) $71.42 for each day the veteran is in the facility.

(b) During Fiscal Year 2009 and during each subsequent Fiscal Year, VA will pay a facility recognized as a State home for nursing home care the lesser of the following for nursing home care provided to an eligible veteran in such facility:

(1) One-half of the cost of the care for each day the veteran is in the facility; or

(2) The basic per diem rate for the Fiscal Year established by VA in accordance with 38 U.S.C. 1741(c).
§51.41 Per diem for certain veterans based on service-connected disabilities.

(a) VA will pay a facility recognized as a State home for nursing home care at the per diem rate determined under paragraph (b) of this section for nursing home care provided to an eligible veteran in such facility, if the veteran:

(1) Is in need of nursing home care for a VA adjudicated service-connected disability, or

(2) Has a singular or combined rating of 70 percent or more based on one or more service-connected disabilities or a rating of total disability based on individual unemployability and is in need of nursing home care.

(b) For purposes of paragraph (a) of this section, the rate is the lesser of the amount calculated under the paragraph (b)(1) or (b)(2) of this section.

(1) The amount determined by the following formula. Calculate the daily rate for the CMS RUG III (resource utilization groups version III) 53 case-mix levels for the applicable metropolitan statistical area if the facility is in a metropolitan statistical area, and calculate the daily rate for the CMS Skilled Nursing Prospective Payment System 53 case-mix levels for the applicable rural area if the facility is in a rural area. For each of the 53 case-mix levels, the daily rate for each State home will be determined by multiplying the labor component by the nursing home wage index and then adding to such amount the non-labor component and an amount based on the CMS payment schedule for physician services. The amount for physician services, based on information published by CMS, is the average hourly rate for all physicians, with the rate modified by the applicable urban or rural geographic index for physician work, and then with the modified rate multiplied by 12 and then divided by the number of days in the year.

Note to paragraph (b)(1): The amount calculated under this formula reflects the applicable or prevailing rate payable in the geographic area in which the State home is located for nursing home care furnished in a non-Department nursing home (a public or private institution not under the direct jurisdiction of VA which furnishes nursing home care). Further, the formula for establishing these rates includes CMS information that is published in the Federal Register every summer and is effective beginning October 1 for the entire fiscal year. Accordingly, VA will adjust the rates annually.

(2) A rate not to exceed the daily cost of care for the month in the State home facility, as determined by the Chief Consultant, Office of Geriatrics and Extended Care, following a report to the Chief Consultant, Office of Geriatrics and Extended Care under the provisions of §51.43(b) of this part by the director of the State home.

(c) Payment under this section to a State home for nursing home care provided to a veteran constitutes payment in full to the State home by VA for such care furnished to that veteran. Also, as a condition of receiving payments under this section, the State home must agree not to accept drugs and medicines from VA on behalf of veterans provided under 38 U.S.C. 1712(d) and corresponding VA regulations (payment under this section includes payment for drugs and medicines).


(The Office of Management and Budget has approved the information collection requirements in this section under control number 2900–0160.)

§51.42 Drugs and medicines for certain veterans.

(a) In addition to per diem payments under §51.40 of this part, the Secretary shall furnish drugs and medicines to a facility recognized as a State home as may be ordered by prescription of a duly licensed physician as specific therapy in the treatment of illness or injury for a veteran receiving care in a State home, if:

(1) The veteran:

(i) Has a singular or combined rating of less than 50 percent based on one or more service-connected disabilities and is in need of such drugs and medicines for a service-connected disability; and

(ii) Is in need of nursing home care for reasons that do not include care for a VA adjudicated service-connected disability, or

(2) The veteran:

(i) Has a singular or combined rating of 50 or 60 percent based on one or more service-connected disabilities and is in need of such drugs and medicines; and

(ii) Is in need of nursing home care for reasons that do not include care for a VA adjudicated service-connected disability.

(b) VA may furnish a drug or medicine under paragraph (a) of this section only if the drug or medicine is included on VA's National Formulary, unless VA determines a non-Formulary drug or medicine is medically necessary.

(c) VA may furnish a drug or medicine under paragraph (a) of this section by having the drug or medicine delivered to the State home in which the veteran resides by mail or other means determined by VA.


§51.43 Per diem and drugs and medicines—principles.

(a) As a condition for receiving payment of per diem under this part, the State home must submit to the VA medical center of jurisdiction for each veteran a completed VA Form 10–10EZ, Application for Medical Benefits (or VA Form 10–10EZR, Health Benefits Renewal Form, if a completed Form 10–10EZ is already on file at VA), and a completed VA Form 10–10SH, State Home Program Application for Care—Medical Certification. These VA Forms must be submitted at the time of admission and with any request for a change in the level of care (domiciliary, hospital care or adult day health care).

In case the level of care has changed or contact information is outdated, VA Forms 10–10EZ and 10–10EZR are set forth in full at §58.12 and VA Form 10–10SH is set forth in full at §58.13. If the facility is eligible to receive per diem payments for a veteran, VA will pay per diem under this part from the date of receipt of the completed forms required by this paragraph, except that VA will pay per diem from the day on which the veteran was admitted to the facility if the completed forms are received within 10 days after admission.

(b) VA pays per diem on a monthly basis. To receive payment, the State home must submit to the VA medical center of jurisdiction a completed VA Form 10–5588, State Home Report and Statement of Federal Aid Claimed. This form is set forth in full at §58.11 of this chapter.

(c) Per diem will be paid under §§51.40 and 51.41 for each day that the veteran is receiving care and has an overnight stay. Per diem also will be paid when there is no overnight stay if the veteran has resided in the facility for 30 consecutive days (including overnight stays) and the facility has an occupancy rate of 90 percent or greater. However, these payments will be made only for the first 10 consecutive days during which the veteran is admitted as a patient for any stay in a VA or other hospital (a hospital stay could occur more than once in a calendar year) and only for the first 12 days in a calendar year during which the veteran is absent for purposes other than receiving hospital care.

(d) Initial per diem payments will not be made until the Under Secretary for Health recognizes the State home. However, per diem payments will be made retroactively if it was provided on and after the date of the completion of the VA survey of the
facility that provided the basis for determining that the facility met the standards of this part.

(e) The daily cost of care for an eligible veteran’s nursing home care for purposes of §§ 51.40(a)(1) and 51.41(b)(2) consists of those direct and indirect costs attributable to nursing home care at the facility divided by the total number of residents at the nursing home. Relevant cost principles are set forth in the Office of Management and Budget (OMB) Circular number A–87, dated May 4, 1995, “Cost Principles for State, Local, and Indian Tribal Governments.”

(f) As a condition for receiving drugs and medicines under this part, the State must submit to the VA medical center of jurisdiction a completed VA Form 10–0460 for each eligible veteran. This form is set forth in full at § 58.18 of this chapter. The corresponding prescriptions described in § 51.42 also should be submitted to the VA medical center of jurisdiction.


(The Office of Management and Budget has approved the information collection requirements in this section under control number 2900–0091 and 2900–0160.)

Subpart D—Standards

§ 51.70. In paragraph (c)(5), by removing “30 days” and adding, in its place, “90 calendar days” and after the authority citation by adding “(The Office of Management and Budget has approved the information collection requirements in this section under control number 2900–0160.).”

§ 51.80. In paragraph (a), removing the phrase, “(1997 edition)” and adding, in its place, “(2005 edition)” and removing “Office of Management and Budget (02D), Room 1154,” and adding, in its place “Office of Regulation Policy and Management (02REG),” and by removing “or at” and adding, in its place “, call 202–461–4902, or at”.

§ 51.90. By adding after the authority citation “(The Office of Management and Budget has approved the information collection requirements in this section under control number 2900–0160.).”

§ 51.110. In paragraph (a) by removing the phrase, “(1997 edition)” each time it appears and adding, in its place, “(2005 edition)” and removing the phrase, “(1996 edition)” each time it appears and adding, in its place, “(2006 edition)” and removing the phrase, “(1996 edition)” each time it appears and adding, in its place, “(2005 edition)”;

§ 51.200. In paragraph (b), removing the phrase, “(1996 edition)” each time it appears and adding, in its place, “(2005 edition)”; and

§ 51.210. By adding after the authority citation “(The Office of Management and Budget has approved the information collection requirements in this section under control number 2900–0160.).”

PART 58—FORMS

§ 58.11. The authority citation for part 58 is revised to read as follows:


§ 58.11. VA Form 10–5588 to read as follows:

§ 58.11 VA Form 10–5588—State Home Report and Statement of Federal Aid Claimed.

BILLING CODE 8320–01–P
### INSTRUCTIONS FOR STATE HOME REPORT AND STATEMENT OF FEDERAL AID CLAIMED

1. **USE OF VA FORM 10-5588, STATE HOME REPORT AND STATEMENT OF FEDERAL AID CLAIMED**

   The VA Form 10-5588 consists of several parts. This report is a monthly statement of gains and losses, days of care, average daily census, total per diem cost, per diem claimed and total amount claimed for hospital, nursing home, domiciliary, and adult day health care. The State home will be paid monthly. Payments will be made only after the State submits a completed VA Form 10-5588.

   a. One copy of the monthly statement of account will be submitted by each State home to VA medical center of jurisdiction by the end of the 5th workday after the close of each monthly report period.

   b. VA medical center of jurisdiction staff will review each monthly report for accuracy, resolve any discrepancies with the State home, make payment by electronic fund transfer and file the report. A report should not be accepted by a VA medical center staff if the report is incomplete (i.e., all appropriate blanks are complete and report is signed by the State home administrator and State employee when under management contract arrangement).

   c. The original monthly statement will be verified and signed by the VA medical center staff person assigned as the point of contact for oversight of the State Home Program and forwarded in duplicate to the Business Office for audit and payment. On completion of VA accounting certification, one copy of each report will be sent to VA Central Office, not later than the 15th workday after the month ends. This information is used to prepare the quarterly program reports of expenditures that are the basis or long range budget projections. The VA Central Office copy will be addressed to: Chief Consultant/Chief State Home Per Diem Program, Office of Geriatrics and Extended Care (114), VA Headquarters, 810 Vermont Avenue, NW, Washington, DC 20420.

2. **GENERAL INSTRUCTIONS**

   a. Enter the last day of the calendar month covered by the report in the box labeled "For Month Ending."

   b. Enter line entries for domiciliary, column A; nursing home, column B; hospital, column C; or adult day health care, column D in appropriate columns.

   c. Lines 1 through 13 are to be completed for each level of care. Lines 1-9 will be completed as a monthly veteran residents accountability. Lines 10-13 will be completed as the end of month resident accountability.

   (1) Line 1, Total Veteran Residents Remaining End of Prior Month. Enter the number of veteran eligible residents present and remaining on the rolls of the State home as of midnight on the last day of the prior month. Entries on this line will be the same as those shown on line 9 for the prior month.

   (2) Line 2, Admissions (Change of Status). Enter the number of eligible veterans whose status was changed by transfer from one level of care to another.

   (3) Line 3, Admissions (Other). Enter the number of eligible veterans admitted to the State home during the report month.

   (4) Line 4, Return From Leave of Absence of 10 consecutive overnight absences at a VA or other hospital and for the first 12 other types of overnight absences in a calendar year.

   (5) Line 5, Discharges (Change of Status). Enter the number of eligible veterans whose status was changed by transfer to another level of care in the State home. The total entries on line 2 and 5 for the month will be the same.

   (6) Line 6, Discharges (Others). Enter the number of eligible veterans who were discharged from the State home or dropped from the rolls, except for deaths.

   (7) Line 7, Deaths. Enter the number of eligible veterans who died during the report month. Attach a separate sheet to identify deaths by name.

   (8) Line 8, Leave of Absence of 10 consecutive overnight absences at a VA or other hospital and for the first 12 other types of overnight absences in a calendar year.

   (9) Line 9, Total Veteran Residents Remaining End of Month. Enter the number of eligible male and female veterans present and remaining as of midnight on the last day of the report month. This entry will be equal to the sum of lines 1, 2, 3 and 4 minus lines 5, 6, 7 and 8.

   (10) Line 10, Non-Veteran Residents Remaining End of Month. Enter number of residents not eligible for reimbursement by VA that are present on the last day of the report month. DO NOT REPORT eligible veteran residents in this cell.

   (11) Line 11, Total Nursing Home Care Veterans that are 70% Disabled or Admitted for a Service Connected Condition. Enter number of residents included on line 9, that are over 70% service connected disabled or admitted for a service connected condition.

   (12) Line 12, Female Veteran Residents Remaining at the end of the month.
CONTINUED INSTRUCTIONS FOR STATE HOME REPORT AND STATEMENT OF FEDERAL AID

(13) Line 13. Total Veteran Days of Care Provided. Enter total number of days of care provided, including days of care for eligible veterans absent 96 hours or less. One day of care may be counted for a veteran on the day the veteran is admitted. A day of care is not counted on the day of discharge. A gain and a loss on the same day will be reported as one day of care. When accounting for Nursing Home Care use lines 13a and 13b.

(13a) Line 13a. Total Veteran Days of Care Provided for Nursing Home Care. Enter total number of days of care provided to veterans 70% or more disabled or admitted for a service connected disability, including days of care for eligible veterans with leave of absence of 10 consecutive overnight absences at a VA or other hospital and for the first 12 other types of overnight absences in a calendar year. One day of care may be counted for a veteran on the day the veteran is admitted. A day of care is not counted on the day of discharge. A gain and a loss on the same day will be reported as one day of care.

3. INSTRUCTIONS FOR MONTHLY SUMMARY STATEMENT ACCOUNT.

a. Column E, Days of Care, Lines 14, 15, 16, and 17. Enter from line 13 the data in columns A for domiciliary, C for hospital care and D for adult day health care to show the total number of days for each level of care for the month. Enter from line 13b for B for nursing home care to show the total number of days for Nursing home care for patients less than 70% service disabled or not admitted for a service connected condition. One day of care may be counted for a veteran on the day the veteran is admitted. A day of care is not counted on the day of discharge. A gain and a loss on the same day will be reported as one day of care.

b. Column F, Average Daily Census, Lines 14, 15, 16, and 17. Enter the average daily census computed by dividing the appropriate entry in column J by the number of calendar days in the month, carried to one decimal place.

c. Column G, Total Per Diem Cost, Lines 14, 15, 16, and 17. Enter on the appropriate line the total per diem costs for the month computed in accordance with relevant cost principles set forth in the Office of Management and Budget (OMB) Circular number A-87, dated May 4, 1995, "Cost Principles for State, Local, and Indian Tribal Governments." The total per diem cost will include the direct and indirect costs appropriate for each level of care.

d. Column H, Per Diem Claimed, 14, 15, 16, and 17. Enter the authorized (VA approved per diem rate for the Fiscal Year) per diem rate or one-half the amount shown in column I, carried to two decimal places whichever is the lesser, for the appropriate level of care. VA will pay monthly one-half of the cost of each eligible veteran's care (domiciliary, nursing home, hospital or adult day health care) for each day the veteran is in a facility recognized as a State home, not to exceed the approved per diem rate for that level of care.

e. Column I, Total Amount Claimed.

(1) Line 18. Verify that the total amount claimed in line 17 does not exceed one-half the sum of products of entries in columns B and I, lines 14, 15, 16 and 17.

4. INSTRUCTIONS FOR CLAIM PER DIEM PAYMENTS OF 70% SC VETERANS IN STATE NURSING HOMES.

a. Column J, Days of Care, Lines 19 and 20 total number of days for each level of care for the month. Including days of care for eligible veterans absent 10 consecutive overnight absences at a VA or other hospital and for the first 12 other types of overnight absences in a calendar year. One day of care may be counted for a veteran on the day the veteran is admitted. A day of care is not counted on the day of discharge. A gain and a loss on the same day will be reported as one day of care. Total on line 21.

b. Column K, Total Veterans, Lines 19 and 20. Enter the total number of eligible veterans present on the last day of the report month on line 21.

c. Column L, Rate Per Day of SC Vet, 19 and 20. Use prevailing rate chart or (G) 15, whichever is less.

d. Column M, Amount Claimed, Lines 19 and 20. Enter the total amount by adding line 19 to line 20.

5. OPERATING BEDS

a. At the end of each month, State home management will enter the current operating bed capacities for domiciliary, nursing home, hospital or adult day health care in the appropriate spaces on Page 2 of the report form b. Also on Page 2, facility management will enter bed capacities approved by VA. The approved bed capacity and the operating beds should be the same number of beds. If operating beds are closed for any reason, facility management is required to provide the date of closure, expected date the beds will be operational, type of bed (domiciliary, nursing home, hospital, or adult day health care), and the reason for the closure. Please specify if these beds were constructed with federal funds. Information related to closed beds may be entered under "Remarks".

6. CERTIFICATION

a. The facility management must certify that the information in the report is correct by signing and dating the report.

b. If the facility is operated by an entity contracting with the State, the State must assign a State employee to monitor the operations of the facility on a full-time, on site basis. This State employee must also certify that the information in the report is correct by signing and dating the report.
STATE HOME REPORT AND STATEMENT OF FEDERAL AID CLAIMED

<table>
<thead>
<tr>
<th>TO</th>
<th>FROM</th>
</tr>
</thead>
<tbody>
<tr>
<td>VA FACILITY</td>
<td>NAME AND ADDRESS OF STATE HOME</td>
</tr>
<tr>
<td>PAY TO</td>
<td>FOR MONTH ENDING</td>
</tr>
</tbody>
</table>

CHANGES IN RESIDENCY FOR THE MONTH

<table>
<thead>
<tr>
<th>LINE NO.</th>
<th>ITEM</th>
<th>DOMICILIARY (A)</th>
<th>NURSING HOME CARE (B)</th>
<th>HOSPITAL (C)</th>
<th>ADULT DAY HEALTH CARE (D)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>TOTAL VETERAN RESIDENTS REMAINING AT END OF PRIOR MONTH</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>GAINS</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>ADMISSIONS (Change of status)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>RETURNS FROM LEAVE OF ABSENCE</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>DISCHARGES (Change of status)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>DISCHARGES (Other)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>DEATHS</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>LEAVES OF ABSENCE</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>TOTAL VETERAN RESIDENTS AT END OF THE MONTH</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

STATUS AS OF THE END OF THE MONTH

<table>
<thead>
<tr>
<th>LINE NO.</th>
<th>ITEM</th>
<th>DOMICILIARY (A)</th>
<th>NURSING HOME CARE (B)</th>
<th>HOSPITAL (C)</th>
<th>ADULT DAY HEALTH CARE (D)</th>
</tr>
</thead>
<tbody>
<tr>
<td>10</td>
<td>TOTAL NON-VETERAN RESIDENTS AT THE END OF THE MONTH</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>TOTAL NURSING HOME CARE VETS THAT ARE 70% OR MORE SC OR IN NEED OF NH CARE FOR A SC CONDITION</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12</td>
<td>FEMALE VETERAN RESIDENTS REMAINING AT THE END OF THE MONTH</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

TOTAL DAYS OF CARE FOR THE MONTH

<table>
<thead>
<tr>
<th>LINE NO.</th>
<th>ITEM</th>
<th>DOMICILIARY (A)</th>
<th>NURSING HOME CARE (B)</th>
<th>HOSPITAL (C)</th>
<th>ADULT DAY HEALTH CARE (D)</th>
</tr>
</thead>
<tbody>
<tr>
<td>13</td>
<td>TOTAL DAYS OF CARE FURNISHED TO VETERANS WHO ARE ELIGIBLE FOR PER DIEM PAYMENTS (Excluding 13a)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>13a</td>
<td>TOTAL DAYS OF CARE FURNISHED TO VETERANS 70% OR MORE SC OR IN NEED OF CARE FOR A SC CONDITION</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### STATE HOME REPORT AND STATEMENT OF FEDERAL AID CLAIMED CONTINUED

#### CLAIM FOR BASIC PER DIEM PAYMENTS FOR ELIGIBLE VETERANS

<table>
<thead>
<tr>
<th>LINE NO.</th>
<th>FEDERAL AID CLAIMED UNDER SEC.1741, TITLE 38, U.S.C., AS AMENDED</th>
<th>DAYS OF CARE (E)</th>
<th>AVERAGE DAILY CENSUS (F)</th>
<th>DAILY COST OF CARE FOR THE MONTH (G)</th>
<th>PER DIEM CLAIMED (H)</th>
<th>TOTAL AMOUNT CLAIMED (I)</th>
</tr>
</thead>
<tbody>
<tr>
<td>14</td>
<td>DOMICILIARY CARE</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>15</td>
<td>NURSING HOME</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>16</td>
<td>HOSPITAL CARE</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>17</td>
<td>ADULT DAY HEALTH CARE</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>18</td>
<td>TOTAL AMOUNT CLAIMED</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### CLAIM FOR PER DIEM PAYMENTS FOR CERTAIN SC VETERANS IN STATE NURSING HOMES

<table>
<thead>
<tr>
<th>LINE NO.</th>
<th>VETERAN CATEGORY</th>
<th>DAYS OF CARE (J)</th>
<th>AVERAGE DAILY CENSUS (K)</th>
<th>PREVAILING RATE FROM CHART OR (G)</th>
<th>AMOUNT Claimed (M)</th>
</tr>
</thead>
<tbody>
<tr>
<td>19</td>
<td>HAS A SINGULAR OR COMBINED RATING OF 70% OR MORE BASED ON 1 OR MORE SERVICE-CONNECTED DISABILITIES OR A RATING OF TOTAL DISABILITY BASED ON INDIVIDUAL UNEMPLOYABILITY</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>20</td>
<td>IS IN NEED OF NH CARE FOR A VA ADJUDICATED SC DISABILITY</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21</td>
<td>TOTALS:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**FOR UNITED STATES DEPARTMENT OF VETERANS AFFAIRS USE ONLY**

I certify that this report is correct based on documentation provided to VA and that the bed capacity approved by VA is correct.

### BED CAPACITY APPROVED BY VA

<table>
<thead>
<tr>
<th>DOMICILIARY CARE</th>
<th>NURSING HOME CARE</th>
<th>HOSPITAL CARE</th>
<th>ADULT DAY HEALTH CARE</th>
</tr>
</thead>
</table>

### RECEIVING REPORT

- Services authorized under provisions of Sec. 1741, 1742, 1743 and 1745, Title 38, U.S.C., have been rendered in the quantity claimed and payment is recommended except as follows:

<table>
<thead>
<tr>
<th>TOTAL AMOUNT APPROVED BY VA FOR PAYMENT (add block 18i and 21M)</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>SIGNATURE AND TITLE OF VA STATE HOME COORDINATOR</th>
<th>DATE</th>
</tr>
</thead>
</table>

**ACCOUNTING CERTIFICATION - AUDIT BLOCK**

<table>
<thead>
<tr>
<th>AMOUNT DUE</th>
<th>DATE</th>
<th>VOUCHER AUDITOR</th>
<th>SIGNATURE AND TITLE OF AUDITOR</th>
<th>DATE</th>
</tr>
</thead>
</table>

The daily cost of care per veteran is the direct cost plus the indirect cost for the month, divided by patients or residents days of care. Compute this cost in accordance with relevant cost principles set forth in the Office of Management and Budget (OMB) Circular number A-87, dated May 4, 1995. Cost Principles for State, Local, and Indian Tribal Governments.

**VA FORM 10-5688**

**JUL 2008**
17. Amend § 58.12 by:
   a. Revising the section heading.
   b. Revising VA Form 10–10EZ.
   c. Adding VA Form 10–10EZR.

The revisions and addition read as follows:

§ 58.12 VA Forms 10–10EZ and 10–10EZR—Application for Health Benefits and Renewal Form.

---

**STATE HOME REPORT AND STATEMENT OF FEDERAL AID CLAIMED CONTINUED**

<table>
<thead>
<tr>
<th>TOTAL STATE OPERATING BEDS AT END OF THE MONTH</th>
</tr>
</thead>
<tbody>
<tr>
<td>DOMICILIARY CARE</td>
</tr>
<tr>
<td></td>
</tr>
</tbody>
</table>

I certify that this report is correct, that all residents included in the report were physically present during the period for which Federal aid is claimed, except for authorized absences of 96 hours or less, and that facility management has complied with all provisions of Title VI, Public Law 88-352, entitled Civil Rights Act of 1964.

<table>
<thead>
<tr>
<th>SIGNATURE OF STATE HOME ADMINISTRATOR</th>
<th>DATE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>SIGNATURE OF STATE EMPLOYEE WHEN APPLICABLE</th>
<th>DATE</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>REMARKS</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

The Paperwork Reduction Act of 1995 requires us to notify you that this information collection is in accordance with the clearance requirements of section 3507 of the Paperwork Reduction Act of 1995. We may not conduct or sponsor, and you are not required to respond to, a collection of information unless it displays a valid OMB number. We anticipate that the time expended by all individuals who must complete this form will average 30 minutes. This includes the time it will take to read instructions, gather the necessary facts and fill out the form. Although completion of this form is voluntary, VA will be unable to provide reimbursement for services rendered without a completed form. Failure to complete the form will have no effect on any other benefits to which you may be entitled. This information is collected under the authority of Title 38 CFR Parts 51 and 52.

VA FORM 10-5588
JUL 2008
## APPLICATION FOR HEALTH BENEFITS

### SECTION I - GENERAL INFORMATION

Federal law provides criminal penalties, including a fine and/or imprisonment for up to 5 years, for concealing a material fact or making a materially false statement. (See 18 U.S.C. 1001)

<table>
<thead>
<tr>
<th>1. VETERAN'S NAME</th>
<th>2. OTHER NAMES USED</th>
<th>3. MOTHER'S MAIDEN NAME</th>
<th>4. GENDER</th>
</tr>
</thead>
<tbody>
<tr>
<td>(Last, First, Middle Name)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**5. ARE YOU SPANISH, HISPANIC, OR LATINO?**

- [ ] YES
- [ ] NO

**6. WHAT IS YOUR RACE? (You may check more than one) (Information is required for statistical purposes only.)**

- [ ] AMERICAN INDIAN OR ALASKA NATIVE
- [ ] ASIAN
- [ ] BLACK OR AFRICAN AMERICAN
- [ ] NATIVE HAWAIIAN OR OTHER PACIFIC ISLANDER
- [ ] WHITE

**7. SOCIAL SECURITY NUMBER**

**8. CLAIM NUMBER**

9A. PLACE OF BIRTH (City and State)

**10. RELIGION**

**11. PERMANENT ADDRESS (Street)**

11A. CITY

11B. STATE

11C. ZIP CODE (9 digits)

**11D. COUNTY**

**11E. HOME TELEPHONE NUMBER (Include area code)**

11F. E-MAIL ADDRESS

**11G. CELLULAR TELEPHONE NUMBER (Include area code)**

11H. PAGER NUMBER (Include area code)

**12. TYPE OF BENEFIT(S) APPLIED FOR (You may check more than one)**

- [ ] HEALTH SERVICES
- [ ] NURSING HOME
- [ ] Domiciliary
- [ ] DENTAL

**13. IF APPLYING FOR HEALTH SERVICES OR ENROLLMENT, WHICH VA MEDICAL CENTER OR OUTPATIENT CLINIC DO YOU PREFER?**

**14. DO YOU WANT AN APPOINTMENT WITH A VA DOCTOR OR PROVIDER AS SOON AS ONE BECOMES AVAILABLE?**

- [ ] YES
- [ ] NO

I am only enrolling in case I need care in the future.

**15. HAVE YOU BEEN SEEN AT A VA HEALTH CARE FACILITY?**

- [ ] YES, LOCATION:
- [ ] NO

**16. CURRENT MARITAL STATUS (Check one)**

- [ ] MARRIED
- [ ] NEVER MARRIED
- [ ] SEPARATED
- [ ] WIDOWED
- [ ] DIVORCED
- [ ] UNKNOWN

**17. NAME, ADDRESS AND RELATIONSHIP OF NEXT OF KIN**

17A. NEXT OF KIN'S HOME TELEPHONE NUMBER (Include area code)

17B. NEXT OF KIN'S WORK TELEPHONE NUMBER (Include area code)

**18. NAME, ADDRESS AND RELATIONSHIP OF EMERGENCY CONTACT**

18A. EMERGENCY CONTACT'S HOME TELEPHONE NUMBER (Include area code)

18B. EMERGENCY CONTACT'S WORK TELEPHONE NUMBER (Include area code)

**19. INDIVIDUAL TO RECEIVE POSSESSION OF YOUR PERSONAL PROPERTY LEFT ON PREMISES UNDER VA CONTROL AFTER YOUR DEPARTURE OR AT THE TIME OF DEATH. NOTE: THIS DOES NOT CONSTITUTE A WILL OR TRANSFER OF TITLE. (Check one)**

- [ ] EMERGENCY CONTACT
- [ ] NEXT OF KIN

---

**VA FORM 10-10EZ**

**PREVIOUS EDITIONS OF THIS FORM ARE NOT TO BE USED**

**PAGE 1**
APPLICATION FOR HEALTH BENEFITS, Continued

SECTION II - INSURANCE INFORMATION (Use a separate sheet for additional information)

1. Are you covered by health insurance? (Including coverage through a spouse or another person) Yes □ No □
2. Health insurance company name, address and telephone number
3. Name of policy holder
4. Policy number □
5. Group code □
6. Are you eligible for Medicaid? □
7. Are you enrolled in Medicare Hospital Insurance Part A? □
8. Are you enrolled in Medicare Hospital Insurance Part B? □
9. Name exactly as it appears on your Medicare card
10. Medicare claim number
11. Is need for care due to on the job injury? (Check one) Yes □ No □
12. Is need for care due to accident? (Check one) Yes □ No □

SECTION III - EMPLOYMENT INFORMATION

1. Veteran's Employment Status (Check one)
   - Full Time
   - Part Time
   - Retired
   Date of retirement (mm/dd/yyyy)
1A. Company name, address and telephone number
2. Spouse's Employment Status (Check one)
   - Full Time
   - Part Time
   - Retired
   Date of retirement (mm/dd/yyyy)
2A. Company name, address and telephone number

SECTION IV - MILITARY SERVICE INFORMATION

1. Last branch of service
2. Check Yes or No
   - A. Are you a Purple Heart award recipient? Yes □ No □
   - B. Are you a former prisoner of war? □
   - C. Do you have a VA service-connected rating? □
   - D. Did you serve in combat after 11/11/1956? □
   - E. Was your discharge from military for a disability incurred or aggravated in the line of duty? □
3A. Last entry date
3B. Last discharge date
1D. Discharge type
1C. Military service number

SECTION V - PAPERWORK REDUCTION ACT AND PRIVACY ACT INFORMATION

The Paperwork Reduction Act of 1995 requires us to notify you that this information collection is in accordance with the clearance requirements of Section 3507 of the Paperwork Reduction Act of 1995. We may not conduct or sponsor, and you are not required to respond to, a collection of information unless it displays a valid OMB number. We anticipate that the time expended by all individuals who must complete this form will average 45 minutes. This includes the time it will take to read instructions, gather the necessary facts and fill out the form.

Privacy Act Information: VA is asking you to provide the information on this form under 38 U.S.C. Sections 1705, 1710, 1712, and 1722 in order for VA to determine your eligibility for medical benefits. Information you supply may be verified through a computer-matching program. VA may disclose the information that you put on the form as permitted by law. VA may make a "routine use" disclosure of the information as outlined in the Privacy Act systems of records notices and in accordance with the VHA Notice of Privacy Practices. Providing the requested information is voluntary, but if any or all of the requested information is not provided, it may delay or result in denial of your request for health care benefits. Failure to furnish the information will not have any effect on any other benefits to which you may be entitled. If you provide VA your Social Security Number, VA will use it to administer your VA benefits. VA may also use this information to identify veterans and persons claiming or receiving VA benefits and their records, and for other purposes authorized or required by law.
**APPLICATION FOR HEALTH BENEFITS, Continued**

**SECTION VI - FINANCIAL DISCLOSURE**

Disclosure allows VA to accurately determine whether certain veterans will be charged copayments for care and medications, their eligibility for other services and enrollment priority. Veterans are not required to disclose their financial information; however, VA is not currently enrolling new applicants who decline to provide their financial information unless they have a special eligibility factor. Recent combat veterans (e.g., OEF/OIF) who were discharged within the past 5 years or were discharged more than 5 years ago and applying for enrollment by Jan. 27, 2011 are eligible for enrollment without disclosing their financial information but like other veterans may provide it to establish their eligibility for travel reimbursement, cost-free medication and/or medical care for services unrelated to military experience.

- No, I do not wish to provide financial information in Sections VII through X. I understand that VA is not enrolling new applicants who do not provide this information and who do not have a special eligibility factor (e.g., recently discharged combat veteran, compensable service connection, receipt of VA pension or Medicaid benefits.) If I am enrolled, I agree to pay applicable VA copayments. **Sign and date the form in Section XII.**
- Yes, I will provide my household financial information for last calendar year. Complete applicable sections VII through X. Sign and date the form in Section XII.

**SECTION VII - DEPENDENT INFORMATION (Use a separate sheet for additional dependents)**

<table>
<thead>
<tr>
<th>1. SPOUSE'S NAME (Last, First, Middle)</th>
<th>2. CHILD'S NAME (Last, First, Middle)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2A. CHILD'S RELATIONSHIP TO YOU (Check one):</td>
<td>2B. CHILD'S SOCIAL SECURITY NUMBER</td>
</tr>
<tr>
<td>2C. DATE CHILD BECAME YOUR DEPENDENT (mm/dd/yyyy)</td>
<td></td>
</tr>
<tr>
<td>3. IF YOUR SPOUSE OR DEPENDENT CHILD DID NOT LIVE WITH YOU LAST YEAR ENTER THE AMOUNT YOU CONTRIBUTED TO THEIR SUPPORT</td>
<td></td>
</tr>
<tr>
<td>SPOUSE</td>
<td>CHILD</td>
</tr>
</tbody>
</table>

**SECTION VIII - PREVIOUS CALENDAR YEAR GROSS ANNUAL INCOME OF VETERAN, SPOUSE AND DEPENDENT CHILDREN**

| 1. GROSS ANNUAL INCOME FROM EMPLOYMENT (wages, bonuses, tips, etc.) |
| 2. NET INCOME FROM YOUR FARM, RANCH, PROPERTY OR BUSINESS |
| 3. LIST OTHER INCOME AMOUNTS (Social Security, compensation, pension, interest, dividends) EXCLUDING WELFARE |

**SECTION IX - PREVIOUS CALENDAR YEAR DEDUCTIBLE EXPENSES**

| 1. TOTAL NONMEDIACRE MEDICAL EXPENSES PAID BY YOU OR YOUR SPOUSE (e.g., payments for doctors, dentists, mediators, Medicare, health insurance, hospital and nursing home) |
| 2. AMOUNT YOU PAID LAST CALENDAR YEAR FOR FUNERAL AND BURIAL EXPENSES FOR YOUR DECEASED SPOUSE OR DEPENDENT CHILD (can enter spouse or child's information in Section III) |

**SECTION X - PREVIOUS CALENDAR YEAR NET WORTH**

| 1. CASH AMOUNT IN BANK ACCOUNTS (e.g., checking and savings accounts, certificates of deposit, individual retirement accounts, stocks and bonds) |
| 2. MARKET VALUE OF LAND AND BUILDINGS MINUS MORTGAGE AND LIENS (e.g., second home and non-income producing property. Do not count your primary home.) |
| 3. VALUE OF OTHER PROPERTY OR ASSET (e.g., art, rare coins, collectibles) MINUS THE AMOUNT YOU OWED ON THE FAIR MARKET VALUE OF THE ASSET AS OF 12/31/XX |

**SECTION XI - CONSENT TO COPAYMENTS**

If you are a 0% SC veteran and do not receive VA monetary benefits or a NSC veteran (and you are not a Former POW, Purple Heart Recipient or VA pensioner) and your household income (or combined income and net worth) exceeds the established threshold, this application will be considered for enrollment, but only if you agree to pay VA copayments for treatment of your NSC conditions. If you are such a veteran by signing this application you are agreeing to pay the applicable VA copayments as required by law.

**SECTION XII - ASSIGNMENT OF BENEFITS**

I understand that pursuant to 38 U.S.C. Section 1729, VA is authorized to recover or collect from my health plan (HIP) for the reasonable charges of non-service-connected VA medical care or services furnished or provided to me. I hereby authorize payment directly to VA from any HIP under which I am covered (including co-insurance provided under my spouse's HIP) that is responsible for payment of the charges for my medical care, including benefits otherwise payable to me or my spouse.

ALL APPLICANTS MUST SIGN AND DATE THIS FORM. REFER TO INSTRUCTIONS WHICH DEFINE WHO CAN SIGN ON BEHALF OF THE VETERAN.

**SIGNATURE OF APPLICANT**

**DATE**
# Department of Veterans Affairs

## HEALTH BENEFITS RENEWAL FORM

### SECTION I - GENERAL INFORMATION

1. **VETERAN'S NAME:** (Last, First, Middle Name)  
   2. **OTHER NAMES USED:**

3. **GENDER**  
   - [ ] MALE  
   - [ ] FEMALE

4. **SOCIAL SECURITY NUMBER**

5. **DATE OF BIRTH (mm/dd/yyyy)**

6. **PERMANENT ADDRESS (Street)**
   7. **CITY**
   8. **STATE**
   9. **ZIP**

10. **CURRENT MARITAL STATUS (Check one)**
    - [ ] MARRIED  
    - [ ] NEVER MARRIED  
    - [ ] SEPARATED  
    - [ ] WIDOWED  
    - [ ] DIVORCED  
    - [ ] UNKNOWN

11. **NAME, ADDRESS AND RELATIONSHIP OF NEXT OF KN**
    12. **NEXT OF KN'S HOME TELEPHONE NUMBER (Include area code)**
    13. **NEXT OF KN'S WORK TELEPHONE NUMBER (Include area code)**

14. **NAME, ADDRESS AND RELATIONSHIP OF EMERGENCY CONTACT**
    15. **EMERGENCY CONTACT'S HOME TELEPHONE NUMBER (Include area code)**
    16. **EMERGENCY CONTACT'S WORK TELEPHONE NUMBER (Include area code)**

### SECTION II - INSURANCE INFORMATION (Use a separate sheet for additional information)

1. **ARE YOU COVERED BY HEALTH INSURANCE, INCLUDING COVERAGE THROUGH A SPouse, OR ANOTHER PERSON?**
   - [ ] YES  
   - [ ] NO

2. **HEALTH INSURANCE COMPANY NAME, ADDRESS AND TELEPHONE NUMBER**

3. **NAME OF POLICY HOLDER**

4. **POLICY NUMBER**

5. **GROUP CODE**

6. **ARE YOU ELIGIBLE FOR MEDICARE?**
   - [ ] YES  
   - [ ] NO

7. **ARE YOU ENROLLED IN MEDICARE HOSPITAL INSURANCE PART A?**
   - [ ] YES  
   - [ ] NO

8. **ARE YOU ENROLLED IN MEDICARE HOSPITAL INSURANCE PART B?**
   - [ ] YES  
   - [ ] NO

9. **NAME EXACTLY AS IT APPEARS ON YOUR MEDICARE CARD**
10. **MEDICARE CLAIM NUMBER**

### SECTION III - EMPLOYMENT INFORMATION

1. **VETERAN'S EMPLOYMENT STATUS (check one)**
   - [ ] FULL TIME
   - [ ] NOT EMPLOYED
   - [ ] PART TIME
   - [ ] RETIRED

If employed or retired, complete item 1A  
   1A. **DATE OF RETIREMENT (mm/dd/yyyy)**

2. **SPOUSE'S EMPLOYMENT STATUS (check one)**
   - [ ] FULL TIME
   - [ ] NOT EMPLOYED
   - [ ] PART TIME
   - [ ] RETIRED

If employed or retired, complete item 2A  
   2A. **DATE OF RETIREMENT (mm/dd/yyyy)**

### SECTION IV - PAPERWORK REDUCTION ACT AND PRIVACY ACT INFORMATION

The Paperwork Reduction Act of 1995 requires us to notify you that the information collected is in accordance with the clearance requirements of Section 3506 of the Paperwork Reduction Act of 1995. We may not conduct or sponsor, and you are not required to respond to, a collection of information unless it displays a valid OMB number. We anticipate that the time expended by all individuals who must complete this form will average 25 minutes. This includes the time it will take to read instructions, gather the necessary data and fill out the form.

**Privacy Act Information:** VA is asking you to provide the information on this form under 38 U.S.C. Sections 1710, 1712, and 1722 in order for VA to determine your eligibility for medical benefits. Information you supply may be verified through a computer-matching program. VA may disclose the information that you put on the form as permitted by law. VA may make a "routine use" disclosure of the information as outlined in the Privacy Act system of records notices and in accordance with the VHA Notice of Privacy Practices. Providing the requested information is voluntary, but if any or all of the requested information is not provided, it may delay or result in denial of your request for health care benefits. Failure to furnish the information will not have any effect on any other benefits to which you may be entitled. If you provide VA your Social Security Number, VA will use it to administer your VA benefits. VA may also use this information to identify veterans and persons claiming or receiving VA benefits and their records, and for other purposes authorized or required by law.

**VA FORM 10-10EZR**

**Previous editions of this form are not to be used.**
18. Amend §58.13 by revising VA Form 10–10SH to read as follows:

§58.13 VA Form 10–10SH—State Home Program Application for Veteran Care Medical Certification.
# STATE HOME PROGRAM APPLICATION FOR VETERAN CARE MEDICAL CERTIFICATION

## PART I - ADMINISTRATIVE

<table>
<thead>
<tr>
<th>STATE HOME FACILITY</th>
<th>DATE ADMITTED</th>
<th>GENDER M</th>
<th>F</th>
</tr>
</thead>
<tbody>
<tr>
<td>RESIDENT'S NAME (Last, First, Middle) (This is a mandatory field)</td>
<td>SOCIAL SECURITY NUMBER (Mandatory field)</td>
<td>AGE</td>
<td>DATE OF BIRTH (mm/dd/yyyy)</td>
</tr>
<tr>
<td>RESIDENT'S STREET ADDRESS</td>
<td>CITY, STATE AND ZIP CODE</td>
<td>ADVANCED MEDICAL DIRECTIVE NO YES</td>
<td></td>
</tr>
</tbody>
</table>

## PART II - HISTORY AND PHYSICAL (Use separate sheet if necessary)

<table>
<thead>
<tr>
<th>HEIGHT</th>
<th>WEIGHT</th>
<th>TEMP</th>
<th>PULSE</th>
<th>BP</th>
<th>HEAD/EYES/EAR/NOSE AND THROAT</th>
</tr>
</thead>
<tbody>
<tr>
<td>NECK</td>
<td>CARDIOPULMONARY</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ABDOMEN</td>
<td>GENITOURINARY</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>RECTAL</td>
<td>EXTREMITIES</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>NEUROLOGICAL</td>
<td>ALLERGIES/DRUG SENSITIVITY</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>CHEST X-RAY</th>
<th>DATE (mm/dd/yyyy)</th>
<th>RESULTS</th>
<th>CBC</th>
<th>DATE (mm/dd/yyyy)</th>
<th>RESULTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>SEROLOGY</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>URINALYSIS</td>
<td>DATE (mm/dd/yyyy)</td>
<td>ALBUMEN</td>
<td>SUGAR</td>
<td>ACETONE</td>
<td></td>
</tr>
</tbody>
</table>

CHECK ALL BOXES THAT APPLY OR CHECK NA

<table>
<thead>
<tr>
<th>IS DEMENTIA THE PRIMARY DIAGNOSIS</th>
<th>IS THERE A DIAGNOSIS OF MENTAL ILLNESS</th>
<th>HAS RESIDENT RECEIVED MENTAL SERVICES WITHIN THE PAST 2 YEARS</th>
<th>IS CLIENT A DANGER TO SELF OR OTHERS</th>
</tr>
</thead>
<tbody>
<tr>
<td>YES NO</td>
<td>YES NO</td>
<td>YES NO</td>
<td>YES NO</td>
</tr>
</tbody>
</table>

IS THERE ANY PRESSING EVIDENCE OF MENTAL ILLNESS SUCH AS:

- SCHIZOPHRENIA
- PARANOID
- MOOD SWINGS
- SOMATOFORM DISORDER
- OTHER PSYCHOTIC OR MENTAL DISORDERS LEADING TO CHRONIC DISABILITY
- PANIC OR SEVERE ANXIETY DISORDER
- PERSONALITY DISORDER

<table>
<thead>
<tr>
<th>OXYGEN</th>
<th>TUBE FEEDING</th>
<th>DECUBITUS ULCERS</th>
<th>FOLEY CATHETER</th>
</tr>
</thead>
<tbody>
<tr>
<td>MASK</td>
<td>PRN</td>
<td>DRAINING-WOUND</td>
<td>TEMPORARY</td>
</tr>
<tr>
<td>NASAL CANULAR</td>
<td>CONTINUOUS</td>
<td>WOUND CULTURED</td>
<td>PERMANENT</td>
</tr>
<tr>
<td>TRACHOSTOMY</td>
<td></td>
<td>PRIMARY DIAGNOSIS</td>
<td></td>
</tr>
</tbody>
</table>

REFERRING PHYSICIAN

SECONDARY DIAGNOSIS

TERTIARY DIAGNOSIS

TYPE OF CARE RECOMMENDED:

- SKILLED NURSING HOME CARE
- DOMICILIARY CARE
- ADULT HEALTH CARE
- HOSPITAL

MEDICATION AND TREATMENT ORDERS ON ADMISSION, CONTINUE ON SEPARATE SHEET IF NECESSARY

PRINTED OR TYPED NAME OF PRIMARY PHYSICIAN ASSIGNED

SIGNATURE OF PRIMARY PHYSICIAN ASSIGNED

**VA FORM APR 2009 10-10SH**

**EXISTING STOCK OF VA FORM 10-10SH, DATED JUL 1996, WILL BE USED.**
# State Home Program Application for Veteran Care - Medical Certification, Continued

### Resident's Name (Last, First, Middle)

<table>
<thead>
<tr>
<th>SOCIAL SECURITY NUMBER</th>
</tr>
</thead>
</table>

#### Evaluation (Select an appropriate number in each category)

**Communication**
- 1. Transmits messages/receives information
- 2. Limited ability
- 3. Unable to communicate effectively

**SPEECH**
- 1. Speaks clearly with others of same language
- 2. Limited ability
- 3. Unable to speak clearly or not at all

**Hearing**
- 1. Good
- 2. Hearing slightly impaired
- 3. Hearing totally unable

**SIGHT**
- 1. Good
- 2. Vision adequate - Unable to read detail or scores
- 3. Vision limited - Gross object differentiation

**Endurance**
- 1. Tolerates distances (250 feet sustained activity)
- 2. Needs intermittent rest
- 3. Tolerates short activities

**Mental and Behavior Status**
- 1. Alert
- 2. Confused
- 3. Disoriented
- 4. Comatose
- 5. Agitated

**Transfer**
- 1. No assistance
- 2. Equipment only
- 3. Supervision only
- 4. Requires human transfer or equipment

**Ambulation**
- 1. Independence using assistive device
- 2. Walks with supervision
- 3. Walks with continuous human support
- 4. Bed to chair (total help)
- 5. Bedfast

**Toileting**
- 1. No assistance
- 2. Assistance and from
- 3. Total assistance including personal hygiene, help with clothes

**Bathing**
- 1. No assistance
- 2. Supervision only
- 3. Assistance

**Dressing**
- 1. Dresses self
- 2. Minor assistance
- 3. Needs help to complete dressing

**Feeding**
- 1. No assistance
- 2. Minor assistance, needs tray set up only
- 3. Help feeding/encouraging

**Bladder Control**
- 1. Continent
- 2. Rarely incontinent
- 3. Occasional - once/week or less
- 4. Frequent - up to once a day
- 5. Total incontinence
- 6. Catheter, indwelling

**Bowel Control**
- 1. Continent
- 2. Rarely incontinent
- 3. Occasional - once/week or less
- 4. Frequent - up to once a day
- 5. Total incontinence
- 6. Ostomy

**Skin Condition**
- 1. Intact
- 2. Dry/Fragile
- 3. Irritations (Redness)
- 4. Open wound
- 5. Decubitus

**Wheelchair Use**
- 1. Independence
- 2. Assistance in difficult maneuvering
- 3. Wheels a few feet
- 4. Unable to use

### Signature of Registered Nurse or Referring Physician

**Date**

#### Physical Therapy

- (To be completed by Physical Therapist or Referring Physician)
- NEW REFERRAL
- CONTINUATION OF THERAPY

**Sensation Impaired**

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
</table>

**Restrict Activity**

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
</table>

**Precautions**

<table>
<thead>
<tr>
<th>Cardiac</th>
<th>Other</th>
</tr>
</thead>
</table>

**Frequency of Treatment**

<table>
<thead>
<tr>
<th>Specify</th>
</tr>
</thead>
</table>

**Treatment Goals**

- **Active**
- **Passive ROM**
- **Active Assistive**
- **Progressive Resistive**

**Coordinating Activities**

**Full Weight Bearing**

**Non-Weight Bearing**

**Wheelchair Independent**

**Progress Bed to Wheelchair**

**Complete Ambulation**

#### Additional Therapies

- **O.T.**
- **Speech**
- **Dietary**

**Signature of and Title of Therapist**

**Date**

#### Social Work Assessment

- **(To be completed by Social Worker)**

#### Prior Living Arrangements

**Long Range Plan**

#### Adjustment to Illness or Disability

**Signature of Social Worker**

**Date**

#### VA Authorization for Payment

- **Date Received by VA**
- **Eligibility for Per Diem Payment**
- **Level of Care Recommended**
- **Approvers**
- **Disapproved**
- **NHG**
- **Domestic**
- **Hospital**
- **ADHC**

- **Approved for 70% Service Connected Disability**
- **Approved for Admission Because of Service Connected Illness**
- **IF LESS THAN 70%**

- **Yes**
- **No**

**Signature of VA Official**

**Date**

**Signature of VA Physician**

**Date**
19. Add §58.18 to read as follows:

§58.18 VA Form 10–0460—Request for Prescription Drugs from an Eligible Veteran in a State Home.

PAPERWORK REDUCTION ACT AND PRIVACY ACT NOTICE

The Paperwork Reduction Act of 1995 requires us to notify you that this information collection is in accordance with the clearance requirements of section 3507 of the Paperwork Reduction Act of 1995. We may not conduct or sponsor, and you are not required to respond to, a collection of information unless it displays a valid OMB number. We anticipate that the time expended by all individuals who must complete this form will average 30 minutes. This includes the time it will take to read instructions, gather the necessary facts and fill out the form. The information requested on this form is solicited under the authority of Title 38, U.S.C., Sections 1741, 1742 and 1743. It is being collected to enable us to determine your eligibility for medical benefits in the State Home Program and will be used for that purpose. The income and eligibility you supply may be verified through a computer matching program at any time and information may be disclosed outside the VA as permitted by law; possible disclosures include those described in the "routine uses" identified in the VA system of records 24VA136, Patient Medical Record-VA, published in the Federal Register in accordance with the Privacy Act of 1974. Disclosure is voluntary; however, the information is required in order for us to determine your eligibility for the medical benefit for which you have applied. Failure to furnish the information will have no adverse affect on any other benefits to which you may be entitled. Disclosure of Social Security number(s) of those for whom benefits are claimed is requested under the authority of Title 38, U.S.C., and is voluntary. Social Security numbers will be used in the administration of veterans benefits, in the identification of veterans or persons claiming or receiving VA benefits and their records and may be used for other purposes where authorized by Title 38, U.S.C., and the Privacy Act of 1974 (5 U.S.C. 552a) or where required by other statute.

VA FORM APR 2009 10-10SH
**Department of Veterans Affairs**

Request for Prescription Drugs from an Eligible Veteran in a State Home

<table>
<thead>
<tr>
<th>To:</th>
<th>From:</th>
</tr>
</thead>
</table>

I am a veteran who was admitted to the [____________________] State Nursing Home.
I request that I be furnished with prescription drugs by the United States Department of Veterans Affairs as provided for in Title 38 of the Code of Federal Regulations, Section(s) 17.96 and/or 51.42.

I am eligible for this benefit by reason of being (check any of the following):

- (1) a veteran in receipt of increased VA compensation, or increased VA pension because I am permanently housebound or in need of regular aid and attendance.
- (2) a veteran in need of regular aid and attendance who was formerly in receipt of increased pension but whose pension has been discontinued solely by reason of excess income, and whose annual income does not exceed the maximum annual income limitation by more than $1,000.
- (3) a veteran who
  - (i) Has a singular or combined rating of 50 percent or 60 percent based on one or more service-connected disabilities or unemployability and is in need of such drugs and medicines; and
  - (ii) Is in need of nursing home care for reasons that do not include care for a VA adjudicated service-connected disability.
- (4) a veteran who
  - (i) Has a singular or combined rating of less than 50 percent, based on one or more service-connected disabilities, and is in need of such drugs and medicines for a service-connected disability, and
  - (ii) Is in need of nursing home care for reasons that do not include care for a VA adjudicated service-connected disability.

Signature of Veteran Applying for Benefit: ____________________________ Date of Application: ____________________________

**Applicant Information**

Veteran's Name (last, first, and middle initial): [____________________]

Veteran's Social Security Number: ____________________________ Date of Admission to the State Nursing Home: ____________________________

Date that A&A or Housebound was awarded by VA: ____________________________ (a copy of this award □ is or □ is not attached with this request)
<table>
<thead>
<tr>
<th>Diagnosis Code</th>
<th>Diagnosis Name</th>
<th>Category of Eligibility from page 1</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Name of Prescribing Physician: ________________________________

I certify that the following medications are prescribed for ________________________________.

Veteran's Name: ________________________________

Signature of State Home Representative: ________________________________

Page 2 of 3
The Paperwork Reduction Act of 1995 requires us to notify you that this information collection is in accordance with the clearance requirements of section 3507 of this Act. We may not conduct or sponsor, and the respondent is not required to respond to, a collection unless it displays a valid OMB Control Number. The public reporting burden for this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, gather the necessary facts and fill out the form. This information is collected under the authority of Title 38 CFR Parts 51 and 58. It is being collected under the medical benefits in the State Homes Program and will be used for that purpose.

Privacy Act Information: It is being collected to enable us to determine your eligibility for medical benefits and will be used for that purpose. The income and eligibility you supply may be verified through a computer matching program at any time and information may be disclosed outside the VA as permitted by law; possible disclosures include those described in the “routine uses” identified in the VA system of records 24VA136, Patient Medical Record-VA, published in the Federal Register in accordance with the Privacy Act of 1974. Disclosure is voluntary; however, the information is required in order for us to determine your eligibility for the medical benefit for which you have applied. Failure to furnish the information will have no adverse affect on any other benefits to which you may be entitled. Disclosure of Social Security number(s) of those for whom benefits are claimed is requested under the authority of Title 38, U.S.C., and is mandatory. Social Security numbers will be used in the administration of veterans benefits, in the identification of veterans or persons claiming or receiving VA benefits and their records and may be used for other purposes where authorized by Title 38, U.S.C., and the Privacy Act of 1974 (5 U.S.C. 552a) or where required by other statute.
Environmental Protection Agency

40 CFR Part 52

Approval and promulgation of Air Quality Implementation Plans; Pennsylvania: Transportation Conformity Requirement

AGENCY: Environmental Protection Agency (EPA).

ACTION: Direct final rule.

SUMMARY: EPA is taking direct final action to approve revisions to the Pennsylvania State Implementation Plan (SIP) submitted by the Commonwealth of Pennsylvania. The revisions establish State transportation conformity requirements. EPA is approving these revisions in accordance with the requirements of the Clean Air Act.

DATES: This rule is effective on June 29, 2009 without further notice, unless EPA receives adverse written comment by May 29, 2009. If EPA receives such comments, it will publish a timely withdrawal of the direct final rule in the Federal Register and inform the public that the rule will not take effect.

ADDRESSES: Submit your comments, identified by Docket ID Number EPA–R03–OAR–2008–0898, by one of the following methods:


B. E-mail: febbo.carol@epa.gov.


D. Hand Delivery: At the listed EPA Region III address. Such deliveries are only accepted during the Docket’s normal hours of operation, and special arrangements should be made for deliveries of boxed information.

Instructions: Direct your comments to Docket ID No. EPA–R03–OAR–2008–0898. EPA’s policy is that all comments received will be included in the public docket without change, and may be made available online at http://www.regulations.gov, including any personal information provided, unless the commenter claims to be Confidential Business Information (CBI) or other information whose disclosure is restricted by statute. Do not submit information that you consider to be CBI or otherwise protected through http://www.regulations.gov or e-mail. The http://www.regulations.gov Web site is an “anonymous access” system, which means EPA will not know your identity or contact information unless you provide it in the body of your comment. If you send an e-mail comment directly to EPA without going through http://www.regulations.gov, your e-mail address will be automatically captured and included as part of the comment that is placed in the public docket and made available on the Internet. If you submit an electronic comment, EPA recommends that you include your name and other contact information in the body of your comment and with any disk or CD–ROM you submit. If EPA cannot read your comment due to technical difficulties and cannot contact you for clarification, EPA may not be able to consider your comment. Electronic files should avoid the use of special characters, any form of encryption, and be free of any defects or viruses.

Docket: All documents in the electronic docket are listed in the http://www.regulations.gov index. Although listed in the index, some information is not publicly available (i.e., CBI or other information), disclosure of which is restricted by statute. Certain other material, such as copyrighted material, is not placed on the Internet and will be publicly available only in hard copy form. Publicly available docket materials are available either electronically in http://www.regulations.gov or in hard copy during normal business hours at the Air Protection Division, U.S. Environmental Protection Agency, Region III, 1650 Arch Street, Philadelphia, Pennsylvania 19103. Copies of the State submittal are available at the Pennsylvania Department of Environmental Protection, Bureau of Air Quality Control Rachel Carson State Office Building, 400 Market Street, 12th Floor, Harrisburg, PA 17105–8468.

For Further Information Contact: Martin Kotsch, (215) 814–3335, or by e-mail at kotsch.martin@epa.gov.

Supplementary Information: Throughout this document whenever “we”, “us”, or “our” is used, we mean EPA.

I. What Is Transportation Conformity?

Transportation conformity is required under Section 176(c) of the Clean Air Act to ensure that Federally supported highway, transit projects, and other activities are consistent with (conform to) the purpose of the approved SIP. Transportation Conformity currently applies to areas that are designated nonattainment, and those areas redesignated to attainment after 1990 (maintenance areas), with maintenance plans developed under section 175A of the Clean Air Act for the following transportation related criteria pollutants: Ozone, particulate matter (PM_{2.5} and PM_{10}), carbon monoxide (CO), and nitrogen dioxide (NO_{2}). Conformity with the purpose of the SIP means that transportation activities will not cause new air quality violations, worsen existing violations, or delay timely attainment of the relevant National Ambient Air Quality Standards (NAAQS). The Federal transportation conformity regulations (Federal Rule) are found in 40 CFR part 93 and provisions related to conformity SIPs are found in 40 CFR 51.390.

II. What Is the Background for This Action?

On August 10, 2005, the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA–LU) was signed into law. SAFETEA–LU revised certain provisions of section 176(c) of the Clean Air Act, related to transportation conformity. Prior to SAFETEA–LU, states were required to address all of the Federal Rule’s provisions in their conformity SIPs. After SAFETEA–LU, state’s SIPs were required to contain all or portions of only the following three sections of the Federal Rule, modified as appropriate to each state’s circumstances: 40 CFR 93.105 (consultation procedures); 40 CFR 93.122(a)(4)(ii) (written commitments to implement certain kinds of control measures); and 40 CFR 93.125(c) (written commitments to implement certain kinds of mitigation measures). Pursuant to SAFETEA–LU, States are no longer required to submit conformity SIP revisions that address the other sections of the Federal Rule.

III. What Did the State Submit and How Did We Evaluate It?

On May 29, 2008, the Pennsylvania Department of Environmental Protection submitted a revision to its State Implementation Plan (SIP) for Transportation Conformity purposes. The SIP revision consists of eighteen executed Memorandums of Agreement (MOAs) which will constitute the Pennsylvania SIP for transportation conformity purposes. Eighteen MOAs were executed among the State of Pennsylvania and the various...